



Board of Commissioners Meeting
March 1, 2021
224 Seminary Street
Kenansville, NC 28349

The Duplin County Board of Commissioners met at 6:00 p.m. on Monday, March 1, 2021 in the Duplin County Administrative Office Building located at 224 Seminary Street, Kenansville, NC.

Present: Commissioners: Jesse Dowe, Kenn Thompson, Dexter Edwards, Elwood Garner and Wayne Branch

Also Present: Mr. Davis H. Brinson, County Manager/Clerk to the Board; Mr. Tim Wilson, County Attorney; Ms. Tracy Chestnutt, Finance Officer; and Ms. Jaime Carr, Administrative Officer.

Call to Order

The meeting was called to order by Chairman Edwards.

Invocation and Pledge of Allegiance

Invocation was given by Commissioner Branch and Chairman Edwards led the audience in the Pledge of Allegiance to the American Flag.

Approval of the Meeting Agenda

Chairman Edwards asked if the members of the Board approved of the proposed meeting agenda and Mr. Davis H. Brinson, County Manager/Clerk to the Board requested that he be added to request acceptance of CDC COVID-19 Vaccination Program funds on behalf of the Health Department.

Motion was made by Commissioner Garner, seconded by Commissioner Thompson, carried unanimously to approve the meeting agenda.

Approval of the Minutes – Governing Body

Motion was made by Commissioner Dowe, seconded by Commissioner Branch, carried unanimously, to approve the minutes of the Board of County Commissioners meeting held on February 1, 2021.

CONSENT AGENDA

Approval of the Consent Agenda

Motion was made by Commissioner Garner, seconded by Commissioner Branch, carried unanimously to approve the consent agenda which included the following: Budget Amendment Journal Entry Report; Tax and Solid Waste Releases- #18282-18354; FY21 FTA Certifications & Assurances; Extension to Nutrition Program Caterer Contract Between Duplin County and Diamond Food Enterprises, Inc.; Consolidated Agreement Between the North Carolina Department of Health and Human Services, Division of Public Health and the Duplin County Health Department and Authorize Chairman to Sign; Municipal Lease and Option Agreement

Between HomeTrust Bank and Lyman Volunteer Fire Department and Authorize Chairman to Sign.

ITEMS TO BE MADE PART OF MINUTES

Administrative Budget Amendment Journal Entry Report

REGULAR ITEMS OF BUSINESS

Public Comments

No public comments.

End Public Comments

Mr. Davis H. Brinson, County Manager/Clerk to the Board, appear before the Board to request to schedule a public hearing on April 5, 2021 to name a lane. In accordance with the Duplin County Addressing and Road Naming Ordinance, a public hearing must be held before any lanes can be named in Duplin County. Jedediah Stancill has requested to name a lane at 2693 Sarecta Road, Pink Hill, NC, Smith Township; Felix Heath Lane.

Motion was made by Commissioner Thompson, seconded by Commissioner Garner, carried unanimously, to schedule a public hearing on April 5, 2021 regarding a request from Jebediah Stancill to name a lane at 2693 Sarecta Road, Pink Hill, NC, Smith Township; Felix Heath Lane in accordance with the Duplin County Addressing and Road Naming Ordinance.

Mr. Davis H. Brinson, County Manager/Clerk to the Board, appeared before the Board to receive road concerns from members of the Board of Commissioners and/or the public on behalf of the North Carolina Department of Transportation (NCDOT). No road concerns were received.

Mr. Billy Ray Chambers, Jr., Kenansville Volunteer Fire Department, appeared before the Board to request a fire tax rate be set for the Liberty Hall Fire District. During the November, 2020 election the voters of the Liberty Hall Fire District voted in favor of a fire tax. Mr. Chambers requested on behalf of the Kenansville Volunteer Fire Dept. that the Board set the fire tax rate at \$0.08 for the Liberty Hall Fire District.

Motion was made by Commissioner Garner, seconded by Commissioner Branch, carried unanimously, to table this action until all fire departments have made their request to establish a tax rate in their individual districts.

Mr. Joe McKemey, McDavid Associates Inc., appeared before the Board to request approval to submit a funding application through the Drinking Water State Revolving Fund (DWSRF) for SCADA (Supervisory Control and Data Acquisition) improvements for the Duplin County Water System. The Duplin County Water System's SCADA system needs improvements. The County should consider re-applying for funding through the DWSRF program. Applications are due April 30, 2021. This program offers "principal forgiveness" (grant) based on an applicant's affordability criteria. Based on preliminary statistics, Duplin County is eligible for a twenty-five percent (25 %) grant. A public hearing is not required to apply; however, many exhibits are needed to be prepared with the application. Project cost is estimated to be \$1,621,000.00. In order to apply, the Board was informed that it would need to adopt a Resolution Authorizing Application for Financial Assistance.

Motion was made by Commissioner Dowe, seconded by Commissioner Garner, carried unanimously, to adopt a Resolution Authorizing Application for Financial Assistance for Duplin County Water System SCADA Improvements, which authorizes the filing of an application, and authorize the Chairman to sign said Resolution.

Mr. Kris Ward, Director of Business Development with Atlantic Telephone Membership Corporation (ATMC), appeared before the Board to request the placement of an OLT (optical line termination) Fiber Switch on County Owned Property. ATMC was awarded \$1.2 million in August of 2020 and another \$1.3 million in December, 2020 in GREAT (Growing Rural Economies with Access to Technology) Grant funding to provide broadband service in rural

areas of Duplin County. ATMC is in the final stages of preparing to begin construction on their Duplin County GREAT Grant projects. Their engineering department has identified two potential sites for an OLT both of which are located on County owned property on the campus of Duplin Commons. The OLT is a fiber optic switch that is the central hub of the fiber network. All the main fiber lines originate at the OLT and then go out to serve homes and businesses within a 20-25 mile circumference. All fiber optic cables will be underground. Once the OLT site is designated, they can complete their final design of the project to submit to the State for approval and funding commencement. ATMC has worked with Columbus County, the City of Whiteville and Pender County to have land deeded to ATMC for OLT site locations in their respective jurisdictions. Minimum site required is 50' x 50' to allow for perimeter fencing and placement of a gate to allow a pick-up truck and fiber trailer to enter the site to splice the fiber. No ATMC equipment, or vehicles, will be stored at the OLT site. ATMC will maintain the site landscaping and pay for all due diligence for the legal work should the County Commissioners approve working with ATMC at either site. The site recommended to the Board by the County Manager was the one directly next to the Town of Kenansville's sewer lift station in front of the Lois Britt Agricultural Office Building located on NC Hwy. 11.

Motion was made by Commissioner Thompson, seconded by Commissioner Dowe, carried unanimously, to allow Atlantic Telephone Membership Corporation (ATMC) to place an OLT fiber switch at Duplin Commons.

Ms. Elizabeth Stalls, County Planner, appeared before the Board on behalf of Ms. Angel Venecia, Director of Transportation, to request the acceptance of DHHS Coronavirus Relief Funding in the amount of \$22,899. This funding is being provided to support trips to COVID-19 vaccination sites.

Motion was made by Commissioner Garner, seconded by Commissioner Thompson, carried unanimously, to accept the DHHS Coronavirus Relief Funding in the amount of \$22,899 and the approval of the budget amendment.

Ms. Elizabeth Stalls, County Planner, appeared before the Board to request adoption of a Resolution Adopting Sampson-Duplin Regional Hazard Mitigation Plan. A Hazard Mitigation Plan assists the region to act to reduce or eliminate the long-term risk to life and property from a hazard event. Section 322 of the Federal Disaster Mitigation Act of 2000 states that local governments must develop an All-Hazards Mitigation Plan in order to be eligible to receive future Hazard Mitigation Grant Program Funds and other disaster-related assistance funding and that said plan must be updated and adopted within a five-year cycle. North Carolina Emergency Management contracted a consultant (AECOM) to assist the regions of North Carolina with updating and adopting a Hazard Mitigation Plan. Sampson and Duplin Counties are combined as a region and coordinate together to update and maintain the "Sampson-Duplin Hazard Mitigation Plan" to cover both counties and their municipalities. In order to finalize the plan adoption, every municipality and county in the region must adopt the plan. Most of Duplin County's municipalities adopted the plan in the month of February, with the remaining few adopting the plan at their March meetings. Adoption of the plan is expected to be complete by March 15.

Motion was made by Commissioner Garner, seconded by Commissioner Thompson, carried unanimously, to adopt the Sampson-Duplin Hazard Mitigation Plan, to adopt a Resolution Adopting Sampson-Duplin Regional Hazard Mitigation Plan and authorize the Chairman to sign.

Ms. Elizabeth Stalls, County Planner, appeared before the Board regarding the Hazard Mitigation Grant Program (HMGP) 4285 Hurricane Matthew Acceptance of Appraisal amount for the residence located at 251 Jimmy Tate Williams Road, Beulaville, NC. The residence located at 251 Jimmy Tate Williams Road, Beulaville, was selected by FEMA (Federal Emergency Management Agency) and NC Emergency Management as eligible for acquisition through the Hurricane Matthew HMGP program. In order to complete the acquisition, an offer to purchase must be presented to the homeowner for their consideration. The pre-disaster appraised value for 251 Jimmy Tate Williams Rd is \$65,000 based on the attached appraisal report. This amount will represent the Offer to Purchase amount and the Contract Sales Price on the HUD-1. The amount will be adjusted by a Duplication of Benefits amount of \$12,371.36, which is the

amount of FEMA assistance the owner received after the storm which was not spent to repair the house. The offer to purchase price will be less than the appraised value; however, the appraised value must be accepted by the county prior to proceeding

Motion was made by Commissioner Garner, seconded by Commissioner Dowe, carried unanimously, to approve the pre-disaster appraised amount of \$65,000 as the just compensation for the residence located at 251 Jimmy Tate Williams Road, Beulaville, NC.

Mr. Jesse L. Dowe III, Commissioner of District IV, appeared before the Board to present a resolution for consideration of adoption, regarding food insecurities in Duplin County and the effects on the residence due to the pandemic. Our food insecurity rate is 16%. There are currently food banks and distribution points that provide food boxes through the Charity Rebuild Center and also through several other non-profits.

Motion was made by Commissioner Thompson, seconded by Commissioner Branch, carried unanimously, to adopt a resolution in Support of the NC Association of County Commissioners Presidential Initiative to Promote Food System Resiliency.

Mr. Gary Rose, Tax Administrator, appeared before the Board to request the sale of surplus property, Parcel # 01-702, located at 602 Pine Street; in the Town of Warsaw, NC; Warsaw Township. A bid was submitted on January 29, 2021 in the amount of \$500 from Jerry and Janeen Simmons and on February 11, 2021 a bid was submitted in the amount of \$1,000 from Dandre Hampton and Shanette Stukes for this parcel of land Duplin County obtained through foreclosure on August 5, 2019. This bid is less than the original bid amount of \$2,769.00. The current tax value for this parcel is \$8,900.00. The Board may accept the bid and go through the process of selling this property or they may reject the bid

Motion was made by Commissioner Garner, seconded by Commissioner Dowe, carried unanimously, to accept the bid from Dandre Hampton and Shanette Stukes in the amount of \$1,000.00 and proceed with the sale of surplus property, Parcel #01-702, located at 602 Pine Street; in the Town of Warsaw, NC; Warsaw Township, pursuant to the Negotiated Offer, Advertisements and Upset Bids process set forth in NCGS §160A-269.

Mr. Gary Rose, Tax Administrator, appeared before the Board to request the sale of surplus property, Parcel # 09-E315, located off of NC Hwy 41; Wallace, NC; Island Creek Township. A bid was submitted on February 12, 2021 in the amount of \$1,000 from Shannon Leak and Shunte Tinsley for this parcel of land Duplin County obtained through foreclosure on September 13, 2017. This bid is less than the original bid amount of \$2,829.00. The current tax value for this parcel is \$1,000.00. The Board may accept the bid and go through the process of selling this property or they may reject the bid.

Motion was made by Commissioner Thompson, seconded by Commissioner Branch, carried unanimously, to accept the bid from Shannon Leak and Shunte Tinsley in the amount of \$1,000.00 and proceed with the sale of surplus property, Parcel #09-E315, located off of NC Hwy 41; Wallace, NC; Island Creek Township, pursuant to the Negotiated Offer, Advertisements and Upset Bids process set forth in NCGS § 160A-269.

Mr. Gary Rose, Tax Administrator, appeared before the Board to request the sale of surplus property, Parcel # 09-E307, located off of NC Hwy 41; Wallace, NC; Island Creek Township. A bid was submitted on February 12, 2021 in the amount of \$1,000 from Shannon Leak and Shunte Tinsley for this parcel of land Duplin County obtained through foreclosure on February 9, 2016. This bid is less than the original bid amount of \$1,985.00. The current tax value for this parcel is \$1,000.00. The Board may accept the bid and go through the process of selling this property or they may reject the bid.

Motion was made by Commissioner Thompson, seconded by Commissioner Branch, carried unanimously, to accept the bid from Shannon Leak and Shunte Tinsley in the amount of \$1,000.00 and proceed with the sale of surplus property, Parcel #09-E307, located off of NC Hwy 41; Wallace, NC; Island Creek Township, pursuant to the Negotiated Offer, Advertisements and Upset Bids process set forth in NCGS §160A-269.

Mr. Gary Rose, Tax Administrator, appeared before the Board to request the sale of surplus property, Parcel # 11-E110, located off of Hwy. 117; Rose Hill; Rose Hill Township. A bid was submitted on February 1, 2021 in the amount of \$1,000.00 from Dandre Hampton and Shanette Stukes and on February 12, 2021, a bid was submitted in the amount of \$1,200.00 from Seeds of Prosperity, LLC for this parcel of land Duplin County obtained through foreclosure on September 13, 2017. This bid is less than the original bid amount of \$2,954.00. The current tax value for this parcel is \$1,000.00. The Board may accept the bid and go through the process of selling this property or they may reject the bid.

Motion was made by Commissioner Thompson, seconded by Commissioner Branch, carried unanimously, to accept the bid from Seeds of Prosperity, LLC in the amount of \$1,200.00 and proceed with the sale of surplus property, Parcel #11-E110, located off of Hwy. 117; Rose Hill; Rose Hill Township, pursuant to the Negotiated Offer, Advertisements and Upset Bids process set forth in NCGS § 160A-269.

Mr. Gary Rose, Tax Administrator, appeared before the Board to request the sale of surplus property, Parcel # 01-E251, located at 111 E. George Street; in the Town of Warsaw, NC; Warsaw Township. On February 1, 2021, the Board initially accepted a bid from Antonio Stukes and Lakisha Robinson in the amount of \$1,500.00 and the property was advertised for upset bids per NCGS § 160A-239 and no upset bids were received. This bid is less than the original amount of \$4,171.00 the County purchased this property for during foreclosure on October 2, 2013. The current tax value of this parcel is \$5,500.00.

Motion was made by Commissioner Garner, seconded by Commissioner Branch, carried unanimously, to accept the bid from Antonio Stukes and Lakisha Robinson in the amount of \$1,500.00 for Parcel # 01-E251, located at 111 E. George Street; in the Town of Warsaw, NC; Warsaw Township, and authorize the County Attorney to prepare a deed for the transfer of property.

Mr. Gary Rose, Tax Administrator, appeared before the Board to request the sale of surplus property, Parcel # 01-E174, located at 412 S. Pine Street; in the Town of Warsaw, NC; Warsaw Township. On February 1, 2021, the Board initially accepted a bid from Antionette Johnson and Richard Johnson in the amount of \$1,000.00, and the property was advertised for upset bids per NCGS § 160A-269. No upset bids were received. This bid is less than the original amount of \$2,282.00 the County purchased this property for during foreclosure on September 5, 2007. The current tax value of this parcel is \$4,400.00.

Motion was made by Commissioner Garner, seconded by Commissioner Thompson, carried unanimously, to accept the bid from Antionette Johnson and Richard Johnson in the amount of \$1,000.00 for Parcel # 01-E174, located at 412 S. Pine Street; in the Town of Warsaw, NC; Warsaw Township and authorize the County Attorney to prepare a deed for the transfer of property.

Mr. Gary Rose, Tax Administrator, appeared before the Board to request the sale of surplus property, Parcel # 09-E306, located off of Hattie Bass Lane; Teachey, NC; Island Creek Township. On February 1, 2021, the Board initially accepted a bid from Harry Dorsey and Kathleen Lamb Dorsey in the amount of \$1,200.00, and the property was advertised for upset bids per NCGS § 160A-269. No upset bids were received. This bid is less than the original amount of \$2,432.00 the County purchased this property for during foreclosure on May 27, 2016. The current tax value of this parcel is \$3,000.00.

Motion was made by Commissioner Garner, seconded by Commissioner Thompson, carried unanimously, to accept the bid from Harry Dorsey and Kathleen Lamb Dorsey in the amount of \$1,200.00 for Parcel # 09-E306, located off of Hattie Bass Lane; Teachey, NC; Island Creek Township and authorize the County Attorney to prepare a deed for the transfer of property.

Mr. Gary Rose, Tax Administrator, appeared before the Board to request the sale of surplus property, Parcel # 01-E272, located off of Yancey Street; in the Town of Warsaw, NC; Warsaw Township. On February 1, 2021, the Board initially accepted a bid from Antionette Johnson and Richard Johnson in the amount of \$2,000.00 and the property was advertised for upset bids per NCGS § 160A-269. No upset bids were received. This bid is less than the

original amount of \$6,543.00 the County purchased this property for during foreclosure on December 26, 2021. The current tax value of this parcel is \$4,700.00.

Motion was made by Commissioner Thompson, seconded by Commissioner Dowe, carried unanimously, to accept the bid from Antionette Johnson and Richard Johnson in the amount of \$2,000.00 for Parcel # 01-E272, located off of Yancey Street; in the Town of Warsaw, NC; Warsaw Township, and authorize the County Attorney to prepare a deed for the transfer of property.

Mr. Gary Rose, Tax Administrator appeared before the Board to request the re-appointment of members to the Board of Equalization and Review. Mr. Gary Ange, Mr. Edward Dail, Mr. Haywood Quinn, Mr. John Smith and Mr. Norwood West terms will expire on March 31, 2021 and they have agreed to serve another two (2) year term.

Motion was made by Commissioner Garner, seconded by Commissioner Thompson, carried unanimously, to re-appoint Mr. Gary Ange, Mr. Edward Dail, Mr. Haywood Quinn, Mr. John Smith and Mr. Norwood West to the Board of Equalization and Review each for a two (2) year term beginning on April 1, 2021 and ending on March 31, 2023.

Mr. Davis H. Brinson, County Manager/Clerk to the Board, appeared before the Board on behalf of Ms. Tracey Simmons-Kornegay, Health Director, to request acceptance of CDC COVID-19 Vaccination Program – AA 716 Revision #1 Funding in the amount of \$185,203.00. This agreement addendum provides additional funding to the Local Health Department (LHD) to be used to support expanding vaccine clinics, reaching out to those for whom the vaccine is recommended, training providers and partners on the appropriate storage and handling of the vaccine, equipping health care providers to discuss the vaccine and administer it to patients, ensuring adequate clinic staff availability, and ensuring systems can track vaccines administered and provide recall tracking and notification to complete vaccine series.

Motion was made by Commissioner Branch, seconded by Commissioner Thompson, carried unanimously, to accept CDC COVID-19 Vaccination Program Agreement Addendum 716 Revision #1 funds in the amount of \$185,203.00 on behalf of the Duplin County Health Department and to approve the associated budget amendment for Fiscal Year 2020-2021.

Motion was made by Commissioner Garner, seconded by Commissioner Dowe, carried unanimously to recess regular session and proceed in to closed session for pursuant to N.C.G.S. 143-318.11 (a) (3) Legal Matters; N.C.G.S. 143-318.11 (a) (4) Economic Development Matters; and N.C.G.S. 143-318.11 (a) (5) Possible Requisition of Real Property

Motion was made by Commissioner Dowe, seconded by Commissioner Branch, carried unanimously, to leave closed session and return to regular session.

Mr. Davis H. Brinson, County Manager/Clerk to the Board appeared before the Board to request approval for a \$7,500 Economic Development incentive for Project Ginny to be funded from the Economic Development budget and that a public hearing on Project Ginny be scheduled on April 5, 2021 at 6:00 p.m.

Motion was made by Commissioner Thompson, seconded by Commissioner Garner, carried unanimously, to approve for a \$7,500 Economic Development incentive for Project Ginny to be funded from the Economic Development budget and that a public hearing on Project Ginny be scheduled on April 5, 2021 at 6:00 p.m.

Mr. Tim Wilson, County Attorney, appeared before the Board to request that five (5) acres, Parcel # 09-E186, at South Park be declared as surplus property and accept an \$8,000 per acre bid for the purchase of that property, subject to the negotiated offer and upset bid process as set forth in NCGS §160A-269.

Motion was made by Commissioner Garner, seconded by Commissioner Thompson, carried unanimously, to declare five (5) acres, Parcel # 09-E186, at South Park be as surplus

property and accept an \$8,000 per acre bid for the purchase of that property, subject to the negotiated offer and upset bid process as set forth in NCGS § 160A-269

Motion was made by Commissioner Thompson, seconded by Commissioner Garner, carried unanimously, to adjourn until Monday, April 5th, 2021 at 6:00 p.m for a Board of County Commissioners meeting in the Duplin County Administrative Building located at 224 Seminary Street, Kenansville, NC.


Davis H. Brinson
Clerk to the Board

000008

THIS PAGE LEFT BLANK INTENTIONALLY

02/24/2021 15:52
chelsey.lanierDuplin County, NC
BUDGET AMENDMENTS JOURNAL ENTRY PROOFIP 1
bgamdent

LN	ORG	OBJECT	PROJ	ORG DESCRIPTION	ACCOUNT DESCRIPTION	EFF DATE	PREV BUDGET	BUDGET CHANGE	AMENDED BUDGET	ERR
LINE DESCRIPTION										
YEAR-PER JOURNAL	EFF-DATE	REF 1	REF 2	SRC JNL-DESC	ENTITY AMEND					
2021 09	2 03/02/2021			BUA 0301210	1 2					
1 4320	41966	JAIL		INMATE HOUSING COUNTY			184,000.00	-27,000.00	157,000.00	
10-43-4310-4320-000-41966				Purchase Jails		03/02/2021				
2 4310	42980	Sheriff		PROGRAM SUPPLIES			196,001.76	27,000.00	223,001.76	
10-43-4310-0000-000-42980				Purchase Jails		03/02/2021				
** JOURNAL TOTAL								0.00		
2021 09	3 03/02/2021			BUA 0301210	1 2					
4370	42600	EMERGENCY MEDICAL SERVICES		OFFICE SUPPLIES			5,560.00	-3,000.00	2,560.00	
10-43-4330-4370-000-42600				Cover overspent accounts		03/02/2021				
4370	42490	EMERGENCY MEDICAL SERVICES		VEHICLE SUPPLIES			63,062.00	-10,000.00	53,062.00	
10-43-4330-4370-000-42490				Cover overspent accounts		03/02/2021				
3 4370	44300	EMERGENCY MEDICAL SERVICES		RENT			17,000.00	3,000.00	20,000.00	
10-43-4330-4370-000-44300				Cover overspent accounts		03/02/2021				
4 4370	43530	EMERGENCY MEDICAL SERVICES		REPAIRS VEHICLES			62,069.98	10,000.00	72,069.98	
10-43-4330-4370-000-43530				Cover overspent accounts		03/02/2021				
** JOURNAL TOTAL								0.00		
2021 09	4 03/02/2021			BUA 0301210	1 2					
1 4100	39969	GENERAL FUND		FUND BALANCE			-3,426,967.38	140.00	-3,426,827.38	
10-41-4100-0000-000-39969				Correct budget		03/02/2021				
2 4952	41860	EASTPOINT 4-H GRANT		WORKERS COMPENSATION			89.56	-44.00	45.56 B	
10-49-4950-4952-000-41860				Correct budget		03/02/2021				
3 4952	43540	EASTPOINT 4-H GRANT		SOFTWARE MAINTENANCE			196.00	-96.00	100.00 B	
10-49-4950-4952-000-43540				Correct budget		03/02/2021				
** JOURNAL TOTAL								0.00		
2021 09	5 03/02/2021			BUA 0301210	1 2					

(1)

02/24/2021 15:52
chelsey.lanierDuplin County, NC
BUDGET AMENDMENTS JOURNAL ENTRY PROOFIP 2
bgamdent

LN	ORG	OBJECT	PROJ	ORG DESCRIPTION	ACCOUNT DESCRIPTION	EFF DATE	PREV BUDGET	BUDGET CHANGE	AMENDED BUDGET	ERR
LINE DESCRIPTION										
YEAR-PER JOURNAL	EFF-DATE	REF 1	REF 2	SRC JNL-DESC	ENTITY AMEND					
2021 09	5 03/02/2021			BUA 0301210	1 2					
1 4960	34965	Soil Conservation		SOIL & WATER DONATIONS			.00	-500.00	-500.00	
10-47-4960-0000-000-34965				Fishing tournament donations		03/02/2021				
2 4960	42994	Soil Conservation		FISHING TOURNAMENT			.00	500.00	500.00	
10-47-4960-0000-000-42994				Fishing tournament donations		03/02/2021				
** JOURNAL TOTAL								0.00		
2021 09	7 03/02/2021			BUA 0301210	1 2					
1 5123	40183	BIOHERPETOLOGISM		HOSPITAL INSURANCE			2,214.78	-1,947.76	267.02	
10-50-5100-5123-000-40183				Cover overspent accounts		03/02/2021				
2 5124	43250	TUBERCULOSIS		POSTAGE			400.00	-100.00	300.00	
10-50-5100-5124-000-43250				Cover overspent accounts		03/02/2021				
3 5129	43520	COUNTY WELLNESS PROGRAM		REPAIRS & MAINTENANCE EQUIPME			7,289.88	-215.00	7,074.88	
10-50-5100-5129-000-43520				Cover overspent accounts		03/02/2021				
4 5133	41990	CDC-ELC Cooperative Agreement		PROFESSIONAL SERVICES			30,000.00	-3,714.00	26,286.00	
10-50-5100-5133-000-41990				Cover overspent accounts		03/02/2021				
5 5132	41860	COVID19		WORKERS COMPENSATION			162.95	-89.00	73.95	
10-50-5100-5132-000-41860				Cover overspent accounts		03/02/2021				
6 5170	43110	STAFF-PAMLICO		TRAVEL			2,857.00	-500.00	2,357.00	
10-50-5100-5170-000-43110				Cover overspent accounts		03/02/2021				
7 5173	42500	CC4C		VEHICLE GASOLINE			560.00	-126.00	434.00	
10-50-5100-5173-000-42500				Cover overspent accounts		03/02/2021				
8 5164	43250	FAMILY PLANNING		POSTAGE			2,080.00	-200.00	1,880.00	
10-50-5100-5164-000-43250				Cover overspent accounts		03/02/2021				
5110	40121	HEALTH		SALARIES			502,251.35	-15,155.00	487,096.35	
10-50-5100-5110-000-40121				Cover overspent accounts		03/02/2021				
10 5110	43210	HEALTH		TELEPHONE			8,560.00	5,100.00	13,660.00	
10-50-5100-5110-000-43210				Cover overspent accounts		03/02/2021				
11 5110	43540	HEALTH		SOFTWARE MAINTENANCE			2,077.12	1,095.00	3,172.12	
10-50-5100-5110-000-43540				Cover overspent accounts		03/02/2021				

| P 3
| bgament

VFAH-FER JOURNAL TTE-DATE REF 1 FEB 80
9 07/6 ,
A IITAT GIBELI
Lapetus f r veh-tle-

1 -17, 100
1, 1

g

1 P 4
1 Independent

[illegible][illegible][illegible]

[illegible][illegible]

02/24/2021 15 52 | Duplin County, NC
chelsey lanier | BUDGET AMENDMENT JOURNAL ENTRY PROOF

000012

JP 7
lbqamdent

CLERK chelsey lanier

YEAR PER	JNL									
SRC ACCOUNT	EFF DATE	JNL DESC	REF 1	REF 2	REF 3	ACCOUNT DESC	LINE DESC	T OB	DEBIT	CREDIT
2021 9										
BUA 4320-41966	03/02/2021	0301210				INMATE HOUSING COUNTS				11,000.00
BUA 4310-42960	03/02/2021	0301210				PURCHASE GUNS			27,000.00	
						PURCHASE GUNS				
						JOURNAL TOTAL	TOTAL		00	00
2021 9										
BUA 4370-42600	03/02/2021	0301210				OFFICE SUPPLIES				3,000.00
BUA 4370-42490	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS				10,000.00
BUA 4370-44300	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS			4,000.00	
BUA 4370-42530	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS			0,000.00	
						JOURNAL TOTAL	TOTAL		00	00
2021 9										
BUA 4320-41966	03/02/2021	0301210				FUND BALANCE			140.00	
BUA 4320-41860	03/02/2021	0301210				CORRECT BUDGET				44.00
BUA 4320-43540	03/02/2021	0301210				CORRECT BUDGET				96.00
						JOURNAL TOTAL	TOTAL		00	00
2021 9										
BUA 4360-43965	03/02/2021	0301210				MAIL & WATER DONATIONS				500.00
BUA 4360-43994	03/02/2021	0301210				FISHING TOURNAMENT DONATIONS			500.00	
						JOURNAL TOTAL	TOTAL		00	00
2021 9										
BUA 5124-40183	03/02/2021	0301210				HOSPITAL INSURANCE				1,347.76
BUA 5124-43250	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS				100.00
BUA 5124-43520	03/02/2021	0301210				REPAIRS & MAINTENANCE EQUIPMENT				215.00

02/24/2021 15 52 | Duplin County, NC
chelsey lanier | BUDGET AMENDMENT JOURNAL ENTRY PROOFJP
lbqamdent

YEAR PER	JNL									
SRC ACCOUNT	EFF DATE	JNL DESC	REF 1	REF 2	REF 3	ACCOUNT DESC	LINE DESC	T OB	DEBIT	CREDIT
2021 9										
BUA 5133-41990	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS				1,347.76
BUA 5133-41860	03/02/2021	0301210				PROFESSIONAL SERVICES				83.00
BUA 5170-43110	03/02/2021	0301210				WORKERS COMPENSATION				500.00
BUA 5170-42700	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS				126.00
BUA 5164-42550	03/02/2021	0301210				VEHICLE MAINTENANCE				200.00
BUA 5110-40121	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS				15,150.00
BUA 5110-42710	03/02/2021	0301210				PHONE			5,100.00	
BUA 5110-43510	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS			1,000.00	
BUA 5112-43370	03/02/2021	0301210				SOFTWARE MAINTENANCE				4,000.00
BUA 5123-40183	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS				1,947.00
BUA 5123-42980	03/02/2021	0301210				HOUSING				1,347.76
BUA 5124-42600	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS			100.00	
BUA 5129-42980	03/02/2021	0301210				VEHICLE MAINTENANCE				1,000.00
BUA 5129-43510	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS				25.00
BUA 5122-40183	03/02/2021	0301210				REPAIRS BUILDING AND GROUNDS				84.00
BUA 5133-42980	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS			3,147.76	
BUA 5167-42600	03/02/2021	0301210				PROFESSIONAL SERVICES				1,000.00
BUA 5170-43210	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS				100.00
BUA 5170-43210	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS				100.00
BUA 5170-43210	03/02/2021	0301210				COVER OVERSPENT ACCOUNTS				126.00
						JOURNAL TOTAL	TOTAL		00	00
2021 9										
BUA 4310-43000	03/02/2021	0301210				CAPITAL OUTLAY				44,300.00
BUA 4310-42980	03/02/2021	0301210				PROGRAMS			44,300.00	

12 9
13 bgramdent

YEAR PER	JNL	SRC ACCOUNT	JNL DESC	REF 1	REF 2	REF 3	ACCOUNT DESC	LINE DESC	T OB	DEBIT	CREDIT
2021	03/02/2021	0301210					Laptop for vehicles				
							JOURNAL 2021 03/02	TOTAL		70	00
2021	03/02/2021	0301210					CAF IMPROVEMENTS TO SIDES				13,584 10
2021	03/02/2021	0301210					CAPITAL EXPENDITURE			13,584 10	
							JOURNAL 2021 03/02	TOTAL		70	00
2021	03/02/2021	0301210					FEIME TOWNHALL FOR CORE HANGA				2,400 00
2021	03/02/2021	0301210					CAPITAL EXPENDITURE			2,400 00	
							JOURNAL 2021 03/02	TOTAL		70	00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021	0301210					IN FIRE AL VAL-DEM TAXES				54,000 00
2021	03/02/2021	0301210					INCREASE BUDGET				54,000 00
2021	03/02/2021										

|P 10
|bgamdent

[illegible]

1P 11
1bgamdent

FUND	ACCOUNT	YEAR PER	JNL	EFF DATE	ACCOUNT DESCRIPTION	DEBIT	CREDIT
---	---	---	---	---	---	---	---
					1 JUL 1961	10	00

** END OF PROFILE - generated by "HELPER" module **

02/23/21-HH

DUPLIN COUNTY
TAX SOLID WASTE REQUEST
DATE OF RELEASES MARCH 1, 2021

[illegible]

FEDERAL FISCAL YEAR 2021 CERTIFICATIONS AND ASSURANCES FOR FTA ASSISTANCE PROGRAMS

(Signature pages alternate to providing Certifications and Assurances in FTA MIS)

Name of Applicant Duplin County

The Applicant certifies to the applicable provisions of categories (1) - (21)

(1)

The Applicant certifies to the applicable provisions of the categories it has selected

Category	Certification
01 Certifications and Assurances Required of Every Applicant	☒
02 Public Transportation Agency Safety Plans	☒
03 Tax Liability and Felony Convictions	☒
04 Lobbying	☒
05 Private Sector Protections	☒
06 Transit Asset Management Plan	☒
07 Rolling Stock Buy America Reviews and Bus Testing	☒
08 Urbanized Area Formula Grants Program	☒
09 Formula Grants for Rural Areas	☒
10 Fixed Guideway Capital Investment Grants and the Expedited Project Delivery for Capital Investment Grants Pilot Program	☒
11 Grants for Buses and Bus Facilities and Low or No Emission Vehicle Deployment Grant Programs	☒

12 Enhanced Mobility of Seniors and Individuals with Disabilities Programs	☒
13 State of Good Repair Grants	☒
14 Infrastructure Finance Programs	☒
15 Alcohol and Controlled Substances Testing	☒
16 Rail Safety Training and Oversight	☒
17 Demand Responsive Service	☒
18 Interest and Financing Costs	☒
19 Construction Hiring Preferences	☒
20 Cybersecurity Certification for Rail Rolling Stock and Operations	☒
21 Tribal Transit Programs	☒

FEDERAL FISCAL YEAR 2021 CERTIFICATIONS AND ASSURANCES SIGNATURE PAGE

(Signature of all Applicants for federal assistance to be awarded FTA in FY 2021)

AFFIRMATION OF APPLICANT

Name of the Applicant Duplin County

I, [Signature], on behalf of the Applicant, declare that I fully understand and acknowledge the obligations and assurances required by the Federal Transit Administration and the Applicant, and I agree to comply with all Federal Transit Administration and Applicant rules, regulations, and policies, and to comply with the terms and conditions of the Federal Transit Administration (FTA) Federal Fiscal Year 2021 assistance agreement. I understand that the Applicant's failure to comply with the terms and conditions of the agreement may result in the termination of the agreement and the suspension of all federal funding to the Applicant.

I understand that the Applicant's failure to comply with the terms and conditions of the agreement may result in the termination of the agreement and the suspension of all federal funding to the Applicant.

I understand that the Applicant's failure to comply with the terms and conditions of the agreement may result in the termination of the agreement and the suspension of all federal funding to the Applicant.

I understand that the Applicant's failure to comply with the terms and conditions of the agreement may result in the termination of the agreement and the suspension of all federal funding to the Applicant.

000015

FTA The attached provisions of the contract are hereby approved by the undersigned authority in connection with a federal public transportation program authorized by 49 U.S.C. chapter 53, for any other statute.

In signing this document, I declare under penalty of perjury that the foregoing certifications and Assurances and other statements made by me on behalf of the Applicant are true and accurate.

Signed: Dexter Edwards Date: 3-1-2021

Name: Dexter Edwards Station: CLERK per certification of Applicant

AFFIRMATION OF APPLICANT'S ATTORNEY

For Name of Applicant: Duplin County

As the undersigned Attorney for the above-named Applicant, I hereby affirm to the Applicant that I have authority under state, local, or tribal government laws as applicable, to make a contract with the certification and Assurances as indicated on the foregoing pages. I further affirm that in my opinion, the certifications and Assurances have been lawfully made and on full legal and binding obligation on my part.

I hereby affirm that to the best of my knowledge, there is no legal limit or limitation on the amount that will be paid for the services of the Applicant and Assurances of the performance of the FTA.

Signed: [Signature] Date: 4/5/21

Name: J. Timothy Wilson Station: Attorney for Applicant

For Name of Applicant: Duplin County

As the undersigned Attorney for the above-named Applicant, I hereby affirm to the Applicant that I have authority under state, local, or tribal government laws as applicable, to make a contract with the certification and Assurances as indicated on the foregoing pages. I further affirm that in my opinion, the certifications and Assurances have been lawfully made and on full legal and binding obligation on my part.

Not every provision of every certification will apply to every applicant or award. It is a provision of a certification does not apply to the applicant or its award. FTA will not enforce that provision. Refer to FTA's accompanying Instructions document for more information.

Text in italics is guidance to the public. It does not have the force and effect of law and is not meant to bind the public in any way. It is intended only to provide clarity to the public regarding existing requirements under the law or agency policies.

CATEGORY 1 CERTIFICATIONS AND ASSURANCES REQUIRED OF EVERY APPLICANT

All applicants must make the certifications in this category.

1.1 Standard Assurances

The certifications in this subcategory apply as part of the applicant's registration or annual registration renewal in the System for Award Management (SAM.gov) and on the Office of Management and Budget's standard form 424B, Assurances--Non-Federal Share of Project. This certification has been modified in places to include analogous certifications required by U.S. DOT statute or regulations.

As the duly authorized representative of the applicant, you certify that the applicant:

- (a) Has the legal authority to apply for Federal assistance and the institutional, managerial, and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management, and completion of the project described in this application.
- (b) Will give the awarding agency, the Comptroller General of the United States, and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- (c) Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- (d) Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- (e) Will comply with the Interagency Personnel Act of 1970 (42 U.S.C. §§ 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 CFR 900, Subpart F).

- (f) Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to:
- (1) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color, or national origin, as effectuated by U.S. DOJ regulation 49 CFR Part 21
 - (2) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683 and 1685-1686) which prohibits discrimination on the basis of sex, as effectuated by U.S. DOJ regulation 49 CFR Part 25
 - (3) Section 5332 of the Federal Transit Law (49 U.S.C. § 5332) which prohibits any person being excluded from participating in, denied a benefit of, or discriminated against under a project, program, or activity receiving financial assistance from FTA because of race, color, religion, national origin, sex, disability, or age
 - (4) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794) which prohibits discrimination on the basis of handicaps, as effectuated by U.S. DOJ regulation 49 CFR Part 27
 - (5) The Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107) which prohibits discrimination on the basis of age
 - (6) The Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse
 - (7) The Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616) as amended relating to nondiscrimination on the basis of alcohol abuse or alcoholism
 - (8) Sections 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§ 2904d-3 and 2904c-3) as amended relating to confidentiality of alcohol and drug abuse patient records
 - (9) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§ 3601 et seq.) as amended relating to nondiscrimination in the sale, rental, or financing of housing
 - (10) Any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made, and
 - (11) the requirements of any other nondiscrimination statute(s) which may apply to the application
 - (g) Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (Uniform Act) (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases. The requirements of the Uniform Act are effectuated by U.S. DOJ regulation 49 CFR Part 24

- (h) Will comply, as applicable, with provisions of the Hatch Act (51 U.S.C. §§ 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds
- (i) Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 2761 to 2764-7) the Copeland Act (40 U.S.C. §§ 276c and 18 U.S.C. § 874) and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333) regarding labor standards for federally-assisted construction subagreements
- (j) Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more
- (k) Will comply with environmental standards which may be prescribed pursuant to the following:
 - (1) Institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (E.O.) 11514
 - (2) Notification of violating facilities pursuant to E.O. 11738
 - (3) Protection of wetlands pursuant to E.O. 11990
 - (4) Evaluation of flood hazards in floodplains in accordance with E.O. 1455
 - (5) Assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.)
 - (6) Conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§ 7401 et seq.)
 - (7) Protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523), and
 - (8) Protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205)
- (l) Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components of potential components of the national wild and scenic rivers system
- (m) Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §§ 470, 1011503) identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 469a-1 et seq.)
- (n) Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance
- (o) Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended 71 U.S.C. §§ 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded

animals held for research, teaching, or other activities supported by this award of assistance.

- (p) Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- (q) Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and 2 (CFR Part 200 Subpart F – Audit Requirements) as adopted and implemented by 15 DCM at 2 (CFR Part 1201).
- (r) Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing the program under which it is applying for assistance.
- (s) Will comply with the requirements of Section 106(a) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. § 7104) which prohibits grant award recipients or a sub-recipient from:

- (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect;
- (2) Procuring commercial sex act during the period of time that the award is in effect; or
- (3) Using forced labor in the performance of the award or subawards under the award.

1.2 Standard Assurances Additional Assurances for Construction Projects

This certification appears in the Office of Management and Budget's standard form 424-P Assurances – Construction Programs, and applies specifically to federally assisted projects for construction. This certification has been modified in places to include analogous certifications required by U.S. DOT statutes or regulations.

As the duly authorized representative of the applicant, you certify that the applicant:

- (a) Will not dispose of, modify, the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency; will record the Federal awarding agency directives, and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to ensure nondiscrimination during the useful life of the project;
- (b) Will comply with the requirements of the assistance awarding agency with regard to the drafting, review, and approval of construction plans and specifications;
- (c) Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications, and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.

1.3 Procurement

The Uniform Administrative Requirements – 2 (CFR § 200.324) allow a recipient to self-certify that its procurement system complies with Federal requirements in lieu of submitting to certain pre-procurement reviews.

The applicant certifies that its procurement system complies with:

- (a) U.S. [201] regulations, Uniform Administrative Requirements (ost Principles, and Audit Requirements for Federal Awards – 2 (CFR Part 120) which incorporates by reference U.S. OMB regulatory guidance, Uniform Administrative Requirements (ost Principles, and Audit Requirements for Federal Awards – 2 (CFR Part 200), particularly 2 (CFR §§ 200.317 – 200.326 Procurement Standards;
- (b) Federal laws, regulations, and requirements applicable to FFA procurements; and
- (c) The latest edition of FFA Circular 4220-1 and other applicable Federal guidance.

1.4 Suspension and Debarment

Pursuant to Executive Order 12549, as implemented at 2 (CFR Parts 180 and 1200 prior to entering into a covered transaction with an applicant, FFA must determine whether the applicant is excluded from participating in covered non-Federal government transactions. For this purpose, FFA is authorized to collect certification from each applicant must disclose any information required by 2 (CFR § 180.500. Additionally, each applicant must disclose any information required by 2 (CFR § 180.555 regarding the applicant and the applicant's principals put in writing into an award agreement with FFA. This certification serves both purposes.

The applicant certifies, to the best of its knowledge and belief, that the applicant and each of its principals:

- (a) Is not presently, debarred, suspended, proposed for debarment, declared ineligible, or voluntarily or involuntarily excluded from covered transactions by any Federal department or agency;
- (b) Has not, within the preceding three years, been convicted of or had a civil judgment rendered against him or her for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public or private agreement or transaction, violation of Federal or State, antitrust statutes, including those prohibiting price fixing between competitors, allocation of customers between competitors, and bid rigging; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, receiving stolen property, making false claims, or obstruction of justice, or commission of any other offense indicating a lack of business integrity or business honesty.

- (c) Is not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any offense described in paragraph (b) of this certification
- (d) Has not within the preceding three years had one or more public transactions (Federal, State, or local) terminated for cause or default

1.5 Coronavirus Response and Relief Supplemental Appropriations Act, 2021 and CARES Act Funding

The applicant certifies that to the maximum extent possible, and consistent with the Consolidated Appropriations Act, 2021 (Public Law 116-260):

- (a) Funds made available under title IV, of division M of the Consolidated Appropriations Act, 2021 (Public Law 116-260), and in title XII of division B of the CARES Act (Public Law 116-134 Stat. 599) shall be directed to payroll and operations of public transit including payroll and expenses of private providers of public transportation) or
- (b) The applicant certifies that the applicant has not furloughed any employees.

CATEGORY 2. PUBLIC TRANSPORTATION AGENCY SAFETY PLANS

This certification is required of each applicant under the itemized Area Formula Grants Program (49 U.S.C. § 5307), each Federal program that is subject to FTA's state safety oversight programs, and each State that is required to draft and carry a public transportation agency safety plan in the event of a small public transportation provider, pursuant to 49 C.F.R. 675.11(d). This certification is required by 49 C.F.R. § 675.13.

This certification does not apply to any applicant that receives financial assistance from FTA exclusively under the Formula Grants for the Enhanced Mobility of Seniors Program (49 U.S.C. 5311), the Formula Grants for Rural Areas Program (49 U.S.C. 5311), or combination of these two programs.

If the applicant is an operator, the applicant certifies that it has established a public transportation agency safety plan meeting the requirements of 49 C.F.R. Part 673.

If the applicant is a State, the applicant certifies that:

- (a) It has drafted a public transportation agency safety plan for each small public transportation provider within the State, unless the small public transportation provider provided notification to the State that it was opting-out of the State-drafted plan and drafting its own public transportation agency safety plan, and
- (b) Each small public transportation provider within the state has a public transportation agency safety plan that has been approved by the provider's Accountable Executive

(as that term is defined at 49 C.F.R. § 673.5) and Board of Directors or Equivalent Authority (as that term is defined at 49 C.F.R. § 673.5).

CATEGORY 3. TAX LIABILITY AND FELONY CONVICTIONS

If the applicant is a business association regardless of for-profit or not-for-profit or tax exempt status, it must make this certification. Federal appropriations acts since at least 2014 have prohibited FTA from using funds to enter into an agreement with any corporation that has unpaid Federal tax liabilities or recent felony convictions without first considering the corporation for debarment. E.g., Consolidated Appropriations Act, 2021, Pub. L. 116-260, div. F, title III, §§ 744-745; FTA Order, 42006, defines a corporation as any private corporation, partnership, trust, joint-stock company, sole proprietorship, or other business association, and applies the restriction to all acts of subawards. As prescribed by U.S. DOT Order, 42006, FTA requires each business association applicant to certify as to its tax and felony status.

If the applicant is a private corporation, partnership, trust, joint-stock company, sole proprietorship, or other business association, the applicant certifies that:

- (a) It has no unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, and
- (b) It has not been convicted of a felony criminal violation under any Federal law within the preceding 24 months.

CATEGORY 4. LOBBYING

In the applicant will apply for a grant or cooperative agreement exceeding \$100,000 or a loan guarantee or loan guarantee or loan insurance exceeding \$50,000, it must make the following certification and, if applicable, make a disclosure regarding the applicant's lobbying activities. This certification is required by 49 C.F.R. § 20.116 and app. 4 to that part.

This certification does not apply to an applicant that is an Indian tribe, Indian organization, or Indian tribal organization exempt from the requirements of 49 C.F.R. Part 20.

4.1 Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies to the best of his or her knowledge and belief that:

- (a) No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or

an employee of a Member of Congress in connection with the awarding of any Federal contract the making of any Federal grant the making of any Federal loan the entering into of any cooperative agreement and the extension continuation renewal amendment or modification of any Federal contract grant loan or cooperative agreement

- (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency a Member of Congress an officer or employee of Congress or an employee of a Member of Congress in connection with this Federal contract grant loan or cooperative agreement the undersigned shall complete and submit Standard Form-L11 Disclosure Form to Report Lobbying in accordance with its instructions

- (c) The undersigned shall require that the language of this certification be included in the award and documents for all subawards at all tiers (including subcontracts subgrants and contracts under grants loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352 title 31 U.S. Code Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure

4.2 Statement for Loan Guarantees and Loan Insurance

The undersigned states to the best of his or her knowledge and belief that

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency a Member of Congress an officer or employee of Congress or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan the undersigned shall complete and submit Standard Form-L11 Disclosure Form to Report Lobbying in accordance with its instructions

Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352 title 31 U.S. Code Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure

CATEGORY 5 PRIVATE SEC FOR PROTECTIONS

If the applicant will apply for funds that it will use to acquire or operate public transportation facilities or equipment the applicant must make the following certification regarding protections for the private sector

5.1 Charter Service Agreement

To enforce the provisions of 49 U.S.C. § 5323(d) FTA's charter service regulation requires each applicant seeking assistance from FTA for the purpose of acquiring or operating any public transportation equipment or facilities to make the following Charter Service Agreement 49 CFR 604.4

The applicant agrees that it and each of its subrecipients and third party contractors at any level who use FTA-funded vehicles may provide charter service using equipment or facilities acquired with Federal assistance authorized under the Federal Transit Laws only in compliance with the regulations set out in 49 CFR Part 604 the terms and conditions of which are incorporated herein by reference

5.2 School Bus Agreement

To enforce the provisions of 49 U.S.C. § 5325(d) FTA's school bus regulation requires each applicant seeking assistance from FTA for the purpose of acquiring or operating any public transportation equipment or facilities to make the following agreement regarding the provision of school bus services 49 CFR § 605.13

- (a) If the applicant is not authorized by the FTA Administrator under 49 CFR § 605.11 to engage in school bus operations the applicant agrees and certifies as follows

- (1) The applicant and any operator of project equipment agrees that it will not engage in school bus operations in competition with private school bus operators
- (2) The applicant agrees that it will not engage in any practice which constitutes a means of avoiding the requirements of this agreement part 605 of the Federal Mass Transit Regulations or section 164(b) of the Federal-Aid Highway Act of 1973 (49 U.S.C. 1602a(b))

- (b) If the applicant is authorized or obtains authorization from the FTA Administrator to engage in school bus operations under 49 CFR § 605.11 the applicant agrees as follows

- (1) The applicant agrees that neither it nor any operator of project equipment will engage in school bus operations in competition with private school bus operators except as provided herein
- (2) The applicant or any operator of project equipment agrees to promptly notify the FTA Administrator of any changes in its operations which might jeopardize the continuation of an exemption under § 605.11
- (3) The applicant agrees that it will not engage in any practice which constitutes a means of avoiding the requirements of this agreement part 605 of the Federal Transit Administration regulations or section 164(b) of the Federal-Aid Highway Act of 1973 (49 U.S.C. 1602a(b))

- (4) The applicant agrees that the project facilities and equipment shall be used for the provision of mass transportation services within its urban area and that any other

use of project facilities and equipment will be incidental to and shall not interfere with the use of such facilities and equipment in mass transportation service to the public.

CATEGORY 6 TRANSIT ASSET MANAGEMENT PLAN

If the applicant owns, operates or manages capital assets used to provide public transportation the following certification is required by 49 U.S.C. § 5326(a):

The applicant certifies that it is in compliance with 49 CFR Part 625:

CATEGORY 7 ROLLING STOCK BUY AMERICA REVIEWS AND BUS TESTING

7.1 Rolling Stock Buy America Reviews

If the applicant with app) to be awarded to a contract for use in revenue service, it must make this certification. This certification is required by 49 CFR 663.7:

The applicant certifies that it will conduct of cause to be conducted the pre-award and post-delivery audits prescribed by 49 CFR Part 663 and will maintain on file the certifications required by Subparts B (and D of 49 CFR Part 663.

7.2 Bus Testing

If the applicant with app) to be awarded to a contract for use in revenue service, it must make this certification. This certification is required by 49 CFR 665.7:

The applicant certifies that the bus was tested at the Bus Testing Facility and that the bus received a passing test score as required by 49 CFR Part 665. The applicant has received or will receive the appropriate full Bus Testing Report and any applicable partial testing reports before final acceptance of the first vehicle.

CATEGORY 8 URBANIZED AREA FORMULA GRANTS PROGRAM

If the applicant with app) to be awarded under the Urbanized Area Formula Grants Program 49 U.S.C. § 5307(a), it will make program or award that is subject to the requirements of 49 U.S.C. § 5307, including the Formula Grants for the Enhanced Mobility of Seniors Program 49 U.S.C. § 5310. The funds from infrastructure programs administered by the Federal Highway Administration 49 U.S.C. § 5332(a) projects that will receive an award authorized by the Transportation Infrastructure Finance and Innovation Act (TIFIA) 23 U.S.C. 601-609(a). Such Infrastructure Bank Program 23 U.S.C. § 610(a), 49 U.S.C. § 5333(a), formula awards or competitive awards authorized under the Grants for

Buses and Bus Facilities Program 49 U.S.C. § 5304 and that it will not use mission awards to any area under the Grants for Buses and Bus Facilities Program 49 U.S.C. § 5304(a), the applicant must make the following certification. This certification is required by 49 U.S.C. § 5307(c)(1):

The applicant certifies that it:

- (a) Has or will have the legal financial and technical capacity to carry out the program of projects (developed pursuant to 49 U.S.C. § 5307(b)) including safety and security aspects of the program
- (b) Has or will have satisfactory continuing control over the use of equipment and facilities
- (c) Will maintain equipment and facilities in accordance with the applicant's transit asset management plan
- (d) Will ensure that during non-peak hours for transportation using or involving a facility or equipment of a project financed under this section, a fare that is not more than 50 percent of the peak hour fare will be charged for any:
 - (1) Senior
 - (2) Individual who, because of illness, injury, age, congenital malfunction, or any other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or has semi-ambulatory capability), cannot use a public transportation service or a public transportation facility effectively without special facilities, planning, or design; and
 - (3) Individual presenting a Medicare card issued to that individual under title II of XVIII of the Social Security Act (42 U.S.C. §§ 401 et seq. and 1395 et seq.)
- (e) In carrying out a procurement under 49 U.S.C. § 5307, will comply with 49 U.S.C. § 5323 (general provisions) and 5325 (contract requirements)
- (f) Has complied with 49 U.S.C. § 5307(b) program of projects requirements
- (g) Has available and will provide the required amounts as provided by 49 U.S.C. § 5307(d) (cost sharing)
- (h) Will comply with 49 U.S.C. § 5303 (metropolitan transportation planning) and 5304 (statewide and nonmetropolitan transportation planning)
- (i) Has a locally developed process to solicit and consider public comment before issuing a fare or carrying out a major reduction of transportation
- (j) Either:
 - (1) Will expend for each fiscal year for public transportation security projects including increased lighting in or adjacent to a public transportation system including bus stops, subway stations, parking lots, and garages; increased camera surveillance of an area in or adjacent to that system, providing an emergency telephone line to contact law enforcement or security personnel in an area in or adjacent to that system, and any other project intended to increase the security and safety of an existing or planned public transportation system; at least

1 percent of the amount the recipient receives for each fiscal year under 49 U.S.C. § 5336 or

- (2) Has decided that the expenditure for security projects is not necessary
- (k) In the case of an applicant for an urbanized area with a population of not fewer than 200,000 individuals, as determined by the Bureau of the Census, will submit an annual report listing projects carried out in the preceding fiscal year under 49 U.S.C. § 5307 for associated transit improvements as defined in 49 U.S.C. § 5302 and
- (l) Will comply with 49 U.S.C. § 5329(d) (public transportation agency safety plan)

CATEGORY 9 FORMULA GRANTS FOR RURAL AREAS

If the applicant will apply for funds made available to it under the Formula Grants for Rural Areas Program (49 U.S.C. § 5311), it must make this certification: Paragraph (a) of this certification helps FHWA make the determinations required by 49 U.S.C. § 5310a(2)(A). Paragraph (b) of this certification is required by 49 U.S.C. § 5310a(2)(B). Paragraph (c) of this certification applies to funds apportioned to the Appalachian Development Public Transportation Assistance Program. It is necessary to enforce the conditions of 49 U.S.C. § 5310a(2)(F).

- (a) The applicant certifies that its State program for public transportation service projects including agreements with private providers for public transportation service
- (1) Provides a fair distribution of amounts in the State, including Indian reservations and

- (2) Provides the maximum feasible coordination of public transportation service assisted under 49 U.S.C. § 5311 with transportation service assisted by other Federal sources; and

- (b) If the applicant will in any fiscal year expend less than 15% of the total amount made available to it under 49 U.S.C. § 5311 to carry out a program to develop and support intercity bus transportation, the applicant certifies that it has consulted with affected intercity bus service providers, and the intercity bus service needs of the State are being met adequately

- (c) If the applicant will use for a highway project amounts that cannot be used for operating expenses authorized under 49 U.S.C. § 5311(a)(2) (Appalachian Development Public Transportation Assistance Program), the applicant certifies that—

- (1) It has approved the use in writing only after providing appropriate notice and an opportunity for comment and appeal to affected public transportation providers and
- (2) It has determined that otherwise eligible local transit needs are being addressed

CATEGORY 10 FIXED-GUIDEWAY CAPITAL INVESTMENT GRANTS AND THE EXPEDITED PROJECT DELIVERY FOR CAPITAL INVESTMENT GRANTS PILOT PROGRAM

If the applicant will apply for an award under any subsection of the Fixed-Guideway Capital Investment Program (49 U.S.C. § 5309), including an award made pursuant to the Expedited Project Delivery for Capital Investment Grants Pilot Program (Pub. L. 114-94, div. 1 of title III—3005(b)), the applicant must make the following certification. This certification is required by 49 U.S.C. § 5309(c)(2) and Pub. L. 114-94, div. 1, title III—3005(b)(5)(B).

The applicant certifies that it

- (a) Has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award
- (b) Has or will have satisfactory continuing control over the use of equipment and facilities acquired or improved under its Award
- (c) Will maintain equipment and facilities acquired or improved under its Award in accordance with its transit asset management plan, and
- (d) Will comply with 49 U.S.C. §§ 5303 (metropolitan transportation planning) and 5304 (statewide and nonmetropolitan transportation planning)

CATEGORY 11 GRANTS FOR BUSES AND BUS FACILITIES AND LOW OR NO EMISSION VEHICLE DEPLOYMENT GRANT PROGRAMS

If the applicant is an urbanized area and will apply for an award under subsection (a) (formula grants) or subsection (b) (competitive grants) of the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339), the applicant must make the certification in Category 8 for Urbanized Area Formula Grants (49 U.S.C. § 5307). This certification is required by 49 U.S.C. § 5339(a)(3) and (b)(6), respectively.

If the applicant is not an urbanized area and will apply for an award under subsection (a) (formula grants) or subsection (b) (competitive grants) of the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339), the applicant must make the certification in Category 9 for Formula Grants for Rural Areas (49 U.S.C. § 5311). This certification is required by 49 U.S.C. § 5339(a)(3) and (b)(6), respectively.

If the applicant, regardless of whether it is an urbanized or rural area, will apply for an award under subsection (c) (low or no emission vehicles) of the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339), the applicant must make the certification in Category 8 for Urbanized Area Formula Grants (49 U.S.C. § 5307). This certification is required by 49 U.S.C. § 5339(c)(3).

Making this certification will incorporate by reference the applicable certifications in Category 9.

CATEGORY 12 ENHANCED MOBILITY OF SENIORS AND INDIVIDUALS WITH DISABILITIES PROGRAMS

If the applicant will apply for an award under the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program (49 U.S.C. 5310), it must make the certification in Category 9. It organized Fixed Formula Grants (49 U.S.C. 5307). This certification is required by 49 U.S.C. 5310(c)(1). Making this certification will incorporate by reference the certification in Category 9 except that FTA has determined that (a) (i) and (d) of Category 9 do not apply to awards made under 49 U.S.C. 5310 and will not be enforced.

In addition to the certification in Category 9, the applicant must make the following certification that is specific to the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program. This certification is required by 49 U.S.C. 5310(c)(2).

The applicant certifies that:

- (a) The projects selected by the applicant are included in a locally developed, coordinated public transit-human services transportation plan.
- (b) The plan described in clause (a) was developed and approved through a process that included participation by seniors, individuals with disabilities, representatives of public, private, and nonprofit transportation and human services providers, and other members of the public.
- (c) To the maximum extent feasible, the services funded under 49 U.S.C. 5310 will be coordinated with transportation services assisted by other Federal departments and agencies, including any transportation activities carried out by a recipient of a grant from the Department of Health and Human Services, and
- (d) If the applicant will allocate funds received under 49 U.S.C. 5310 to subrecipients, it will do so on a fair and equitable basis.

CATEGORY 13 STATE OF GOOD REPAIR GRANTS

If the applicant will apply for an award under FTA's State of Good Repair Grants Program (49 U.S.C. 5337), it must make the following certification. Because FTA generally does not review the transit asset management plans of public transportation providers, this certification is necessary to enforce the provisions of 49 U.S.C. 5337(a)(4).

The applicant certifies that the projects it will carry out using assistance authorized by the State of Good Repair Grants Program (49 U.S.C. 5337) are aligned with the applicant's most recent

transit asset management plan and are identified in the investment and prioritization section of such plan, consistent with the requirements of 49 CFR Part 625.

CATEGORY 14 INFRASTRUCTURE FINANCE PROGRAMS

If the applicant will apply for an award for a project that will include assistance under the Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (23 U.S.C. 501-600) or the State Infrastructure Banks (SIB) Program (23 U.S.C. 610), it must make the certifications in Category 9 for the Enhanced Fixed Formula Grants Program (category 10) for the Fixed Guideway Capital Investment Grants program, and Category 13 for the State of Good Repair Grants program. These certifications are required by 49 U.S.C. 5323(a).

Making this certification will incorporate the certifications in Categories 9, 10, and 13 by reference.

CATEGORY 15 ALCOHOL AND CONTROLLED SUBSTANCES TESTING

If the applicant will apply for an award under FTA's Enhanced Fixed Formula Grants Program (49 U.S.C. 5307), Fixed Guideway Capital Investment Program (49 U.S.C. 5309), Formula Grants for Roadless Program (49 U.S.C. 5311), or Grants for Buses and Bus Facilities Program (49 U.S.C. 5339), programs, the applicant must make the following certification. The applicant must make this certification on its own behalf and on behalf of its subrecipients and contractors. This certification is required by 49 CFR 655.53.

The applicant certifies that if its subrecipients and its contractors are compliant with FTA's regulation for the Prevention of Alcohol Misuse and Prohibited Drug Use in Transit Operations (49 CFR Part 655):

CATEGORY 16 RAIL SAFETY TRAINING AND OVERSIGHT

If the applicant is a State with, at least one, rail fixed guideway system or is a State Safety Oversight Agency or operates a rail fixed guideway system, it must make the following certification. The elements of this certification are required by 49 CFR 659.43, 672.31, and 674.59.

The applicant certifies that the rail fixed guideway public transportation system and the State Safety Oversight Agency for the State are:

- (a) Compliant with the requirements of 49 CFR Part 659 – Rail Fixed Guideway Systems State Safety Oversight
- (b) Compliant with the requirements of 49 CFR Part 672 – Public Transportation Safety Certification Training Program, and
- (c) Compliant with the requirements of 49 CFR Part 674 – State Safety Oversight

CATEGORY 17 DEMAND RESPONSIVE SERVICE

If the applicant operates demand responsive service and will apply for an award to purchase a non-tail vehicle that is not accessible within the meaning of 49 CFR Part 37, it must make the following certification. This certification is required by 49 CFR 37.77.

The applicant certifies that the service it provides to individuals with disabilities is equivalent to that provided to other persons. A demand responsive system when viewed in its entirety is deemed to provide equivalent service if the service available to individuals with disabilities including individuals who use wheelchairs is provided in the most integrated setting appropriate to the needs of the individual and is equivalent to the service provided other individuals with respect to the following service characteristics:

- (a) Response time
- (b) Fares
- (c) Geographic area of service
- (d) Hours and days of service
- (e) Restrictions or priorities based on trip purpose
- (f) Availability of information and reservation capability and
- (g) Any constraints on capacity or service availability

CATEGORY 18 INTEREST AND FINANCING COSTS

If the applicant will pay for interest on other financing costs of a project using assistance awarded under the Urbanized Area Formula Grants Program (49 U.S.C. 5307), the Fixed Gateway Capital Investment Grants Program (49 U.S.C. 5309) or any program that must comply with the requirements of 49 U.S.C. 5307 including the Formula Grants for the Enhanced Mobility of Seniors Program (49 U.S.C. 5310), the funds from an assistance program administered by the Federal Highway Administration (see 49 U.S.C. 5341) or awards to urbanized areas under the Grants for Buses and Bus Facilities Program (49 U.S.C. 5330), the applicant must make the following certification. This certification is required by 49 U.S.C. 5307(c)(3), and 5309(a)(2)(b).

The applicant certifies that:

- (a) Its application includes the cost of interest earned and payable on bonds issued by the applicant only to the extent proceeds of the bonds were or will be expended in carrying out the project identified in its application; and
- (b) The applicant has shown or will show reasonable diligence in seeking the most favorable financing terms available to the project at the time of borrowing.

CATEGORY 19 CONSTRUCTION HIRING PREFERENCES.

If the applicant will ask FTA to approve the use of geographic, economic, or any other hiring preference not otherwise authorized by law on any contract or construction project to be awarded with an award from L-14, it must make the following certification. This certification is required by the Consolidated Appropriations Act 2021, Pub. L. 116-260, div. I, title I, § 1991(b).

The applicant certifies the following:

- (a) That except with respect to apprentices or trainees, a pool of readily available but unemployed individuals possessing the knowledge, skill, and ability to perform the work that the contract requires resides in the jurisdiction;
- (b) That the grant recipient will include appropriate provisions in its bid document ensuring that the contractor does not displace any of its existing employees in order to satisfy such hiring preference; and
- (c) That any increase in the cost of labor, training, or delays resulting from the use of such hiring preference does not delay or displace any transportation project in the applicable Statewide Transportation Improvement Program or Transportation Improvement Program.

CATEGORY 20 CYBERSECURITY CERTIFICATION FOR RAIL ROLLING STOCK AND OPERATIONS

If the applicant operates a rail-based guideway public transportation system, it must make this certification. This certification is required by 49 U.S.C. 5325(a)(1) and new subsection added by the National Defense Authorization Act for Fiscal Year 2020, Pub. L. 116-92, § 7613 (Dec. 20, 2019). For information on about standards or practices that may apply to a rail-based guideway public transportation system, visit <https://www.dhs.gov/cybertrain.gov> and <http://www.stad.gov>.

The applicant certifies that it has established a process to develop, maintain, and execute a written plan for identifying and reducing cybersecurity risks that complies with the requirements of 49 U.S.C. 5325(b)(2).

CATEGORY 21 PUBLIC TRANSPORTATION ON INDIAN RESERVATIONS
FORMULA AND DISCRETIONARY PROGRAM (TRIBAL TRANSIT PROGRAMS)

Before FTA may provide Federal assistance for an award financed under either the Public Transportation or Indian Reservations Formula or Discretionary Program authorized under 49 U.S.C. 5311(c)(1), as amended by the FASIA Act (Tribal Transit Programs), the applicant must select the certifications in Categories 21, except as FTA determines otherwise in writing.

Tribal Transit Program applicants may certify to this Category and Category 2001 certifications and Assurances Required of Every Applicant and need not make any other certification to meet Tribal Transit Program certification requirements. If an applicant will apply for any program in addition to the Tribal Transit Program additional certifications may be required.

FTA has established terms and conditions for Tribal Transit Program grants financed with Federal assistance appropriated or made available under 49 U.S.C. § 5311c-9(f). The applicant certifies that:

- (a) It has or will have the legal, financial, and technical capacity to carry out its Award including the safety and security aspects of that Award.
- (b) It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
- (c) It will maintain its equipment and facilities acquired or improved under its Award in accordance with its transit asset management plan and consistent with FTA regulations Transit Asset Management 49 CFR Part 625. Its Award will achieve maximum feasible coordination with transportation services financed by other federal sources.
- (d) With respect to its procurement system:
 - (1) It will have a procurement system that complies with U.S. DOT regulations Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards 2 CFR Part 1201, which incorporates by reference U.S. OMB regulatory guidance Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards 2 CFR Part 200 for Awards made on or after December 26, 2014.
 - (2) It will have a procurement system that complies with U.S. DOT regulations Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments 49 CFR Part 15, specifically former 49 CFR § 18.36 for Awards made before December 26, 2014, or
 - (3) It will inform FTA promptly if its procurement system does not comply with either of those U.S. DOT regulations.
- (e) It will comply with the Certifications, Assurances, and Agreements in:
 - (1) Category 051 and 052 (Contract Service Agreement and School Bus Agreement)
 - (2) Category 061 (Transit Asset Management Plan)
 - (3) Category 071 and 072 (Rolling Stock Buy America Reviews and Bus Testing)
 - (4) Category 091 (Formula Grants for Rural Areas)
 - (5) Category 151 (Alcohol and Controlled Substances Testing) and
 - (6) Category 171 (Demand Responsive Service)

(Required of all Applicants that plan to procure inaccessible vehicles or have them in their fleet)

CERTIFICATION OF EQUIVALENT SERVICE

Duplin County (Legal Name of Applicant) certifies that its demand responsive service offered to individuals with disabilities
is defined in 49 CFR 37.31 including individuals who use wheelchairs is equivalent to the level and quality of service offered to individuals without disabilities. Such service when viewed in its entirety is provided in the most integrated setting feasible and is equivalent with respect to

- 1) Response time
- 2) Fares,
- 3) Geographic service area
- 4) Hours and days of service
- 5) Restrictions or priorities based on trip purpose,
- 6) Availability of information and reservation capability, and
- 7) Constraints on capacity or service availability

In accordance with 49 CFR 37.77 public funded entities operating demand responsive systems for the general public which receive financial assistance under section 18 of the Federal Transit Act must file this certification with the appropriate state program office before procuring any inaccessible vehicle. NCDOT also requires state funded entities that do not receive Federal Transit Administration (FTA) funds to file this certification as well. **This certification is valid for no longer than one year from its date of filing.**

The NCDOT Public Transportation Division requires all participants to certify equivalent service when requesting to purchase non-ADA accessible vehicles. By signing this certification, the above named agency is certifying that it has a mechanism in place to provide ride to individuals with disabilities. The risk must be provided in a manner equivalent to the service provided by the above named agency to individuals without disabilities. Verification must include the attached form entitled *Measuring and Monitoring Equivalency for a General Public Demand Responsive Transportation Service*.

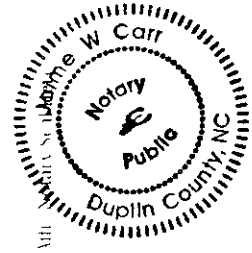
James W. Carr
Signature of Authorized Official

Sworn Subscribed and sworn to me
(date) 3/11/21

James W. Carr
Notary Public

1014 Stoddington Rd, Rose Hill, NC 28458
Printed Name and Address

My commission expires
(date) 4/23/23



Comparison of ADA Regulatory Requirements for General Public Demand Responsive Services versus ADA Complementary Paratransit Services

Criteria/Requirement	General Public Demand Responsive Transportation Services (Equivalency)	ADA Complementary Paratransit Services (Comparable to Fixed Route)
Type of Service (DTD v CTC)	Whatever policy you set - Same for everyone	Origin to-destination
Service Area	Same as everyone else	1/4 of a mile of all non commuter fixed routes
Response Time	Same as everyone else	Next day service
Fares	Same for all	2 times base fixed route fare
Days and Hours	Same for all	All the fixed route hours
Trip Purpose	Can set policy , same for all	All trip purposes - no priorities
Capacity Constraints	Same for all	No capacity constraints
Information and Communication Access	Provide accessible information and communications	Provide accessible information and communications

Measuring and Monitoring Equivalency for a General Public Demand Responsive Transportation Service		
Criteria/Requirement	Data and Analysis to Ensure Equivalency	
Service Area	Duplin County Other counties as requested/approved, New Hanover Pender Onslow, Pitt Lenoir, Sampson, Cumberland, Wayne Johnston, Wake Durham Orange, Harnett	
Response Time	Same for everyone	
Fares	In-County \$3.00 elderly/disabled \$5.00 general public Out-of-County varies depending on county traveled to, same for everyone	
Days and Hours	Monday through Friday 5:00am – 5:00pm Same for everyone	
Trip Purposes	Medical, employment general public fares shopping education, nutrition sites outreach Same for everyone	
Capacity Constraints	Same for everyone	
Trip Denials	Same for everyone	
Trip Caps	Same for everyone	
Waiting Lists	Same for everyone	
Missed Trips	Same for everyone	
On Time Performance	Same for everyone	
Travel Time	1.5 hours maximum for in-county same for everyone	

(Required of all Applicants)
CERTIFICATION AND RESTRICTIONS ON LOBBYING

I, Dexter Edwards, _____, on behalf of _____

Name of Authorized Official

Duplin County

Legal Name of Applicant

Herby certifies that:

No Federal/State appropriated funds have been paid or will be paid by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any Federal/State agency, a Member of Congress or State Legislature, an employee of a member of Congress or State Legislature, or an officer or employee of Congress or State Legislature in connection with the awarding of any Federal/State contract, the making of any Federal/State grant, the making of any Federal/State loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal/State contract, grant, loan, or cooperative agreement.

If any funds other than Federal/State appropriated funds have been paid or will be paid to any person influencing or attempting to influence an officer or employee of any Federal/State agency, a Member of Congress or State Legislature, an employee of a member of Congress or State Legislature, or an officer or employee of Congress or State Legislature in connection with the Federal/State contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, 'Disclosure Form to Report Lobbying', in accordance with its instructions.

The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including sub-contracts, sub-grants, and contracts under grants, loans, and cooperative agreements), and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance may be placed when this transaction is made in whole or in part. Submission of this certification is a prerequisite for making or entering into this transaction approved by 31 U.S.C. § 1352 (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification may be subject to criminal penalties of not less than \$20,000 and not more than \$100,000 for each such failure.

The undersigned certifies or affirms the truthfulness and accuracy of the contents of the statements submitted on or with this certification and understands that the provisions of 31 U.S.C. Section 3801 et seq. are applicable thereto.

Dexter B. Edwards
Signature of Authorized Official

Seal Subscribed and sworn to me
(date)

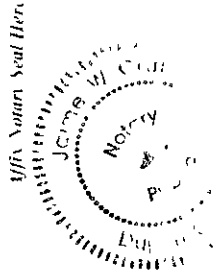
3/1/21

James W. Carr
Notary Public

1014 Stockingwood Rd, Rose Hill, NC 28358
Printed Name and Address

My commission expires
(date)

4/23/23



Special Section 5333(b) Warranty
For Application to the Nonurbanized Area Formula Program

The following language shall be made part of the contract of assistance with the State or other public body charged with allocation and administration of funds provided under the Community Transportation Program (CTP)

A. General Application

The Public Body (The North Carolina Department of Transportation) agrees that the terms and conditions of this warranty, as set forth below, shall apply for the protection of the transportation related employees of any employer providing transportation services assisted by the project,

Duplin County

(Legal Name of Applicant) and the transportation related employees of any other surface public transportation providers in the transportation service area of the project

The Public Body shall provide to the U S Department of Labor and maintain at all times during the Project an accurate, up-to-date listing of all existing transportation providers which are eligible Recipients of transportation assistance funded by the Project, in the transportation service area of the Project, and any labor organizations representing the employees of such providers

Certification by the Public Body to the U S Department of Labor that the designated Recipients have indicated in writing acceptance of the terms and conditions of the warranty arrangement will be sufficient to permit the flow of CTP funding in the absence of a finding of noncompliance by the Department of Labor

B Standard Terms and Conditions

(1) The Project shall be carried out in such a manner and upon such terms and conditions as will not adversely affect employees of the Recipient and of any other surface public transportation provider in the transportation service area of the Project. It shall be an obligation of the Recipient to assure that any and all transportation services assisted by the Project are contracted for and operated in such a manner that they do not impair the rights and interests of affected employees. The term "Project," as used herein, shall not be limited to the particular facility, service, or operation assisted by Federal funds, but shall include any changes, whether organizational, operational, technological, or otherwise, which are a result of the assistance provided. The phrase "as a result of the Project," shall, when used in this arrangement, include events related to the Project occurring in anticipation of, during, and subsequent to the Project and any program of efficiencies or economies related thereto, provided, however, that volume rises and falls of business, or changes in volume and

character of employment brought about solely by causes other than the Project (including any economies or efficiencies unrelated to the Project) are not within the purview of this arrangement

An employee covered by this arrangement, who is not dismissed, displaced or otherwise worsened in his/her position with regard to employment as a result of the Project, but who is dismissed, displaced or otherwise worsened solely because of the total or partial termination of the Project or exhaustion of Project funding shall not be deemed eligible for a dismissal or displacement allowance within the meaning of paragraphs (6) and (7) of this arrangement

(2) Where employees of a Recipient are represented for collective bargaining purposes, all Project services provided by that Recipient shall be provided under and in accordance with any collective bargaining agreement applicable to such employees which is then in effect This Arrangement does not create any collective bargaining relationship where one does not already exist or between any Recipient and the employees of another employer Where the Recipient has no collective bargaining relationship with the Unions representing employees in the service area, the Recipient will not take any action which impairs or interferes with the rights, privileges, and benefits and/or the preservation or continuation of the collective bargaining rights of such employees

(3) All rights, privileges, and benefits (including pension rights and benefits) of employees covered by this arrangement (including employees having already retired) under existing collective bargaining agreements or otherwise, or under any revision or renewal thereof, shall be preserved and continued, provided, however, that such rights, privileges and benefits which are not foreclosed from further bargaining under applicable law or contract may be modified by collective bargaining and agreement by the Recipient and the Union involved to substitute other rights, privileges and benefits Unless otherwise provided, nothing in this arrangement shall be deemed to restrict any rights the Recipient may otherwise have to direct the working forces and manage its business as it deemed best, in accordance with the applicable collective bargaining agreement

(4) The collective bargaining rights of employees covered by this arrangement, including the right to arbitrate labor disputes and to maintain union security and checkoff arrangements, as provided by applicable laws, policies and/or existing collective bargaining agreements, shall be preserved and continued Provided, however, that this provision shall not be interpreted so as to require the Recipient to retain any such rights which exist by virtue of a collective bargaining agreement after such agreement is no longer in effect

The Recipient agrees that it will bargain collectively with the Union or otherwise arrange for the continuation of collective bargaining, and that it will enter into agreements with the Union or arrange for such agreements to be entered into, relative to all subjects which are or may be proper subjects of collective bargaining if, at any time, applicable law or contracts permit or grant to employees covered by this arrangement the right to utilize any economic measures, nothing in this arrangement shall be deemed to foreclose the exercise of such right

(5)(a) The Recipient shall provide to all affected employees sixty (60) days' notice of intended actions which may result in displacements or dismissals or rearrangements of the working forces as a result of the Project In the case of employees represented by a Union, such notice shall be provided by certified mail through their representatives The notice shall contain a full and adequate statement of the proposed changes, and an estimate of the number of employees affected by the intended changes, and the number and classifications of any jobs within the jurisdiction and control of the Recipient, including those in the employment of any entity bound by this arrangement pursuant to paragraph (21), available to be filled by such affected employees

(5)(b) The procedures of this subparagraph shall apply to cases where notices involve employees represented by a Union for collective bargaining purposes At the request of either the Recipient or the representatives of such employees, negotiations for the purposes of reaching agreement with respect to the application of the terms and conditions of this arrangement shall commence immediately These negotiations shall include determining the selection of forces from among the mass transportation employees who may be affected as a result of the Project, to establish which such employees shall be offered employment for which they are qualified or can be trained If no agreement is reached within twenty (20) days from the commencement of negotiations, any party to the dispute may submit the matter to dispute settlement procedures in accordance with paragraph (15) of this arrangement Unless the parties otherwise mutually agree in writing, no change in operations, services, facilities or equipment within the purview of this paragraph (5) shall occur until after either 1) an agreement with respect to the application of the terms and conditions of this arrangement to the intended change(s) is reached, 2) the decision of the arbitrator has been rendered pursuant to this subparagraph (b), or 3) an arbitrator selected pursuant to Paragraph (15) of this arrangement determines that the intended change(s) may be instituted prior to the finalization of implementing arrangements

(5)(c) In the event of a dispute as to whether an intended change within the purview of this (5)(c) In the event of a dispute as to whether an intended change within the purview of this paragraph (5) may be instituted at the end of the 60-day notice period and before an implementing agreement is reached or a final arbitration decision is rendered pursuant to subparagraph (b), any involved party may immediately submit that issue to arbitration under paragraph (15) of this arrangement In any such arbitration, the arbitrator shall rely upon the standards and criteria utilized by the Surface Transportation Board (and its predecessor agency, the Interstate Commerce Commission) to address the "preconsummation" issue in cases involving employee protections pursuant to 49 U.S.C. Section 11326 (or its

predecessor, Section 5(2)(f) of the Interstate Commerce Act, as amended) If the Recipient demonstrates, as a threshold matter in any such arbitration, that the intended action is a trackage rights, lease proceeding or similar transaction, and not a merger, acquisition, consolidation, or other similar transaction, the burden shall then shift to the involved labor organization(s) to prove that under the standards and criteria referenced above, the intended action should not be permitted to be instituted prior to the effective date of a negotiated or arbitrated implementing agreement. If the Recipient fails to demonstrate that the intended action is a trackage rights, lease proceeding, or similar transaction, it shall be the burden of the Recipient to prove that under the standards and criteria referenced above, the intended action should be permitted to be instituted prior to the effective date of a negotiated or arbitrated implementing agreement. For purposes of any such arbitration, the time period within which the parties are to respond to the list of potential arbitrators submitted by the American Arbitration Association Service shall be five (5) days, the notice of hearing may be given orally or by facsimile, the hearing will be held promptly, the award of the arbitrator shall be rendered promptly and, unless otherwise agreed to by the parties, no later than fourteen (14) days from the date of closing the hearings, with five (5) additional days for mailing if posthearing briefs are requested by either party. The intended change shall not be instituted during the pendency of any arbitration proceedings under this subparagraph (c).

(5)(d) If an intended change within the purview of this paragraph (5) is instituted before an implementing agreement is reached or a final arbitration decision is rendered pursuant to subparagraph (b), all employees affected shall be kept financially whole, as if the noticed and implemented action has not taken place, from the time they are affected until the effective date of an implementing agreement or final arbitration decision. This protection shall be in addition to the protective period defined in paragraph (14) of this arrangement, which period shall begin on the effective date of the implementing agreement or final arbitration decision rendered pursuant to subparagraph (b).

An employee selecting, bidding on, or hired to fill any position established as a result of a noticed and implemented action prior to the consummation of an implementing agreement or final arbitration decision shall accumulate no benefits under this arrangement as a result thereof during that period prior to the consummation of an implementing agreement or final arbitration decision pursuant to subparagraph (b).

(6)(a) Whenever an employee, retained in service, recalled to service, or employed by the Recipient pursuant to paragraphs (5), (7)(e), or (18) hereof is placed in a worse position with respect to compensation as a result of the Project, the employee shall be considered a "displaced employee", and shall be paid a monthly "displacement allowance" to be determined in accordance with this paragraph. Said displacement allowance shall be paid each displaced employee during the protective period so long as the employee is unable, in the exercise of his/her seniority rights, to obtain a position producing compensation equal to or exceeding the compensation the employee received in the position from which the

employee was displaced, adjusted to reflect subsequent general wage adjustments, including cost of living adjustments where provided for

(6)(b) The displacement allowance shall be a monthly allowance determined by computing the total compensation received by the employee, including vacation allowances and monthly compensation guarantees, and his/her total time paid for during the last twelve (12) months in which the employee performed compensated service more than fifty per centum of each such months, based upon the employee's normal work schedule, immediately preceding the date of his/her displacement as a result of the Project, and by dividing separately the total compensation and the total time paid for by twelve, thereby producing the average monthly compensation and the average monthly time paid for. Such allowance shall be adjusted to reflect subsequent general wage adjustments, including cost of living adjustments where provided for. If the displaced employee's compensation in his/her current position is less in any month during his/her protective period than the aforesaid average compensation (adjusted to reflect subsequent general wage adjustments, including cost of living adjustments where provided for), the employee shall be paid the difference, less compensation for any time lost on account of voluntary absences to the extent that the employee is not available for service equivalent to his/her average monthly time, but the employee shall be compensated in addition thereto at the rate of the current position for any time worked in excess of the average monthly time paid for. If a displaced employee fails to exercise his/her seniority rights to secure another position to which the employee is entitled under the then existing collective bargaining agreement, and which carries a wage rate and compensation exceeding that of the position which the employee elects to retain, the employee shall thereafter be treated, for the purposes of this paragraph, as occupying the position the employee elects to decline.

(6)(c) The displacement allowance shall cease prior to the expiration of the protective period in the event of the displaced employee's resignation, death, retirement, or dismissal for cause in accordance with any labor agreement applicable to his/her employment.

(7)(a) Whenever any employee is laid off or otherwise deprived of employment as a result of the Project, in accordance with any collective bargaining agreement applicable to his/her employment, the employee shall be considered a "dismissed employee" and shall be paid a monthly dismissal allowance to be determined in accordance with this paragraph. Said dismissal allowance shall first be paid each dismissed employee on the thirtieth (30th) day following the day on which the employee is "dismissed" and shall continue during the protective period, as follow

Employee's length of Service prior to adverse effect	Period of protection
1 day to 6 years	equivalent period
6 years or more	6 years

The monthly dismissal allowance shall be equivalent to one-twelfth (1/12th) of the total compensation received by the employee in the last twelve (12) months of his/her employment in which the employee performed compensation service more than fifty per centum of each such month based on the employee's normal work schedule to the date on which the employee was first deprived of employment as a result of the Project. Such allowance shall be adjusted to reflect subsequent general wage adjustments, including cost of living adjustments where provided for

(7)(b) An employee shall be regarded as deprived of employment and entitled to a dismissal allowance when the position the employee holds is abolished as a result of the Project, or when the position the employee holds is not abolished but the employee loses that position as a result of the exercise of seniority rights by an employee whose position is abolished as a result of the Project or as a result of the exercise of seniority rights by other employees brought about as a result of the Project, and the employee is unable to obtain another position, either by the exercise of the employee's seniority rights, or through the Recipient, in accordance with subparagraph (e) in the absence of proper notice followed by an agreement or decision pursuant to paragraph (5) hereof, no employee who has been deprived of employment as a result of the Project shall be required to exercise his/her seniority rights to secure another position in order to qualify for a dismissal allowance hereunder

(7)(c) Each employee receiving a dismissal allowance shall keep the Recipient informed as to his/her current address and the current name and address of any other person by whom the employee may be regularly employed, or if the employee is self-employed

(7)(d) The dismissal allowance shall be paid to the regularly assigned incumbent of the position abolished if the position of an employee is abolished when the employee is absent from service, the employee will be entitled to the dismissal allowance when the employee is available for service. The employee temporarily filling said position at the time it was abolished will be given a dismissal allowance on the basis of that position, until the regular employee is available for service, and thereafter shall revert to the employee's previous status and will be given the protections of the agreement in said position, if any are due him/her

(7)(e) An employee receiving a dismissal allowance shall be subject to call to return to service by the employee's former employer, notification shall be in accordance with the terms of the then-existing collective bargaining agreement if the employee is represented by a union. Prior to such call to return to work by his/her employer, the employee may be required by the Recipient to accept reasonably comparable employment for which the employee is physically and mentally qualified, or for which the employee can become qualified after a reasonable training or retraining period, provided it does not require a change in residence or infringe upon the employment rights of other employees under then-existing collective bargaining agreements

(7)(f) When an employee who is receiving a dismissal allowance again commences employment in accordance with subparagraph (e) above, said allowance shall cease while the employee is so reemployed, and the period of time during which the employee is so reemployed shall be deducted from the total period for which the employee is entitled to receive a dismissal allowance. During the time of such reemployment, the employee shall be entitled to the protections of this arrangement to the extent they are applicable

(7)(g) The dismissal allowance of any employee who is otherwise employed shall be reduced to the extent that the employee's combined monthly earnings from such other employment or self-employment, any benefits received from any unemployment insurance law, and his/her dismissal allowance exceed the amount upon which the employee's dismissal allowance is based. Such employee, or his/her union representative, and the Recipient shall agree upon a procedure by which the Recipient shall be kept currently informed of the earnings of such employee in employment other than with the employee's former employer, including self-employment, and the benefits received

(7)(h) The dismissal allowance shall cease prior to the expiration of the protective period in the event of the failure of the employee without good cause to return to service in accordance with the applicable labor agreement, or to accept employment as provided under subparagraph (e) above, or in the event of the employee's resignation, death, retirement, or dismissal for cause in accordance with any labor agreement applicable to his/her employment

(7)(i) A dismissed employee receiving a dismissal allowance shall actively seek and not refuse other reasonably comparable employment offered him/her for which the employee is

physically and mentally qualified and does not require a change in the employee's place of residence. Failure of the dismissed employee to comply with this obligation shall be grounds for discontinuance of the employee's allowance, provided that said dismissal allowance shall not be discontinued until final determination is made either by agreement between the Recipient and the employee or his/her representative, or by final arbitration decision rendered in accordance with paragraph (15) of this arrangement that such employee did not comply with this obligation

(8) In determining length of service of a displaced or dismissed employee for purposes of this arrangement, such employee shall be given full service credits in accordance with the records and labor agreements applicable to him/her and the employee shall be given additional service credits for each month in which the employee receives a dismissal or displacement allowance as if the employee were continuing to perform services in his/her former position

(9) No employee shall be entitled to either a displacement or dismissal allowance under paragraphs (6) or (7) hereof because of the abolishment of a position to which, at some future time, the employee could have bid, been transferred, or promoted

(10) No employee receiving a dismissal or displacement allowance shall be deprived, during the employee's protected period, of any rights, privileges, or benefits attaching to his/her employment, including, without limitation, group life insurance, hospitalization and medical care, free transportation for the employee and the employee's family, sick leave, continued status and participation under any disability or retirement program, and such other employee benefits as Railroad Retirement, Social Security, Workmen's Compensation, and unemployment compensation, as well as any other benefits to which the employee may be entitled under the same conditions and so long as such benefits continue to be accorded to other employees of the bargaining unit, in active service or furloughed as the case may be

(11)(a) Any employee covered by this arrangement who is retained in the service of his/her employer, or who is later restored to service after being entitled to receive a dismissal allowance, and who is required to change the point of his/her employment in order to retain or secure active employment with the Recipient in accordance with this arrangement, and who is required to move his/her place of residence, shall be reimbursed for all expenses of moving his/her household and other personal effects, for the traveling expenses for the employee and members of the employee's immediate family, including living expenses for the employee and the employee's immediate family, and for his/her own actual wage loss during the time necessary for such transfer and for a reasonable time thereafter, not to exceed five (5) working days. The exact extent of the responsibility of the Recipient under this paragraph, and the ways and means of transportation, shall be agreed upon in advance between the Recipient and the affected employee or the employee's representatives

(11)(b) If any such employee is laid off within three (3) years after changing his/her point of employment in accordance with paragraph (a) hereof, and elects to move his/her place of

residence back to the original point of employment, the Recipient shall assume the expenses, losses and costs of moving to the same extent provided in subparagraph (a) of this paragraph (11) and paragraph (12)(a) hereof

(11)(c) No claim for reimbursement shall be paid under the provisions of this paragraph unless such claim is presented to the Recipient in writing within ninety (90) days after the date on which the expenses were incurred

(11)(d) Except as otherwise provided in subparagraph (b), changes in place of residence, subsequent to the initial changes as a result of the Project, which are not a result of the Project but grow out of the normal exercise of seniority rights, shall not be considered within the purview of this paragraph

(12)(a) The following conditions shall apply to the extent they are applicable in each instance to any employee who is retained in the service of the employer (or who is later restored to service after being entitled to receive a dismissal allowance), who is required to change the point of his/her employment as a result of the Project, and is thereby required to move his/her place of residence

If the employee owns his/her own home in the locality from which the employee is required to move, the employee shall, at the employee's option, be reimbursed by the Recipient for any loss suffered in the sale of the employee's home for less than its fair market value, plus conventional fees and closing costs, such loss to be paid within thirty (30) days of settlement or closing on the sale of the home. In each case, the fair market value of the home in question shall be determined, as of a date sufficiently prior to the date of the Project, so as to be unaffected thereby. The Recipient shall, in each instance, be afforded an opportunity to purchase the home at such fair market value before it is sold by the employee to any other person and to reimburse the seller for his/her conventional fees and closing costs

If the employee is under a contract to purchase his/her home, the Recipient shall protect the employee against loss under such contract, and in addition, shall relieve the employee from any further obligation thereunder

If the employee holds an unexpired lease of a dwelling occupied as the employee's home the Recipient shall protect the employee from all loss and cost in securing the cancellation of said lease

(12)(b) No claim for loss shall be paid under the provisions of this paragraph unless such claim is presented to the Recipient in writing within one year after the effective date of the change in residence

(12)(c) Should a controversy arise in respect to the value of the home, the loss sustained in its sale, the loss under a contract for purchase, loss and cost in securing termination of a lease, or any other question in connection with these matters, it shall be decided through a

joint conference between the employee, or his/her union, and the Recipient. In the event they are unable to agree, the dispute or controversy may be referred by the Recipient or the union to a board of competent real estate appraisers selected in the following manner: one (1) to be selected by the representatives of the employee, and one (1) by the Recipient, and these two, if unable to agree within thirty (30) days upon the valuation, shall endeavor by agreement with ten (10) days thereafter to select a third appraiser or to agree to a method by which a third appraiser shall be selected, and failing such agreement, either party may request the State and local Board of Real Estate Commissioners to designate within ten (10) days a third appraiser, whose designation will be binding upon the parties and whose jurisdiction shall be limited to determination of the issues raised in this paragraph only. A decision of a majority of the appraisers shall be required and said decision shall be final, binding, and conclusive. The compensation and expenses of the neutral appraiser including expenses of the appraisal board, shall be borne equally by the parties to the proceedings. All other expenses shall be paid by the party incurring them, including the compensation of the appraiser selected by such party.

(12)(d) Except as otherwise provided in paragraph (11)(b) hereof, changes in place of residence, subsequent to the initial changes as a result of the Project, which are not a result of the Project but grow out of the normal exercise of seniority rights, shall not be considered within the purview of this paragraph.

(12)(e) "Change in residence" means transfer to a work location which is either (A) outside a radius of twenty (20) miles of the employee's former work location and farther from the employee's residence than was his/her former work location, or (B) is more than thirty (30) normal highway route miles from the employee's residence and also farther from his/her residence than was the employee's former work location.

(13)(a) A dismissed employee entitled to protection under this arrangement may, at the employee's option within twenty-one (21) days of his/her dismissal, resign and (in lieu of all other benefits and protections provided in this arrangement) accept a lump sum payment computed in accordance with section (9) of the Washington Job Protection Agreement of May 1936

Length of Service	Separation Allowance
1 year and less than 2 years	3 months' pay
2 years and less than 3 years	6 months' pay
3 years and less than 5 years	9 months' pay
5 years and less than 10 years	12 months' pay
10 years and less than 15 years	12 months' pay
15 years and over	12 months' pay

In the case of an employee with less than one year's service, five days' pay, computed by multiplying by 5 the normal daily earnings (including regularly scheduled overtime, but excluding other overtime payments) received by the employee in the position last occupied, for each month in which the employee performed service, will be paid as the lump sum.

Length of service shall be computed as provided in Section 7(b) of the Washington Job Protection Agreement, as follows:

For the purposes of this arrangement, the length of service of the employee shall be determined from the date the employee last acquired an employment status with the employing carrier and the employee shall be given credit for one month's service for each month in which the employee performed any service (in any capacity whatsoever) and twelve (12) such months shall be credited as one year's service. The employment status of an employee shall not be interrupted by furlough in instances where the employee has a right to and does return to service when called. In determining length of service of an employee acting as an officer or other official representative of an employee organization, the employee will be given credit for performing service while so engaged on leave of absence from the service of a carrier.

(13)(b) One month's pay shall be computed by multiplying by 30 the normal daily earnings (including regularly scheduled overtime, but excluding other overtime payments) received by the employee in the position last occupied prior to time of the employee's dismissal as a result of the Project.

(14) Whenever used herein, unless the context requires otherwise, the term "protective period" means that period of time during which a displaced or dismissed employee is to be provided protection hereunder and extends from the date on which an employee is displaced or dismissed to the expiration of six (6) years therefrom, provided, however, that the protective period for any particular employee during which the employee is entitled to receive the benefits of these provisions shall not continue for a longer period following the

date the employee was displaced or dismissed than the employee's length of service, as shown by the records and labor agreements applicable to his/her employment prior to the date of the employee's displacement or dismissal

(15)(a) In the event that employee(s) are represented by a Union, any dispute, claim, or grievance arising from or relating to the interpretation, application or enforcement of the provisions of this arrangement, not otherwise governed by paragraph 12(c), the Labor-Management Relations Act, as amended, the Railway Labor Act, as amended, or by impasse resolution provisions in a collective bargaining or protective arrangement involving the Recipient and the Union, which cannot be settled by the parties thereto within thirty (30) days after the dispute or controversy arises, may be referred by any such party to any final and binding disputes settlement procedure acceptable to the parties. In the event they cannot agree upon such procedure, the dispute, claim, or grievance may be submitted at the written request of the Recipient or the Union to final and binding arbitration. Should the parties be unable to agree upon the selection of a neutral arbitrator within ten (10) days, any party may request the American Arbitration Association to furnish, from among arbitrators who are then available to serve, five (5) arbitrators from which a neutral arbitrator shall be selected. The parties shall, within five (5) days after the receipt of such list, determine by lot the order of elimination and thereafter each shall, in that order, alternately eliminate one name until only one name remains. The remaining person on the list shall be the neutral arbitrator. Unless otherwise provided, in the case of arbitration proceedings, under paragraph (5) of this arrangement, the arbitration shall commence within fifteen (15) days after selection or appointment of the neutral arbitrator, and the decision shall be rendered within forty-five (45) days after the hearing of the dispute has been concluded and the record closed. The decision shall be final and binding. All the conditions of the arrangement shall continue to be effective during the arbitration proceedings

(15)(b) The compensation and expenses of the neutral arbitrator, and any other jointly incurred expenses, shall be borne equally by the Union(s) and Recipient, and all other expenses shall be paid by the party incurring them

(15)(c) In the event that employee(s) are not represented by a Union, any dispute, claim, or grievance arising from or relating to the interpretation, application or enforcement of the provisions of this arrangement which cannot be settled by the Recipient and the employee(s) within thirty (30) days after the dispute or controversy arises, may be referred by any such party to any final and binding dispute settlement procedure acceptable to the parties, or in the event the parties cannot agree upon such a procedure, the dispute or controversy may be referred to the Secretary of Labor for a final and binding determination

(15)(d) In the event of any dispute as to whether or not a particular employee was affected by the Project, it shall be the obligation of the employee or the representative of the employee to identify the Project and specify the pertinent facts of the Project relied upon. It shall then be the burden of the Recipient to prove that factors other than the Project affected the employee. The claiming employee shall prevail if it is established that the Project had an effect upon the employee even if other factors may also have affected the employee. (See Hodgson's Affidavit in Civil Action No. 825-71)

(16) The Recipient will be financially responsible for the application of these conditions and will make the necessary arrangements so that any employee covered by this arrangement may file a written claim of its violation, through the Union, or directly if the employee is outside the bargaining unit, with the Recipient within sixty (60) days of the date the employee is terminated or laid off as a result of the Project, or within eighteen (18) months of the date the employee's position with respect to his/her employment is otherwise worsened as a result of the Project. In the latter case, if the events giving rise to the claim have occurred over an extended period, the 18-month limitation shall be measured from the last such event. No benefits shall be payable for any period prior to six (6) months from the date of the filing of any claim. Unless such claims are filed with the Recipient within said time limitations, the Recipient shall thereafter be relieved of all liabilities and obligations related to the claim.

The Recipient will fully honor the claim, making appropriate payments, or will give notice to the claimant or his/her representative of the basis for denying or modifying such claim, giving reasons therefore. If the Recipient fails to honor such claim, the Union or non-bargaining unit employee may invoke the following procedures for further joint investigation of the claim by giving notice in writing. Within ten (10) days from the receipt of such notice, the parties shall exchange such factual material as may be requested of them relevant to the disposition of the claim and shall jointly take such steps as may be necessary or desirable to obtain from any third party such additional factual materials as may be relevant. In the event the Recipient rejects the claim, the claim may be processed to arbitration as hereinabove provided by paragraph (15).

(17) Nothing in this arrangement shall be construed as depriving any employee of any rights or benefits which such employee may have under existing employment or collective bargaining agreements or otherwise, provided that there shall be no duplication of benefits to any employee, and, provided further, that any benefit under this arrangement shall be construed to include the conditions, responsibilities, and obligations accompanying such benefit. This arrangement shall not be deemed a waiver of any rights of any Union or of any represented employee derived from any other agreement or provision of federal state or local law.

(18) During the employee's protective period, a dismissed employee shall, if the employee so requests, in writing, be granted priority of employment or reemployment to fill any vacant position within the jurisdiction and control of the Recipient reasonably comparable to that which the employee held when dismissed, including those in the employment of any entity bound by this arrangement pursuant to paragraph (21) herein, for which the employee is, or by training or retraining can become, qualified, not, however, in contravention of collective bargaining agreements related thereto. In the event such employee requests such training or re-training to fill such vacant position, the Recipient shall provide for such training or re-training at no cost to the employee. The employee shall be paid the salary or hourly rate provided for in the applicable collective bargaining agreement or otherwise established in personnel policies or practices for such position, plus any displacement allowance to which the employee may be otherwise entitled. If such dismissed employee who has made such request fails, without good cause, within ten (10) days to accept an offer of a position comparable to that which the employee held when dismissed for which the employee is qualified, or for which the employee has satisfactorily completed such training, the employee shall, effective at the expiration of such ten-day period, forfeit all rights and benefits under this arrangement.

As between employees who request employment pursuant to this paragraph, the following order where applicable shall prevail in hiring such employees:

- (a) Employees in the craft or class of the vacancy shall be given priority over employees without seniority in such craft or class,
- (b) As between employees having seniority in the craft or class of the vacancy, the senior employees, based upon their service in that craft or class, as shown on the appropriate seniority roster, shall prevail over junior employees,
- (c) As between employees not having seniority in the craft or class of the vacancy, the senior employees, based upon their service in the crafts or classes in which they do have seniority as shown on the appropriate seniority rosters, shall prevail over junior employees
- (19) The Recipient will post, in a prominent and accessible place, a notice stating that the Recipient has received federal assistance under the Federal Transit statute and has agreed to comply with the provisions of 49 U.S.C., Section 5333(b). This notice shall also specify the terms and conditions set forth herein for the protection of employees. The Recipient shall maintain and keep on file all relevant books and records in sufficient detail as to provide the basic information necessary to the proper application, administration, and enforcement of this arrangement and to the proper determination of any claims arising thereunder.

(20) In the event the Project is approved for assistance under the statute, the foregoing terms and conditions shall be made part of the contract of assistance between the federal government and the applicant for federal funds and between the applicant and any recipient of federal funds, provided, however, that this arrangement shall not merge into the contract

of assistance, but shall be independently binding and enforceable by and upon the parties thereto, and by any covered employee or his/her representative, in accordance with its terms, nor shall any other employee protective agreement merge into this arrangement, but each shall be independently binding and enforceable by and upon the parties thereto, in accordance with its terms.

(21) This arrangement shall be binding upon the successors and assigns of the parties hereto, and no provisions, terms, or obligations herein contained shall be affected, modified, altered, or changed in any respect whatsoever by reason of the arrangements made by or for the Recipient to manage and operate the system.

Any person, enterprise, body, or agency, whether publicly - or privately-owned, which shall undertake the management, provision and/or operation of the Project services or the Recipient's transit system, or any part or portion thereof, under contractual arrangements of any form with the Recipient, its successors or assigns, shall agree to be bound by the terms of this arrangement and accept the responsibility with the Recipient for full performance of these conditions. As a condition precedent to any such contractual arrangements, the Recipient shall require such person, enterprise, body or agency to so agree:

(22) In the event of the acquisition, assisted with Federal funds, of any transportation system or services, or any part or portion thereof, the employees of the acquired entity shall be assured employment, in comparable positions, within the jurisdiction and control of the acquiring entity, including positions in the employment of any entity bound by this arrangement pursuant to paragraph (21). All persons employed under the provisions of this paragraph shall be appointed to such comparable positions without examination, other than that required by applicable federal, state or federal law or collective bargaining agreement, and shall be credited with their years of service for purposes of seniority, vacations, and pensions in accordance with the records of their former employer and/or any applicable collective bargaining agreements.

(23) The employees covered by this arrangement shall continue to receive any applicable coverage under Social Security, Railroad Retirement, Workmen's Compensation, unemployment compensation, and the like. In no event shall these benefits be worsened as a result of the Project.

(24) In the event any provision of this arrangement is held to be invalid, or otherwise unenforceable under the federal, state, or local law, in the context of a particular Project, the remaining provisions of this arrangement shall not be affected and the invalid or unenforceable provision shall be renegotiated by the Recipient and the interested Union representatives, if any, of the employees involved for purpose of adequate replacement under Section 5333(b). If such negotiation shall not result in mutually satisfactory agreement, any party may invoke the jurisdiction of the Secretary of Labor to determine substitute fair and equitable employee protective arrangements for application only to the particular

2022 Special Section 5333 (b) Warranty

Project, which shall be incorporated in this arrangement only as applied to that Project, and any other appropriate action, remedy, or relief

(25) If any employer of the employees covered by this arrangement shall have rearranged or adjusted its forces in anticipation of the Project, with the effect of depriving an employee of benefits to which the employee should be entitled under this arrangement, the provisions of this arrangement shall apply to such employee as of the date when the employee was so affected

C. Acceptance of Special Section 5333(b) Warranty

I, (Name and Title) Dexter Edwards, Chairperson
(Name and Title)

do hereby certify that

Duplin County
(Legal Name of Applicant/Recipient)

has agreed to the terms and conditions of this Warranty, will accept this agreement as part of the contract of assistance with the North Carolina Department of Transportation, and will post, in a prominent and accessible place, the terms and conditions of the Warranty with a notice stating that the Recipient has received federal assistance under the Federal Transit statute and has agreed to comply with these terms.


Signature of Authorized Official

Date

STATE OF NORTH CAROLINA
COUNTY OF DUPLIN

EXTENSION TO
NUTRITION PROGRAM CATERER CONTRACT

This Extension to Nutrition Program Caterer Contract (hereinafter "Extension") is made and entered into this 1st day of March, 2021, by and between Diamond Food Enterprises, Inc. (hereinafter "Caterer") and Duplin County Services for the Aged (hereinafter "Services for the Aged")

WITNESSETH:

WHEREAS, Services for the Aged previously entered into a Nutrition Program Caterer Contract (hereinafter "Contract") with Caterer on June 17, 2019 to provide prepared meals to elderly clientele of Services for the Aged under its Congregate Nutrition and Home Delivered Meals program for the period of July 1, 2019 through June 30, 2020

WHEREAS, said Contract allows Services for the Aged to renew the Contract for an additional twelve (12) month period ending June 30, 2021, upon the same terms and conditions as otherwise contained in the Contract.

WHEREAS, pursuant to the aforementioned renewal option, the parties renewed the Contract for a one (1) year term ending June 30, 2021

WHEREAS, said Contract allows the parties by written agreement to extend the Contract for an additional two (2) or more years, so long as there is no increase in per meal price, and

WHEREAS, pursuant to the aforementioned renewal option which is expressly recognized, affirmed, and agreed upon by Caterer and Services for the Aged, the parties hereby agree to renew the Contract for an additional three (3) year term of July 1, 2021 through July 1, 2024

NOW FURTHER, in consideration of the foregoing promises and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties hereto agree as follows

- 1 The Contract referenced above and herein incorporated is hereby extended for an additional three (3) year term for the period of July 1, 2021 through June 30, 2024
- 2 All other terms of the Contract remain in force as previously agreed, including but not limited to the compensation to be paid to Caterer in the amount of \$4.71 per meal inclusive of any applicable sales tax
- 3 By execution hereof, the person signing for Caterer below certifies that he/she has read this agreement and that he/she is duly authorized to execute this contract on behalf of Caterer
- 4 Caterer certifies that it is not delinquent on any taxes, fees, or other debt owed by Caterer to Duplin County. Caterer covenants and agrees to remain current on any taxes, fees, or other debt owed by Caterer to Duplin County during the term of the Contract and this Extension thereto
- 5 This Agreement may be subject to the annual appropriation of funds by the Duplin County Commissioners. Notwithstanding any provision herein to the contrary, in the event that funds are not

000035

FY 2022 CONSOLIDATED AGREEMENT
CHANGES OVERVIEW

Overarching changes to the Consolidated Agreement (CA)

- Dates were updated as appropriate
- Overall document formatting was improved to enhance readability and comprehension
 - Acronyms were spelled out at the point of first use when needed
 - Current contact information, references/resources, and internet links were updated throughout
- References to the document being a "contract" were changed to reflect this as an "Agreement" for consistency throughout

Specific changes to the CA

- DPH's Administrative, Local, and Community Support (ALCS) Section has been reorganized
 - DPH Business Office (which includes Contracts) is tasked with administrative responsibilities.
 - Local and Community Support (LCS) Section is tasked to be the primary liaison between DPH and local health departments. References to ALCS have been updated to LCS
- In Section A moved all of b 4 into b 1 ["Data shall be reported through North Carolina's centralized reporting system known as the LHD Health Services Analysis. To ensure that such data is accurately linked to the specific client served in a manner than results in a unique identifier from the DPH's Common Name Data Service except as allowed by NCGS § 130A-34.2, the LHD shall allow the State to submit (on its behalf) the Social Security Numbers of all clients to the Social Security Administration for verification."]
- In Section A combined c 1 b with c 1 a (Regarding Community Health Improvement Plans)
- In Section B, changed "Assure" to "Ensure" in b 2 b and b 2 c
- Attachment A LHD Maintenance of Effort Schedule and its footnotes were updated

Additional language in the CA, and where it appears in the FY 22 CA:

[Note: Significant changes were made to Attachment Assessments and Plans.]

- a 1 a 1 The CHIP shall address a minimum of two priorities identified in the most recent community health assessment
- a 1 a 2 The CHIP shall be data driven and use results-based accountability to focus on both population and program accountability. Specifically, results, indicators, programs and performance measures must be included
- a 1 a 3 The CHIP shall be aligned with the Healthy North Carolina 2030 indicators and use best evidence interventions targeting health behaviors: the physical environment, social and economic factors, and/or clinical care
- a 1 a 4 The CHIP shall be updated at least annually and monitor performance

000036

appropriated for this Agreement, then County shall be entitled to immediately terminate this Agreement, without penalty or liability, except the payment of all contract fees due under this Agreement up to and through the last day of service

6 Except for the changes made herein, the Original Agreement shall remain in full force and effect to the extent not inconsistent with this agreement. In the event there is a conflict between the Original Agreement and this agreement, this agreement shall control.

IN WITNESS WHEREOF, the parties have expressed their agreement to these terms by causing this Contract Extension to be executed by their duly authorized officer or agent. This Contract Extension shall be effective as of the date herein.

DIAMOND FOOD ENTERPRISES, INC.

By _____
Printed Name: Steve Lambros
Title: President, Diamond Food Enterprises, Inc.

DUPLIN COUNTY

By [Signature]
Printed Name: Dexter B Edwards
Title: _____

Reviewed by Department Head

Melissa S. Brown

Date Reviewed: 2/23/21

This instrument has been pre-audited in the manner required by the Local Government Budget and Fiscal Control Act

[Signature]
Duplin County Finance Officer

A c 1 a 4 a The long-term CHIP shall include short-term and long-term interventions with a goal of improving population health indicators (morbidity and mortality)

A c 1 a 5 The long-term [CHIPs can] could potentially [persist across] for several [CHA-CHIP cycles when] With each CHA cycle, the CHIP must be updated to demonstrate that [a the health problem persists and continues to be a priority.] the current interventions are effective, or that [new interventions are needed, and/or]

Page 16 Agreement in duplicate originals, one of which is to be retained by each of the parties [Due to the pandemic, LHDs may sign scan and email in the agreements]

000037

Consolidated Agreement Changes Overview

Page 2

A c 1 a 6 The LHD shall use the web-based software Clear Impact Scorecard for submitting the CHIP for approval

a The LHD shall provide a state of the county or district health report (SOTCH) during each interim year between community assessments. The SOTCH is due by the first Monday in March in years when a CHA is not submitted

1 The LHD shall use Clear Impact Scorecard for the SOTCH

2 The SOTCH shall include progress made on each performance measure in the CHIP

[This ¶a had been located under A c 1 c in last year's agreement]

A c 1 c Refer to guidance

B c 1 i) COVID-19 Community Team Outreach Tool (CTOT)

B c 1 j) COVID-19 Vaccine Management System (CVMS)

B c 1 k) North Carolina Database Application for Vital Events (NCDAVE) for Electronic Death Registration

B c 1 l) Others automated data and surveillance systems may be added as they are developed

c c 1 Public Health Nursing and Professional Development Unit was replaced with the Office of Chief Public Health Nurse

C c 2 Reimbursement requires successful completion of the course and requests must be filed by the LHD within 60 days course completion was moved from C c 2 e to C c 2

c d 1 the North Carolina Department of State Treasurer's Policy Manual for Local Government Chapter 20, Capital Assets was replaced with guidance published by the Governmental Accounting Standards Board (GASB) for capital assets

D c 2 Updated [SOTCHs] are released weekly and

K b Uniform Guidance or [Omni-Circular"]

Language removed from the C A, and where it had appeared in the FY21 CA

A b 5 minimum speed of a full T1 line

A b 5 c Paper copies of inspection data are no longer accepted for Food Lodging and Institutions inspections

[This sentence has been in the CA since 2014 LHDs are presumed to be aware of this]

[Note Significant changes were made to A c Assessments and Plans]

A c 1 a 1 A CHIP is written for each of two prioritized health problems

A c 1 a 2 One CHIP can be short term in nature (focus for 1-3 years) but the second CHIP must be long term (focus for 5-10 years)

A c 1 a 3 Each CHIP shall use best evidence interventions targeting health behaviors, the physical environment, social and economic factors, and or clinical care

A c 1 a 4 The long-term CHIP must utilize Healthy North Carolina 2030 (HNC 2030) indicators or other evidence-based health status indicators

FY 2022 CONSOLIDATED AGREEMENT

This Agreement is made between the **North Carolina Department of Health and Human Services, Division of Public Health** (hereinafter referred to as **DPH**) and the **Duplin County Health Department** (herein after referred to as **LHD**) for the purposes of maintaining and promoting the advancement of public health in North Carolina. This Agreement shall cover a period from June 1, 2021 to May 31, 2022 and shall remain in force until the next Fiscal Year Agreement is signed except as provided for in Section J Termination

Now, therefore, DPH and the LHD agree that the provisions and clauses herein set forth shall be incorporated in and constitute the terms and conditions applicable for activities involving State funding (State funding or funds means State federal and or special funding or funds throughout this Agreement)

A LHD RESPONSIBILITIES

a Performance

- 1 The LHD shall perform activities in compliance with applicable program rules contained in the North Carolina Administrative Code, as well as all applicable federal and North Carolina laws and regulations
- 2 The LHD shall perform the activities specified in the Agreement Addenda for State-funded budgets The LHD must negotiate these Agreement Addenda in good faith to the satisfaction of DPH representatives as part of the Agreement execution The LHD will meet or exceed the Agreement Addenda deliverables unless extenuating circumstances prevail and are explained in writing and subsequently approved by the DPH section, branch or program
- 3 The LHD shall be committed to achieve health equity promote inclusion of all populations affected by conditions contributing to health disparities (including race or ethnicity sex, sexual identity age disability socioeconomic status and geographic location), and ensure all staff, clinical and non-clinical, participate in ongoing training focused on health equity health disparities, and or social determinants of health to support individual competencies and organizational capacity to promote health equity The LHD shall administer and enforce all rules that have been adopted by the Commission for Public Health or adopted by the Local Board of Health Consolidated Human Services Board, or Board of County Commissioners (hereinafter referred to as LHD governing board) and laws that have been enacted by the North Carolina General Assembly

- 4 The LHD shall provide to DPH a copy of any rules adopted, amended or rescinded by the LHD governing board pursuant to NCGS § 130A-39 Powers and duties of a local board of health and Public Health Ordinances adopted by the County Commissioners within 30 days of adoption or rescission These rules and ordinances are to be sent to the Deputy Director of DPH or designee
- 5 The LHD shall provide formal training orientation for its LHD governing and or advisory board members

- 6 The LHD shall not require a client to present identification that includes a picture of the client for at least immunization, pregnancy prevention sexually transmitted disease and communicable disease services

- 7 LHDs shall provide or assure provision of Care Management for High-Risk Pregnancies (CMHRP) formerly known as Pregnancy Care Management (OBCM) and Care Management for At-Risk Children (CMARC), formerly known as Care Coordination for Children (CC4C) These services may be funded by Medicaid state or federal funding through Agreement Addenda private funders or local funds

- a) Per federal CAPT V (Child Abuse Prevention and Treatment Act) requirements a notification to the county child welfare agency must occur upon identification of an infant as substance-affected as defined by NC DHHS for the development of a Plan of Safe Care (POSC) The POSC requires that all substance-affected infants be referred by the local Child Welfare Agency to CMARC for care management and care coordination, regardless of insurance coverage
 - b) Medicaid requires that the LHD has the first right of refusal to provide CMHRP and CMARC services
 - c) The LHD shall use every resource including technical assistance from the regional consultants and Women's and Children's Health (WCH) Section to resolve issues to prevent care gaps and discontinuation of services
 - d) In the event that the LHD determines it cannot directly provide CMHRP and or CMARC the LHD shall
 - 1) Notify the DPH Director in writing of the LHD's intention to discontinue the service at least 90 days in advance of any planned action
 - 2) Cooperate with DPH in identifying another LHD that can provide these care management services
 - 3) Provide a written plan to the DPH Director outlining the agreed-upon terms for the transitionDiscontinuation of CMHRP and CMARC without an approved transition plan may result in the withholding of all funds to the LHD at the discretion of the DPH Director
- 8 The LHD shall notify the DPH Director if any of the following occurs
- a) there is a legal name change to the LHD
 - b) the LHD becomes part of a consolidated human services agency, a district or a public health authority
 - c) there is any other governance change or
 - d) the LHD is not subject to the NC Human Resources Act
- Notification should be in writing within the next business day and provide an organization chart and any relevant supporting documents reflecting the changes
- 9 The LHD shall assure DPH that expenditures of locally appropriated funds (Maintenance of Effort, or MOE) is maintained for maternal health, child health and family planning program activities equal to or greater than that reported on the Staff Time Activity Report for the period July 1 1984 through June 30 1985 This figure is increased annually based on a federally accepted inflation index This revised baseline figure has been calculated and is provided as Attachment A to this Consolidated Agreement for the LHD's use in budget preparation

- 10 The LHD shall retain financial and program records including electronic records in accordance with the North Carolina Department of Natural and Cultural Resources Local Government Schedules records retention policy (<https://archives.nc.gov/government/retention-schedules-local-government-schedules>) and in accordance with the retention of those records as described in Section D Paragraph f Records resulting from these services shall not be destroyed, purged or disposed of except in accordance with the records retention policy and in accordance with State and federal law The State's basic records retention policy requires all grant records to be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer If the contract is subject to federal policy and regulations record retention may be longer than five years since records must be retained for a period of the following submission of the final Federal

000038

B DPH RESPONSIBILITIES

3 Training, Consultation, and Support

- 1 DPH shall provide training to the LHD for the LHD's response to this Agreement and to the Agreement Addenda. Upon request, consultation will be provided by DPH to the LHD.
- 2 DPH shall provide coordination and support for the education and training for the public health workforce including developing training opportunities to achieve health equity, promote inclusion of all populations affected by health disparities (including racial/ethnic minority groups and persons with disabilities) and ensure all staff, clinical and non-clinical have opportunities for training focused on health equity, health disparities, and/or social determinants of health to support individual competencies and organizational capacity to promote health equity.
- 3 DPH shall conduct liaison activities with LHDs for general problem solving and technical support.
- 4 DPH shall provide high-level consultation, technical assistance and advice to local health directors and teams via the Local and Community Support (LCS) Section. Contact the Deputy Director, Section Chief, LCS. Broad content areas include, but are not limited to:
 - a) Board Relations
 - b) Management Teams and Staffing
 - c) Policy Development
 - d) Program Planning and Implementation
 - e) Quality and Performance Improvement
 - f) General Administrative Consultation including consultation and technical assistance in budgeting, fiscal, administrative and management support topic areas.

- 1 DPH shall act as the principal liaison between the public health system and the State's Medicaid agency on issues related to Medicaid reimbursement services provided by the State and the LHD and shall cooperate with the State Medicaid agency to provide technical assistance, guidance, and consultation to local health programs to ensure compliance with Medicaid policies and procedures.
- 2 For services of the State Laboratory of Public Health (SLPH), DPH shall
 - a) Provide free or at-cost mailers that meet the US Postal Service DOT UN3373 Biologic substance shipping and packaging regulations for samples submitted to the SLPH only, which ord the SLPH's web-based mailroom ordering system.

- b) Ensure qualified personnel to process, analyze and report test results
 - c) Ensure that the SLPH maintains CLIA certification
 - d) Submit invoices to the LHDs via electronic means.
 - e) Collect interest (per NC GS § 147-86.23 Interest and penalties) and a 10% late fee as appropriate and
 - f) Provide a qualified Laboratory Director and a Technical Consultant for LHDs laboratories participating in the North Carolina SLPH CLIA Contract Program. Services provided by the oversight of this personnel include training and continuing education, CLIA inspection assistance, proficiency testing and enrollment, competency assessment, and models for laboratory forms, procedures and policies.
3. DPH will provide support and training for the LHD to comply with all applicable laws, regulations and standards relating to the activities covered in this Agreement.
4. DPH shall conduct reviews, audits, and program monitoring to determine compliance with the terms of this Agreement and its associated Agreement Addenda.
- c. Data Reporting**
- i) DPH shall provide the following automated data and surveillance systems to collect DPH program-related data from client service encounter and other data on behalf of the LHDs and other public health programs. DPH shall provide business and technical support to the users of these systems. DPH shall notify LHDs as opportunities and or timelines for improved or emerging technology systems occur.
 - a) LHD-Health Services Analysis for automated reporting of clinical service data fields
 - b) Environmental Health Inspection Data System (EHIDS) (formerly NC BH TS) for Food and Lodging inspection and billing data
 - c) Electronic surveys for gathering statewide data for external funders as required
 - d) Aid-to-Counties System for reporting all local expenditures and claiming State funds
 - e) North Carolina Health Alert Network (HANS)
 - f) North Carolina Electronic Disease Surveillance System (NCEDSS)
 - g) North Carolina Immunization Registry (NCIR)
 - h) Electronic Birth Registration System (EBRS)
 - i) COVID-19 Community Team Outreach Tool (CTO)
 - j) COVID-19 Vaccine Management System (CVMS)
 - k) North Carolina Database Application for Vital Events (NC DAVE) for Electronic Death Registration

Other automated data and surveillance systems may be added as they are developed

- 2 DPHI shall be responsible in its use of data received and reviewed in its various roles as a public health authority, health oversight agency, and business associate. Protected health information received by DPHI in its capacity as a covered entity or business associate shall be protected as required by HIPAA (see Attachment B Business Associate Addendum to this Consolidated Agreement).

000040

3. DPH will communicate with the North Carolina Association of Local Health Directors to support collection, analysis, and publication

d. **Fund Availability and Notification** DPH shall provide to the LHD the Budgetary Estimates of Funding Allocations no later than February 14 of each year to use in preparation of their local budget proposals per current General Statute

1. An exception is the Food and Lodging distributions required by NCGS § 130A-248(d). DPH shall provide the Food and Lodging funding allocation on the Distribution Spreadsheet which will accompany the Activity 874 Food and Lodging Agreement Addendum
2. The Food and Lodging Local Health Department Request for Payment Form (DPH LH 2948) will accompany the Agreement Addendum for Activity 874 Food and Lodging and will be provided to the LHD no later than March 30 for the State Fiscal Year (SFY) in which payment will be made. DPH shall disperse Food and Lodging funds to the LHD upon receipt of the executed Agreement Addendum and the signed, completed, and approved Food and Lodging Local Health LHD Request for Payment Form
3. DPH shall provide a Funding Authorization document to the LHD after the receipt of the Certified State Budget
4. DPH shall make funds available to the LHD at the beginning of each fiscal year upon receipt of this executed Agreement and the executed Agreement Addenda. Funds will be dispersed in accordance with the timely submissions of Expenditure Reports. Payment will be made to the LHD according to the DHHS Controller's Office Aid-to-Counties Expenditure Control Schedule issued December of each year for the following calendar year

C. FUNDING SUBLICATIONS

a. Use of Funds

1. Funding for this Agreement and all Agreement Addenda is subject to the availability of State, federal, and Special Funds for the purpose set forth in this Agreement and the Agreement Addenda
2. During the period of this Agreement, the LHD shall not use State, federal, or Special Project funds received under this Agreement or any Agreement Addenda to reduce locally appropriated funds as reflected in the Local Appropriations Budget (see Section D. Fiscal Control Paragraph h. Local Appropriations Budget below.)

b. Compliance

1. To receive funding under this Agreement, the LHD shall comply with 10A NC AC 46, Section 0200 Standards for Local Health Departments
2. The LHD shall maintain authenticated employee time records to document the actual work activity of each employee on a daily basis. The percentage of time each employee spends in each activity shall be converted to dollars based upon the employee's salary and benefits at least on a monthly basis. The computation shall support the charges for salaries and benefits to all federal and State grants (as required in 2 CFR Part 200) as well as provide the documentation of detailed labor cost per activity for preparation of Medicaid Cost Report
3. LHD charges billing. The LHD shall
 - a) Establish one charge per clinical support service for all payors (including Medicaid) based on their related costs as permitted by NCGS § 130A-399(g)

b) Bill All Payors the established charge except when billing 340B Drug Pricing Program drugs or devices to Medicaid. All drugs or devices purchased using 340B Program must be billed to Medicaid at the acquisition cost

- c) The LHD may accept negotiated or other agreed upon lower amounts (e.g., the Medicaid reimbursement rate) as payment in full
 - d) Make every reasonable effort to collect charges for services through public or private third-party payors, except where prohibited by federal regulations or State law. However, no one shall be refused services solely because of an inability to pay
 - e) All LHD fees, including environmental health fees, shall be reviewed annually by the governing body in accordance with the North Carolina Local Health Department Accreditation Board guidance and local policies
4. The LHD shall comply with the federal Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards ("Uniform Guidance") codified at 2 CFR 200, when utilizing federal grant funds

a) When procuring goods and services with federal grant funds, the LHD shall apply the most restrictive rule when following federal, State, and local government procurement requirements

5. When administering the Women, Infants, and Children's Program (WIC), the LHD must adhere to the requirements set forth in Section 361 of the Healthy Hunger-Free Kids Act of 2010 which amended Section 171(b) of the Richard B. Russell National School Lunch Act (NSL A), 42 USC 1760(b). This Act requires the LHD to support full use of the federal administrative funds provided for the WIC program. The federal administrative funds are specifically excluded from budget restrictions or limitations including, at a minimum, hiring freeze/s, work furloughs, and travel restrictions

6. The LHD agrees to execute the following consolidated Federal Certifications (Attachment C) as applicable when receiving federal funds

- a) Certification regarding Nondiscrimination
- b) Certification regarding Drug-Free Workplace Requirements
- c) Certification regarding Environmental Tobacco Smoke
- d) Certification regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion Lower Tier Covered Transactions
- e) Certification regarding Lobbying

7. Pursuant to the Federal Funding Accountability and Transparency Act (FFATA), the LHD is required to submit to DPH information that is reportable by DPH for all qualified sub-awardees of federal funds. The LHD will complete and submit the Federal Funding Accountability and Transparency Act (FFATA) Data Reporting Requirement form provided by DPH to determine the eligibility as a sub-awardee for reporting purposes. Information provided by the LHD will be used by DPH to report subawards (funding authorizations) equal to or greater than \$25,000 from each federal grant

8. The LHD shall maintain an active registration in the federal government's System for Award Management (SAM). The SAM registration must be updated no less than annually in order to maintain an active status. To update the registration, the LHD must log in at the SAM home page www.sam.gov, and follow the instructions found there.

000041

D. FISCAL CONTR

a The LHD shall comply with the Local Government Budget and Fiscal Control Act North Carolina General Statute Chapter 159 Article 3.

1 The LHD shall maintain a purchasing and procurement system in accordance with generally accepted accounting practices and procedures set forth by the Local Government Commission

b The LHD shall execute written agreements with all parties who invoice the LHD for payment for the provision of services to patients. Exceptions may be permitted in cases where the patient has a preference for a non-contracted provider and that provider verbally agrees to abide by program requirements and to accept program payment as payment in full

c The LHD when subcontracting must meet the following conditions

- 1 The LHD is not relieved of any of the duties and responsibilities provided in this Agreement
- 2 The LHD will not enter into a subcontractual financial assistance agreement with any entity on the current North Carolina Office of State Budget and Management (OSBM) Suspension of Funding list (SOFL) and shall withhold funds not yet disbursed until the entity has been removed from the SOFL. Updated SOFLs are released weekly and are available on the OSBM website (<https://www.osbm.nc.gov/management-grants>)
- 3 The subcontractor will agree to abide by the standards contained herein or to provide such information as to allow the LHD to comply with these standards
- 4 The subcontractor shall be subject to all conditions of this Agreement and of any subsequent Agreement Addenda for which they perform work on behalf of the LHD
- 5 The subcontractor will agree to allow State and federal authorized representatives access to any records pertinent to its role as a subcontractor of the LHD
- 6 Upon request, the LHD will make available to the State a copy of subcontracts supported with State or federal funds

d The LHD must receive prior written approval from the State to subcontract when any of the following conditions exist

- 1 The LHD proposes to subcontract to a single entity 50 percent or more of the total State and federal funds made available through this Agreement
- 2 The LHD proposes to subcontract 50 percent or more, or \$50,000, whichever is greater, of the total State and federal funds made available through this Agreement for any Agreement Addendum
- 3 The LHD proposes to subcontract for services in the Women, Infants and Children (WIC) Program

e The LHD shall mail a signed copy of all public health Funding Authorization documents to the DPH Budget Office, 1931 Mail Service Center, Raleigh, NC 27699-1931

f The LHD shall retain a copy of all Funding Authorization documents, the monthly certified electronic printed screen of the Expenditure Reports with any amendments (via the Aid-to-Counties Database) Consolidated Agreement Agreement Addenda Agreement Addendum Revisions and other financial records in accordance with the current Records Disposition Schedule for Local Health Departments issued by the North Carolina Department of Natural and Cultural Resources and located on their website at <https://archives.ncdoh.gov/documents/local-health-departments-schedule>

g **Audits, Monitoring:** The county or the LHD shall have an annual audit performed in accordance with the Single Audit Act of 1984 (with amendment in 1996) and 2 CFR Part 200. The audit report shall be

submitted to the Local Government Commission (located by the County Administration (if single county LHD) or the District Health Department or Public Health Authority (if so organized) within six months following the close of the Agreement. Audit findings referred to the DHHS Internal Audit Office by LGC will be investigated and findings verified by the DHHS Controller's Office staff with assistance of DPH Program Staff

h **Local Appropriations Budget:** The LHD shall prepare and maintain a Local Appropriations Budget (reflecting the plans to use local appropriations or earned fees) for each Agreement Addendum in a manner consistent with instructions provided in funding-specific budgetary guidance from DPH and the specific guidance from the respective programs

1 **Local Earned Revenues Budgeting and Reporting:** The LHD shall observe the following conditions when budgeting and reporting Local Earned Revenues

1 Locally appropriated funds may not be withdrawn due to fee collection greater than projected in the budget or due to new grant funding except during the last two months of the fiscal year to allow the county to manage end of year budget close out

2 All earned revenue (officially classified as local funds) must be budgeted and spent in the program that earned it except

- a) Revenue generated by Women's and Children's Health (WCH) Section Programs may be budgeted and expended (consequently reported) in any WCH Section Program unless a specific Agreement Addendum has a more restrictive requirement
- b) Revenue generated by a local clinic or program that has no State-funded budget (no State or federal funds) shall be budgeted and associated expenditures reported in a State Program. Activity that most closely matches the deliverables of the respective State program. This process will enable the collection of total expenditures in public health per program

3 The LHD shall not use personal health program funds to support environmental health programs nor use environmental health program funds to support personal health programs

4 Use of program income generated by the expenditure of federal categorical funds will be governed by applicable federal regulations, including, but not limited to 2 CFR Part 200

5 A local account shall be maintained for unexpended earned revenues (i.e., Title XIX fees, private insurance or private pay (cash)). Accounts shall be maintained in sufficient detail to identify the program source generating the fees

6 The amount of Title XIX fees budgeted and expended in FY 2021-2022 must equal or exceed the amount of Title XIX revenues earned during FY 2019-2020. The State will not approve program activity budgets that do not include an amount of Title XIX fees sufficient to meet the requirements of this section. The State may waive this requirement if the LHD provides sufficient justification

1 **Aid-to-Counties Database and Expenditure Reports:** The LHD shall submit a monthly report of actual State, federal, and local expenditures to the DHHS Controller's Office via the Aid-to-Counties Database (ATC).

1 Specific ATC instructions and training will be provided by LTAIB to LHDs and will include guidance for reporting local programs (i.e., those programs that are not funded through DPH); examples include but are not limited to Dental Clinic and Primary Care

2 DPH shall produce an annual ATC report in order to provide information reported that summarizes LHD and statewide analysis and trending, including per capita analysis, when applicable. This report will be produced in collaboration with NCALHD and will be posted publicly on the DPH website

000043

3. The LHD shall submit to the DHHS Controller's Office a monthly Expenditure Report of the pertinent month's actual expenditures for all programs via AIC. The Office of the Controller's Aid-to-Countries Expenditure Control Schedule, published annually in December for the next calendar year, provides the submission dates for these expenditures. This schedule allows the LHD at least seven days to enter the pertinent month's expenditures into the Aid-to-Countries Database. Failure to meet the reporting deadline will result in the exclusion of those expenditures for that month. The LHD must submit these monthly Expenditure Reports via the Aid-to-Countries Database consecutively throughout the Agreement period.

The health director and the finance officer will approve the monthly Expenditure Report in the Aid-to-Countries Database and the system will alert the staff in the DHHS Controller's Office that expenditures have been approved and certified. The "Certification" verifies that the total State and federal expenditures reported are valid for the pertinent month's actual expenditures. Local expenditures are part of the Expenditure Report but are not included in the amount verified in the Certification. Local appropriations must be reported monthly along with the State and federal expenditures. Funding is based on an allocation method, not a contract method, and counties receive reimbursement for services provided during one month in the following month.

4. The final Expenditure Report for the SFY, the last service month to be paid in the SFY, will be May services which are reported and paid in June. (Services provided in June and reported in July will be paid out of the next SFY.)

5. When Agreement Addenda are supported by federal funding or grants that do not coincide with the State fiscal year, care must be taken to be attentive to the service month and payment months for each grant as well as the ending liquidation date for each grant. Expenditures of federal funds must be reported according to the funding period for a grant. For each grant, the Budgetary Estimate document and the Funding Authorization document will have service and payment month dates listed. Failure to report expenditures after the payment period ends may result in non-payment.

6. The LHD shall have the opportunity to submit amended expenditure reports in the month following discovery of the error. The LHD shall not wait to submit all adjustments with the invoice submitted to the Office of the Controller at the end of May, as that will not allow sufficient time for verification of the adjustments before the last payment in the State Fiscal Year.

- d) In accordance with Paragraph D) 4 above, the LHD must keep current on reporting adjustments against federal funds to ensure such adjustments are received in time to be paid within the grant's payment period.
- h) The LHD shall review their prior reimbursement claims against payments monthly.
- i) Amended expenditure reports must be submitted no later than the next reporting date after the grant period ends in order to be paid unless an exception is approved by the DPH Budget Office.
- j) Any overpayments identified by either the State or the LHD will be adjusted out of the next month's claim for reimbursement by the DHHS Controller's Office or by submitting a check to DHHS for payment if it is the last month of the fiscal year or the federal grant is closed. There is no provision to carry forward funds from one State Fiscal Year to another; therefore, any adjustment not included in the June payment (or earlier if the grant period expires during the State Fiscal Year) shall be paid from local funds.

E PERSONNEL POLICIES

- a. The LHD shall adhere to and fully comply with State and county personnel policies as applicable.

b. Environmental Health Specialists employed by the LHD shall be delegated authority by the State to administer and enforce State environmental health rules and laws as directed by the State pursuant to NC GS § 130A-4 Administration. This delegation shall be done according to 15A NC AC 010.0101 Scope of Delegated Authority.

- 1. The LHD is responsible for sending their newly employed environmental health specialists (interns) to centralized intern training within 180 days from date of employment.
- 2. Arrangements for centralized intern training for newly employed environmental health specialists will be handled by the DPH Education and Training Staff.
- 3. The LHD, when contracting with an environmental health specialist (EHS) employed by another entity, shall be responsible for ensuring that all original documents/public records (e.g., permits, inspection reports, correspondence) generated by the contracted EHS be maintained by the LHD. All contracts covering this work shall stipulate that the contracted EHS shall be available for consultation with the public concerning work performed under the contract.
- c. The LHD shall comply with 10A NC AC 46.0301 Minimum Standard Health Department Staffing and shall ensure that all nursing staff who provide public health services funded by this Agreement comply with this rule.
- d. The LHD shall complete the State Certifications (Attachment D) regarding its compliance with E-Verify, its eligibility status as a contractor, and that its officers have not violated any State or Federal Securities Acts.

F CONFIDENTIALITY

- a. The LHD shall protect the confidentiality of all information, data, instruments, documents, studies, or reports received under this Agreement in accordance with the standards of the DHHS privacy and security policies, applicable local laws, State regulations, and federal regulations including the Privacy Rule at 45 C.F.R. Parts 160 and 164, subparts A and E, Security Standards at 45 C.F.R. Parts 160, 162 and 164, subparts A and C, (the Security Rule), and the applicable provisions of the Health Information Technology for Economic and Clinical Health Act (HITECH).
- b. All information obtained by LHD personnel in connection with the provision of services or other activity under this Agreement shall be confidential, except as may be required or allowed by law or otherwise permitted by this agreement. Information may be disclosed in summary, statistical, or other form which does not directly or indirectly identify particular individuals. Otherwise, information shall not be disclosed or made available to any individual or organization without the prior written consent of the client or responsible person, except as may be required or allowed by law or otherwise permitted by this agreement.
- c. LHD employees must sign confidentiality agreements documenting the knowledge of, and the agreement to maintain personal and medical confidentiality.

G CIVIL RIGHTS

- a. The LHD shall assure that no person, on the grounds of race, color, age, religion, sex, marital status, immigration status, national origin, or disability, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity covered by this Agreement.
- b. The American with Disabilities Act 1990 (ADA) makes it unlawful to discriminate in employment against a qualified individual with a disability, and outlaws discrimination against individuals with disabilities in State and local government services, public accommodations. The LHD certifies that it

000044

and its principals and subcontractors will comply with regulations in ADA Title I (Employment), (Public Services) and Title III (Public Accommodations) in fulfilling the obligations under this Agreement

- c. As required by Title VI of the Civil Rights Act, the LHD because it receives federal funds, must provide interpreter services at no charge to limited English Proficiency clients in all programs and services offered by the LHD

H LHD DISBURSEMENT OF FUNDS

- a. DPH shall disburse funds to the LHD on a monthly basis, monthly disbursements for each program Activity will be based on monthly expenditures reported
- b. Total payment by program Activity is limited to the total amount listed on the Funding Authorization document and any Funding Authorization revision documents received after the initial notification
- c. Final payments for the State Fiscal Year will be made based on the final monthly Expenditure Report which is due as delineated per the Controller's Office's Aid-to-Counties Payment Schedule

I AMENDMENT OF AGREEMENT

Amendments, modifications, or waivers of this Agreement may be made at any time by mutual consent of all parties. Amendments shall be in writing and signed by appropriate authorities

J PROVISION OF TERMINATION

- a. Either party may terminate this Agreement for reasons other than non-compliance upon 60 days written notice. If termination occurs, the LHD shall receive payment only for allowable expenditures
- b. In the event of termination, DPH may withhold payment to the LHD until DPH can determine whether the LHD is entitled to further payment or whether DPH is entitled to a refund

K COMPLIANCE

- a. DPH shall respond to non-compliance with all terms of this Agreement as follows
 - 1. Upon determination of non-compliance, DPH shall give the LHD 60 days written notice to come into compliance. If the deficiency is corrected, the LHD shall submit a written report to DPH that sets forth the corrective action taken
 - 2. If the above deficiency is not corrected to the satisfaction of DPH after the 60-day period, disbursement of funds for the particular activity may be temporarily suspended pending negotiation of a plan of corrective action
 - 3. If the deficiency is not corrected to the satisfaction of DPH within 90 days of the written notice in Section K a 1, program funds may be permanently suspended until the LHD can provide evidence that the deficiency has been corrected
 - 4. In the event of the LHD's non-compliance with clauses of this Agreement, DPH may cancel, terminate, or suspend this Agreement in whole or in part and the LHD may be declared ineligible for further DPH contracts or agreements. Such terminations for non-compliance shall not occur until the provisions of Section K a 1 through K a 3 have been followed, documented, and have failed to correct the deficiency
- b. Monitoring – Uniform Guidance” or “Omni-Circular” 2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements requires that pass-through entities monitor the activities of their subcontractors as

necessary to ensure that federal awards are used for authorized purposes in compliance with laws, regulations and the provision of contracts or grant agreements and that performance goals are achieved

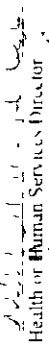
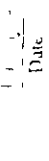
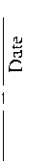
North Carolina establishes related monitoring requirements for State funds received by subrecipients in NCGS § 143C-6-23 State grant funds administration, oversight and reporting requirements. Also, DPH must perform monitoring as required in the DHHS Policy and Procedure Manual entitled *Monitoring of Programs* dated August 1, 2002 and its DPH Subrecipient Monitoring Plan dated October 2016

Additionally, the LHD is required under 2 CFR, Part 200 Subpart F, NCGS § 143C-6-23, and NCGS § 159-34 Annual independent audit rules and regulations, to perform monitoring of its subrecipients and to maintain records to support such monitoring activities and results. Accordingly, the LHD shall participate fully in monitoring by DPH and shall appropriately monitor its sub-recipients to the extent necessary based on the assessed level of risk

In witness whereof, the **LHD** and the **Division of Public Health** have executed this Agreement

Duplin County Health Department

**North Carolina Department of
Health and Human Services,
Division of Public Health**

	
Health Services Director	Division Director
	
Finance Officer	County Official
	
Finance Officer	County Official
(when locally required)	(when locally required)

000045

ATTACHMENT A
LHD MAINTENANCE OF EFFORT SCHEDULE

Local Health Department	MOE Baseline 1985	Updated Baseline CPI * 2021-22	Local Health Department	MOE Baseline 1985	Updated Baseline CPI * 2021-22
Alamance	215,751	532,502	Jackson	11,822	29,178
Albemarle District	51,320	126,665	Johnston	196,475	484,926
Alexander	33,308	82,209	Jones	22,851	56,399
Anson	27,096	66,877	Lee	57,470	141,844
Appalachian District	56,663	139,852	Lenoir	120,667	297,822
Beaufort	63,029	155,564	Lincoln	78,475	193,687
Bladen	19,564	48,287	Macon	27,277	67,323
Brunswick	122,285	301,816	Madison	55,718	137,519
Buncombe	166,104	409,967	MTW District	97,748	241,255
Burke	83,689	206,556	Mecklenburg	375,712	927,307
Cabarrus	250,406	618,035	Montgomery	24,389	60,195
Caldwell	59,226	146,178	Moore	36,243	89,453
Carteret	16,843	41,571	Nash	124,412	307,065
Caswell	31,809	78,509	New Hanover	128,564	317,560
Catawba	127,542	314,791	Northampton	71,678	176,911
Chatham	50,121	123,705	Onslow	63,147	155,855
Cherokee	11,705	28,889	Orange	258,834	638,837
Clay	1,580	3,900	Pamlico	11,162	27,549
Cleveland	243,917	602,020	Pender	0	0
Columbus	85,858	211,909	Person	42,878	105,829
Craven	113,647	280,496	Pitt	164,404	405,771
Cumberland	458,294	1,131,131	Polk	6,189	15,275
Dare	22,597	55,772	Randolph	81,302	200,664
Davidson	180,149	247,304	Richmond	50,348	124,266
David	38,546	95,137	Robeson	225,422	556,371
Duplin	84,922	209,599	Rockingham	157,370	388,410
Durham	439,506	1,084,759	Rowan	173,268	439,989
Edgecombe	157,941	389,819	Sampson	37,229	91,886
Forsyth	508,138	1,254,152	Scotland	12,388	30,575
Foothills District	42,126	103,973	Stanly	23,625	58,310
Franklin	65,012	160,458	Stokes	41,687	102,889
Gaston	342,765	845,990	Surry	49,658	122,563
Graham	3,919	9,747	Swain	10,674	26,345
Granville-Vance	170,160	419,978	Toe River District	49,658	122,563
Greene	78,527	193,815	Transylvania	66,417	163,926
Guilford	1,605,509	3,962,610	Union	25,573	63,118
Halifax	118,024	291,299	Wake	758,321	1,871,637
Harnett	69,651	171,908	Warren	8,551	21,105
Haywood	82,634	204,075	Wayne	271,847	670,955
Henderson	109,750	270,878	Wilkes	73,909	182,417
Hoke	25,698	63,426	Wilson	59,617	147,143
Hyde	12,355	30,494	Yadkin	20,587	51,058
Iredell	36,693	90,576	TOTAL	--	\$10,583,276 - \$26,120,943

Station 130A-4.1 Consolidated Agreement, clause A.4.3
Notes: * Adjusted for inflation January, 1985 through December 2020, based upon changes in Consumer Price Index (CPI). Adjustment factor equals 246.5133. ** Percentage increase/adjusting CPI changes.

ATTACHMENT B
BUSINESS ASSOCIATE ADDENDUM TO CONSOLIDATED AGREEMENT

This Agreement is made effective July 1, 2021, by and between **Duplin County Health Department** ("Covered Entity") and the **North Carolina Department of Health and Human Services, Division of Public Health** ("Business Associate") (collectively the "Parties").

1. BACKGROUND

- a. Covered Entity and Business Associate are parties to a Memorandum of Understanding, entitled "FY 2022 Consolidated Agreement" (the "MOU"), whereby Business Associate agrees to perform certain services for or on behalf of Covered Entity.
- b. Covered Entity is an LHD in the State of North Carolina that has been designated in whole or in part by as a "covered entity" for purposes of the HIPAA Privacy Rule.
- c. The relationship between Covered Entity and Business Associate is such that the Parties believe Business Associate is or may be a "business associate" within the meaning of the HIPAA Privacy Rule.
- d. The Parties enter into this Business Associate Addendum to the MOU with the intention of complying with the HIPAA Privacy Rule provision that a covered entity may disclose protected health information to a business associate and may allow a business associate to create or receive protected health information on its behalf, if the covered entity obtains satisfactory assurances that the business associate will appropriately safeguard the information.

2. DEFINITIONS

Unless some other meaning is clearly indicated by the context, the following terms shall have the following meaning in this Agreement:

- a. "Electronic Protected Health Information" shall have the same meaning as the term "electronic protected health information" in 45 C.F.R. § 160.103.
- b. "HIPAA" means the Administrative Simplification Provisions, Sections 261 through 264, of the federal Health Insurance Portability and Accountability Act of 1996, Public Law 104-191, as modified and amended by the Health Information Technology for Economic and Clinical Health ("HITECH") Act, Title XIII of Division A and Title IV of Division B of the American Recovery and Reinvestment Act of 2009, Public Law 111-5.
- c. "Individual" shall have the same meaning as the term "individual" in 45 C.F.R. § 160.103 and shall include a person who qualifies as a personal representative in accordance with 45 C.F.R. § 164.502(g).
- d. "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 C.F.R. Part 160 and Part 164.
- e. "Protected Health Information" shall have the same meaning as the term "protected health information" in 45 C.F.R. § 160.103, limited to the information created or received by Business Associate from or on behalf of Covered Entity.
- f. "Required By Law" shall have the same meaning as the term "required by law" in 45 C.F.R. § 164.103.
- g. "Secretary" shall mean the Secretary of the United States Department of Health and Human Services or the person to whom the authority involved has been delegated.
- h. "Unless otherwise defined in this Agreement, terms used herein shall have the same meaning as those terms have in the Privacy Rule."

3. OBLIGATIONS OF BUSINESS ASSOCIATE

- a. Business Associate agrees to not use or disclose Protected Health Information other than as permitted or required by this Agreement or as Required By Law.
- b. Business Associate agrees to use appropriate safeguards and comply where applicable with subpart C of 45 C.F.R. Part 164 with respect to electronic protected health information to prevent use or disclosure of the Protected Health Information other than as provided for by this Agreement.

000046

- c. Business Associate agrees to mitigate, to the extent practicable, any harmful effect that is known to Business Associate of a use or disclosure of Protected Health Information by Business Associate in violation of the requirements of this Agreement
- d. Business Associate agrees to report to Covered Entity any use or disclosure of the Protected Health Information not provided for by this Agreement of which it becomes aware including breaches of unsecured protected health information as required by 45 C.F.R. § 164.410
- e. Business Associate agrees in accordance with 45 C.F.R. § 164.502(e)(1) and § 164.308(b)(2) to ensure that any subcontractors that create, receive, maintain, or transmit protected health information on behalf of Business Associate agree to the same restrictions and conditions that apply to Business Associate with respect to such information
- f. Business Associate agrees to make available protected health information as necessary to satisfy Covered Entity's obligations in accordance with 45 C.F.R. § 164.524
- g. Business Associate agrees to make available Protected Health Information for amendment and incorporate any amendment(s) to Protected Health Information in accordance with 45 C.F.R. § 164.526
- h. Unless otherwise prohibited by law, Business Associate agrees to make internal practices, books, and records relating to the use and disclosure of Protected Health Information received from or created or received by Business Associate on behalf of Covered Entity available to the Secretary for purposes of the Secretary determining Covered Entity's compliance with the Privacy Rule
- i. Business Associate agrees to make available the information required to provide an accounting of disclosures of Protected Health Information in accordance with 45 C.F.R. § 164.528

4. PERMITTED USES AND DISCLOSURES

- a. Except as otherwise limited in this Agreement or by other applicable law or agreement, if the MOU permits, Business Associate may use or disclose Protected Health Information to perform functions, activities, or services for, or on behalf of, Covered Entity, as specified in the MOU, provided that such use or disclosure:
 - 1) would not violate the Privacy Rule if done by Covered Entity, or
 - 2) would not violate the minimum necessary policies and procedures of the Covered Entity.
- b. Except as otherwise limited in this Agreement or by other applicable law or agreements, if the MOU permits, Business Associate may disclose Protected Health Information for the proper management and administration of the Business Associate or to carry out the legal responsibilities of the Business Associate, provided that:
 - 1) the disclosures are Required By Law, or
 - 2) Business Associate obtains reasonable assurances from the person to whom the information is disclosed that it will remain confidential and will be used or further disclosed only as Required By Law or for the purpose for which it was disclosed to the person, and the person notifies the Business Associate of any instances of which it is aware in which the confidentiality of the information has been breached.
- c. Except as otherwise limited in this Agreement or by other applicable law or agreements, if the MOU permits, Business Associate may use Protected Health Information to provide data aggregation services to Covered Entity as permitted by 45 C.F.R. § 164.504(h)(2)(ii)(B).
- d. Notwithstanding the foregoing provisions, Business Associate may not use or disclose Protected Health Information if the use or disclosure would violate any term of the MOU or other applicable law or agreements.

5. TERM AND TERMINATION

- a. Term. This Agreement shall be effective as of the effective date stated above and shall terminate when the MOU terminates.

- b. Termination for Cause. Upon Covered Entity's knowledge of a material breach by Business Associate of Covered Entity may, at its option:
 - 1) Provide an opportunity for Business Associate to cure the breach or end the violation, and terminate this Agreement and services provided by Business Associate to the extent permissible by law, if Business Associate does not cure the breach or end the violation within the time specified by Covered Entity,
 - 2) Immediately terminate this Agreement and services provided by Business Associate to the extent permissible by law, or
 - 3) If neither termination nor cure is feasible, report the violation to the Secretary as provided in the Privacy Rule.
 - c. Effect of Termination
 - 1) Except as provided in paragraph (2) of this section or in the MOU or by other applicable law or agreements upon termination of this Agreement and services provided by Business Associate for any reason, Business Associate shall return or destroy all Protected Health Information received from Covered Entity or created or received by Business Associate on behalf of Covered Entity. This provision shall apply to Protected Health Information that is in the possession of subcontractors or agents of Business Associate. Business Associate shall retain no copies of the Protected Health Information.
 - 2) In the event that Business Associate determines that returning or destroying the Protected Health Information is not feasible, Business Associate shall provide to Covered Entity notification of the conditions that make return or destruction not feasible. Business Associate shall extend the protections of this Agreement to such Protected Health Information and limit further uses and disclosures of such Protected Health Information to those purposes that make the return or destruction infeasible, for so long as Business Associate maintains such Protected Health Information.
6. GENERAL TERMS AND CONDITIONS
- a. This Agreement amends and is part of the MOU.
 - b. Except as provided in this Agreement, all terms and conditions of the MOU shall remain in force and shall apply to this Agreement as if set forth fully herein.
 - c. In the event of a conflict in terms between this Agreement and the MOU, the interpretation that is in accordance with the Privacy Rule shall prevail. In the event that a conflict then remains, the MOU terms shall prevail so long as they are in accordance with the Privacy Rule.
 - d. A breach of this Agreement by Business Associate shall be considered sufficient basis for Covered Entity to terminate the MOU for cause.

Duplin County Health Department

North Carolina Department of Health and Human Services, Division of Public Health

Health or Human Services Director Date Division Director Date

000047

ATTACHMENT C
FEDERAL CERTIFICATIONS

The undersigned states that:

1. He or she is the duly authorized representative of the Contractor named below
2. He or she is authorized to make and does hereby make the following certifications on behalf of the Contractor as set out herein
1. The Certification Regarding Nondiscrimination

2. The Certification Regarding Drug-Free Workplace Requirements

3. The Certification Regarding Environmental Tobacco Smoke

4. The Certification Regarding Debarment Suspension Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions and

5. The Certification Regarding Lobbying
3. He or she has completed the Certification Regarding Drug-Free Workplace Requirements by providing the addresses at which the contract work will be performed
4. Check the applicable statement:

☒ He or she **has completed** the attached **Disclosure of Lobbying Activities** because the Contractor **has made, or has an agreement to make** a payment to a lobbying entity, for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered federal action

OR

☐ He or she **has not completed** the attached **Disclosure of Lobbying Activities** because the Contractor **has not made, and has no agreement to make** any payment to any lobbying entity for influencing or attempting to influence any officer or employee of any agency, any Member of Congress, any officer or employee of Congress, or any employee of a Member of Congress in connection with a covered federal action

5. The Contractor shall require its subcontractors if any to make the same certifications and disclosure

1. _____
Signature

2. _____
Title

Duplin County Health Department
Contractor Organization/ Legal Name

Date

[This Certification must be signed by a representative of the Contractor who is authorized to sign contracts.]

I Certification Regarding Nondiscrimination

The Contractor certifies that it will comply with all Federal statutes relating to nondiscrimination. These include, but are not limited to: (a) Title VII of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color, or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794) which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107) which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§801 et seq.) as amended, relating to nondiscrimination in the sale, rental, or financing of housing; (h) the Food Stamp Act and U.S.D.A. policy which prohibit discrimination on the basis of religion and political beliefs; and (i) the requirements of any _____ anti-discrimination statutes which may apply to this Agreement.

II Certification Regarding Drug-Free Workplace Requirements

The Contractor certifies that it will provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violation of such prohibition.
2. Establishing a drug-free awareness program to inform employees about
- 1.) The dangers of drug abuse in the workplace;

2.) The Contractor's policy of maintaining a drug-free workplace;

3.) Any available drug counseling, rehabilitation, and employee assistance programs; and

4.) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
3. Making it a requirement that each employee be engaged in the performance of the agreement be given a copy of the statement required by paragraph (a).
4. Notifying the employee in the statement required by paragraph (a) that as a condition of employment under this agreement the employee will
- 1.) Abide by the terms of the statement; and

2.) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction.
5. **Notifying the LHD within ten days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction.**
6. Taking one of the following actions within 30 days of receiving notice under subparagraph (d)(2) with respect to any employee who is so convicted:
- 1.) taking appropriate personnel action against such an employee up to and including termination; or

2.) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health law enforcement, or other appropriate agency; and
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

8. The sites for the performance of work done in connection with the specific agreement are listed below (list all sites, add additional pages if necessary):

Street Address No. 1 _____
City, State, Zip Code _____

Street Address No. 2 _____
City, State, Zip Code _____

9. Contractor will inform the LHD of any additional sites for performance of work under this agreement.
10. False certification or violation of the certification may be grounds for suspension of payment, suspension or termination of grants, or government-wide Federal suspension or debarment. 45 C.F.R. §2.510.

III Certification Regarding Environmental Tobacco Smoke

Public Law 103-227, Part C - Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, education, or library services to children under the age of 18, if

000048

the services are funded if all programs either directly or through State or local governments by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000.00 per day and/or the imposition of an administrative compliance order on the responsible entity.

The Contractor certifies that it will comply with the requirements of the Act. The Contractor further agrees that it will require the language of this certification be included in any subawards that contain provisions for children's services and that all subgrantees shall certify accordingly.

IV Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions

Instructions

[The phrase 'prospective lower tier participant' means the Contractor.]

By signing and submitting this document, the prospective lower tier participant is providing the certification set out below.

The certification in this clause is a material representation of the fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the LHD or agency with which this transaction originates may pursue available remedies, including suspension and/or debarment.

The prospective lower tier participant will provide immediate written notice to the person to whom this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549-45, E.O. 13126. You may contact the person to whom this proposal is submitted for assistance in obtaining a copy of those regulations.

The prospective lower tier participant agrees by submitting this proposal that should the proposed covered transaction be entered into, it shall not knowingly enter any lower tier covered transaction with a person who is debarred, suspended, determined ineligible, or voluntarily excluded from participation in this covered transaction unless authorized by the LHD or agency with which this transaction originated.

The prospective lower tier participant further agrees by submitting this document that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion--Lower Tier Covered Transaction" without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from covered transaction unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Non-procurement List.

Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

Except for transactions authorized in paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the LHD or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification

The prospective lower tier participant certifies, by submission of this document, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach in explanation to this proposal:

V. Certification Regarding Lobbying

The Contractor certifies to the best of his or her knowledge and belief that:

No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federally funded contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form SF-LLL, Disclosure of Lobbying Activities, in accordance with its instructions.

The undersigned shall require that the language of this certification be included in the award document for subawards at all tiers, including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) who receive Federal funds of \$100,000.00 or more, and that all subrecipients shall certify, and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000.00 and not more than \$100,000.00 for each such failure.

VI. Disclosure of Lobbying Activities Instructions

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31, U.S.C., section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL - X Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.

Identify the status of the covered Federal action.

Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. After the date of the last previously submitted report by this reporting entity for this covered Federal action.

Enter the full name, address, city, state, and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is or expects to be a prime or subawardee recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards including but are not limited to subcontracts, subgrants, and contract awards under grants.

If the organization filing the report in Item 4 checks "Subawardee," then enter the full name, address, city, state, and zip code of the prime Federal recipient. Include Congressional District, if known.

000049

6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (Item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal Identifying number available for the Federal action identified in Item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number, grant announcement number, the contract grant or loan award number, the application proposal control number assigned by the Federal agency). Include prefixes, e.g., RFP-DT-001-001.
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in Item 4 or 5.
10. (a) Enter the full name, address, city, state, and zip code of the lobbying entity engaged by the reporting entity identified in Item 4 to influence the covered Federal action.
- (b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (Item 4) to the lobbying entity (Item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate boxes. Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate boxes. Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed or will be expected to perform and the dates of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLL-A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Disclosure of Lobbying Activities
(Approved by OMB 0348-0046)

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

1. Type of Federal Action ☐ a contract ☐ b grant ☐ c cooperative agreement ☐ d loan ☐ e loan guarantee ☐ f loan insurance	2. Status of Federal Action ☐ a Bid/offer/application ☐ b Initial Award ☐ c Post-Award	3. Report Type ☐ a initial filing ☐ b material change For Material Change Only Year _____ Quarter _____ Date of Last Report _____
4. Name and Address of Reporting Entity/ ☐ Prime ☐ Subawardee Tier _____ (if known)	5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime	
6. Congressional District (if known)	7. Federal Program Name/Description	
8. Federal Action Number (if known)	9. Award Amount (if known)	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI) b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI)		
11. Amount of Payment (check all that apply) \$ _____ actual _____ planned _____	13. Type of Payment (check all that apply) ☐ a retainer ☐ b one-time fee ☐ c commission ☐ d contingent fee ☐ e deferred ☐ f other, specify _____	12. Form of Payment (check all that apply) ☐ a cash ☐ b in-kind, specify Nature _____ Value _____
14. Brief Description of Services Performed or to be Performed and Dates of Services, including officer(s), employee(s), or Member(s) contacted for Payment Indicated in Item 11 (attach Continuation Sheet(s) SF-LLL-A, if necessary)		
15. Continuation Sheet(s) SF-LLL-A attached ☐ Yes ☐ No		
16. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above. When this transaction was made or entered into, this disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually, and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.		Signature _____ Print Name _____ Title _____ Telephone No. _____ Date _____
Federal Use Only		Authorized for Local Reproduction Standard Form - LLL

000050

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing the collection of information, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Washington, DC 20503.

ATTACHMENT D
STATE CERTIFICATIONS

Contractor Certifications Required by North Carolina Law

Instructions: The person who signs this document should read the text of the statutes and Executive Order listed below and consult with counsel and other knowledgeable persons before signing. The text of such North Carolina General Statutes and of the Executive Order can be found online at:

- Article 2 of Chapter 64: http://www.nc24.state.nc.us/Enacted/certification/Statutes/PDF/BvArticleChapter64Article_2.pdf
- G.S. 133-72: http://www.nc24.state.nc.us/Enacted/certification/Statutes/PDF/BvArticleChapter64Article_2.pdf
- Executive Order No. 24 (Perdue, Gov., Oct. 1, 2009): <http://www.dnccommission.nc.gov/Executives/pdfs/Laws/EO24.pdf>
- G.S. 105-164 (b)(1): [http://www.nc24.state.nc.us/Enacted/certification/Statutes/PDF/BvSectionChapter105G.S.105-164\(b\).pdf](http://www.nc24.state.nc.us/Enacted/certification/Statutes/PDF/BvSectionChapter105G.S.105-164(b).pdf)
- G.S. 143-48.5: <http://www.nc24.state.nc.us/Enacted/certification/Statutes/PDF/BvSectionChapter143G.S.143-48.5.html>
- G.S. 143-59.1: <http://www.nc24.state.nc.us/Enacted/certification/Statutes/PDF/BvSectionChapter143G.S.143-59.1.pdf>
- G.S. 143-59.2: <http://www.nc24.state.nc.us/Enacted/certification/Statutes/PDF/BvSectionChapter143G.S.143-59.2.pdf>
- G.S. 143-59.3: <http://www.nc24.state.nc.us/Enacted/certification/Statutes/PDF/BvSectionChapter143G.S.143-59.3.html>
- G.S. 143B-139.6: <http://www.nc24.state.nc.us/Enacted/certification/Statutes/PDF/BvSectionChapter143B-139.6.pdf>

Certifications

(1) Pursuant to G.S. 133-32 and Executive Order No. 24 (Perdue, Gov., Oct. 1, 2009) the undersigned hereby certifies that the Contractor named below is in compliance with and has not violated the provisions of either said statute or Executive Order.

(2) Pursuant to G.S. 143-48.5 and G.S. 143-133.3 the undersigned hereby certifies that the Contractor named below and the Contractor's subcontractors comply with the requirements of Article 2 of Chapter 64 of the NC General Statutes including the requirement for each employer with more than 25 employees in North Carolina to verify the work authorization of its employees through the federal E-Verify system. E-Verify System Link: www.uscis.gov

(3) Pursuant to G.S. 143-59.1(b) the undersigned hereby certifies that the Contractor named below is not an ineligible Contractor as set forth in G.S. 143-59.1(c) because:

- (a) Neither the Contractor nor any of its affiliates has refused to collect the use tax levied under Article 5 of Chapter 105 of the General Statutes on its sales delivered to North Carolina when the sales met one or more of the conditions of G.S. 105-164.8(b) and (b) (check one of the following boxes):
 - ☐ Neither the Contractor nor any of its affiliates has incorporated or reincorporated in a tax haven country, as set forth in G.S. 143-59.1(a)(2) after December 31, 2001; or
 - ☒ The Contractor or one of its affiliates has incorporated or reincorporated in a tax haven country, as set forth in G.S. 143-59.1(a)(2) after December 31, 2001.

Duplin County Health Department

Contractor's Name
Contractor's Address
Authorized Agent

Printed Name
Signature

Printed Name

The undersigned should be present when the Contractor verifies this certification and should sign and date this document immediately thereafter.



DUPLIN COUNTY
224 SEMINARY STREET
PO BOX 950
KINANSVILLE, NC 28349-0950
PHONE: (910) 296-2104 FAX: (910) 296-2107

March 1, 2021

HomeTrust Bank
PO Box 10
Asheville, NC 28802-0010

Re: Municipal Lease and Option Agreement between HomeTrust Bank and Lyman Volunteer Fire Department, Inc.

I am Chairman of the County Commissioners of Duplin County. This letter is to advise you that Lyman Volunteer Fire Department, Inc. is a qualified Volunteer Fire Department, assigned to protect a specific Fire District within this County.

In addition, a special ad valorem (fire tax) is assessed on the real property owners of this district. Said tax is to be used exclusively to provide equipment, facilities, and training as is necessary to provide fire protection for said district. Said funds may also be used to upgrade equipment as the need arises. This tax is collected by the County and disbursed by the Finance Office to the Fire Department on a regular basis by the County Finance Officer. The County also provides an annual appropriation to the department and the funds are to be used exclusively to provide equipment, facilities and training, as necessary. The Fire Department is operated and managed by the Board of Directors of the Fire Department and the Officers of said Department. The Department is currently meeting the requirements of their fire service contract.

The Fire Department has made us aware of their intention to acquire new capital assets through a Lease Purchase transaction with your firm. Please be advised that the County has no objection to this transaction.

Sincerely,

Chairman of the Board of County Commissioners

000051

```

P      1
|g|c|e|n|q

```

LN	ORG	OBJECT	PROJ	REF1	REF2	REF3	INF DESCRIPTION	DEBIT	CREDIT
1	0110	45600					REPAIRS - BUILDING	2,500.00	
2	0110	45600					REPAIRS - BUILDING		2,500.00
** GENERAL TOTAL								2,500.00	2,500.00

[illegible]

1P 2
|qlc]einq

LEAD	LEAD INTERNAL NO	EFF DATE	FMT DATE	INT REC'D	LEAD	ENTRY	AUTH-REF	STAT	3RD LEP	INT	E
2024	418	04/10/2023	02/10/2023	050111	1	1	N	1	1	1	1
1	RG	SUBJECT	REF1	REF2	REF3	REF4	REF5	REF6	REF7	REF8	REF9
1	418	4189	500111								
2	418	42607	500111								
3	418	42393	500111								
4	418	42600	500111								
5	418	43110	500111								
6	418	44307	500111								
7	418	42603	500111								
8	418	41986	500111								
9	418	43520	500111								

[illegible]

|Duplin County, NC
|JOURNAL INQUIRY

IP 3
|glc7e1ng

[illegible]

EXP	REF	JOURNAL	SRT	DATE	DATE	INL	REF	CLERK	INT-TL	AMOUNT	DEBIT	CREDIT	DEBIT	CREDIT	DEBIT	CREDIT
2-24	08	327	BUF	02/18/1977	02/18/1977	0310		BRUNO	1	N	420	420				
TR	DRG	OBJECT	REF	REF	REF			LINE	DESCRIPTION							
1	37	42011						1	ADVERTISING							
2	37	42540						2	ADVERTISING							
3	40	42950						3	SOFTWARE							
4	6140	43540						4	PROGRAM							
5	5178	43520						5	SOFTWARE							
6	5178	19110						6	REPAIR							
7	5178	42900						7	TR							
8	5178	47000						8	SOFTWARE							

|Duplin County, NC
|JOURNAL INQUIRY

1P 4
iglcjeing

[illegible][illegible]



ROAD NAME PETITION for UNNAMED ROAD

1. APPLICANT INFORMATION:
Name: JEDEDIAH STANFILL
Address: 114 MARYLAND DRIVE
City/State/Zip: LA GRANGE NC 28551
Telephone: Work: 252 227 1569 Home: 252 227 1569

2. MAIL DETERMINATION TO (If different than applicant information):
Name: N/A
Address: N/A
City/State/Zip: N/A
Road Location: Township Smokybush Range
Description: Gravel Lane off of Saxe Road between 241 & 41
Parcel Tax ID: 06-302
Proposed Road Name: FELIX HEATH LANE
Backup Name 1: HEATH LANE
Backup Name 2: HEATH-STANFILL LANE
(NAME SHOULD BE LESS THAN 13 LETTERS)

6. SIGNATURES OF PROPERTY OWNERS WHO ADJOIN OR ACCESS THIS ROAD:

[Signature]
[Signature]
[Signature]

The applicant hereby certifies that the signatures on this petition constitute the required amount of the landowners accessing or adjoining the road to be named by this petition.

Applicant's Signature: [Signature]

YEAR	PER	JOURNAL	SRC	EFF DATE	ENT DATE	IND DEPT	CLERK	ENTITY	ALT-RE	THD	BUD	YEAR	IND	TRF
2021	08	497	PUA	12/22/2021	02/22/2021	000000	22908	STANFILL				2021		
TRF	ORG	OBJECT	PROJ	REF1	REF2	REF3	LINE DESCRIPTION	AMOUNT DESCRIPTION	DEBIT	CREDIT	CB			
T	4971	41974		030121			FINANCE			7,415.00				
T	4971	41060		030121			ADMINISTRATION		7,415.00					
										** JOURNAL TOTAL				

YEAR	PER	JOURNAL	SRC	EFF DATE	ENT DATE	IND DEPT	CLERK	ENTITY	ALT-RE	THD	BUD	YEAR	IND	TRF
2021	08	516	BPA	02/13/2021	02/24/2021	000000	22908	STANFILL				2021		
TRF	ORG	OBJECT	PROJ	REF1	REF2	REF3	LINE DESCRIPTION	AMOUNT DESCRIPTION	DEBIT	CREDIT	CB			
T	4170	41974					CLERK A CREDIT ACCOUNT							
T	4170	4491					BILLING SOFTWARE HARDWARE							
										** JOURNAL TOTAL				

YEAR	PER	JOURNAL	SRC	EFF DATE	ENT DATE	IND DEPT	CLERK	ENTITY	ALT-RE	THD	BUD	YEAR	IND	TRF
2021	08	568	BPA	02/04/2021	02/24/2021	000000	22908	STANFILL				2021		
TRF	ORG	OBJECT	PROJ	REF1	REF2	REF3	LINE DESCRIPTION	AMOUNT DESCRIPTION	DEBIT	CREDIT	CB			
T	6110	43040		030121			CLEANING SERVICE							
T	6110	40140		030121			SOFTWARE MAINTENANCE		111.00					
										** JOURNAL TOTAL				
										** PAID TOTAL				

16 Journals printed

** END OF REPORT - Generated by: JAIME CARR



000055

Fire Department Approval

I certify that I am the Fire Department Chief of the fire department serving the area affected by this petition and that the fire department concur with this request

Signature Joey Carter
Print or type name Joey Carter
Department Name Bendable Fire Dept
Date 2-7-21

USPS Approval

I certify that I am the Post Master of the USPS serving the area affected by this petition and that the USPS concur with this request

Signature Joey Carter
Print or type name Joey Carter
Town Name Pink Hill Postmaster
Date 2-5-21

2021 Fire Tax proposal for the Liberty Hall Fire District

Kenansville Fire Department, (Liberty Hall Fire district), proudly serves the areas in and around the county seat. Our district is tasked with protecting a lot of the county's most important infrastructure. This includes the hospital, the courthouse, the airport, and many county offices. The most recent property value of our district is \$89,762,760.80. We are asking for a tax rate of \$.08. This would hopefully, generate an additional \$70,000-\$71,000 annually.

Our district's current ISO rating is a 4, which is among the best in the county. In order to maintain our current needed equipment, and prepare for future needs, we are making plans and prioritizing for upcoming purchases.

Our department's building, like many others, is old and in need of major improvements, for safety and functionality. We also have 3 trucks that are 20 years old or older, in our fleet. One of these units is a front-line Engine. We have 4 air packs (SCBA) that are aging out, and the cost to replace these packs is an estimated \$40,000.00. In these times, PPE is a top concern as well, and we have a current need to replace 8-10 sets of turnout gear. The associated cost is approximately \$25,000.00.

Our department regularly participates in the available grants for our organization. This allows us to make our money go further, as the grants operate on a 50/50 payment system. However, these grants are not automatically awarded each year and there is no guarantee we will receive them.

With the severe downturn in volunteering, as a whole, our department is no exception. Kenansville Fire Dept. recognizes the need to implement a part-time, paid firefighter program. The hope is, this will only supplement our volunteers, and help us continue to provide efficient emergency services, just as we have since 1953.

Thank you for your continued support of our department and other first responders in our county.

Kenansville Fire Department
Profit & Loss
January through December 2020

Jan - Dec 20

Ordinary Income/Expense	
Income	
Fire Prof 2019 State Funds	1,586 00
Contributions - Duplin County	28,226 65
Contributions - Town of Kenansv	84,000 00
Donations	1,472 77
Firemen's Relief Fund Tax	6,964 42
Grant Proceeds	5,830 41
Insurance Recovery	15,386 35
Sales Tax Distributions - Dupli	59,423 15
Total Income	202,901 75
Expense	
Association Meetings Expense	70 00
Bank Service Charges	153 15
Charitable Donations	702 00
Dues and Subscriptions	5,073 60
Flowers and Gifts	133 75
Food for Meetings	1,243 90
Fuel	2,811 02
Insurance	20,816 20
Interest Expense- Credit Union	7,046 64
Office Expense	459 76
Pension Dues	1,500 00
Postage and Freight	44 41
Professional Fees	4,536 28
Repairs & Maintenance	
Building Repairs	606 00
Equipment Repairs	9,157 39
Vehicle Repairs	22,552 74
Repairs & Maintenance - Other	1,348 72
Total Repairs & Maintenance	33,663 85
Small Tools	13,318 09
Supplies	1,800 42
Telephone	2,864 54
Utilities	
Electric	2,343 78
LP Gas	952 10
Total Utilities	3,295 88
Total Expense	99,533 49
Net Ordinary Income	103,368 26
Other Income/Expense	
Other Income	
Interest Income	207 11
Sale of Fixed Assets	64,200 00
Total Other Income	64,407 11
Net Other Income	64,407 11
Net Income	167,775.37

000056

6 Project Description:

Number of New Connections Served by Project (if applicable): N/A

Duplin County operates a central water system that serves the rural residential community (including sale to smaller water systems located within the County). The system serves approximately 7,137 residential customers. Duplin County is located in the Central Coastal Plain Capacity Use Area (CCPCUA), therefore system monitoring is critical. Most of the existing SCADA assets have been in service for a long time. Some SCADA assets have been in service for over 25 years. The system is outdated and spare parts are very difficult to find. The hardware is no longer available. Existing system software is obsolete. As the system continues to age, repairs will be needed more often. As repair parts are no longer available, parts of the system will have to be operated manually, potentially increasing water loss and system inefficiency. In an effort to better manage water system assets and limit unaccounted water, Duplin County proposes to upgrade/rehabilitate its Supervisory Control and Data Acquisition (SCADA) system to aid in the following:

- Minimization of system water loss
- Improved water system pressure monitoring
- Optimize water supply by directing supply to elevated storage tanks facing instantaneous needs
- Reduction in overall energy use to supply and transport water
- Improved customer service

7

Duplin County was severely impacted by both Hurricane Florence and Hurricane Matthew. Proper operation of the SCADA system is significant during storm events to help prevent significant water loss and complete loss of system pressure, resulting in boiling water advisories.

8. Information Related to the Additional Supplemental Appropriations for Disaster Relief Act, 2019 (ASADRA)

Which storm(s) impacted your system(s)? ☐ Hurricane Florence ☒ Hurricane Michael ☐ Neither (not applying for ASADRA)

My project will

- ☐ Enable my facility to prepare for, adapt to or recover from natural disasters
- ☐ Reduce the likelihood of damage to my facility
- ☐ Reduce my facility's susceptibility to physical damage or ancillary impacts caused by floods, earthquakes, or fires
- ☐ Prepare for, adapt to, or recover from a sudden unplanned change in the amount of and movement of water near my facility

Describe the impacts these storms had on your system

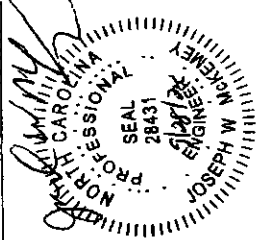
Title	Expense
Association Meeting	\$240.00
Bank Service Charges	\$250.00
Donations	\$500.00
Dues and Subscriptions	\$6,000.00
Flowers/Gifts	\$200.00
Christmas Dinner/Quarterly Meetings	\$2,000.00
Fuel	\$3,500.00
Insurance	\$35,000.00
Rosenbauer Engine 1	\$27,589.00
Engine 3	\$15,900.24
Squad 1	\$15,672.00
Office Supplies	\$800.00
Postage	\$100.00
Professional Services	\$9,000.00
Building Repairs	\$2,500.00
Equipment Repairs	\$4,500.00
Vehicle Repairs	\$15,000.00
Grant Matching Fund	\$25,000.00
Supplies	\$2,500.00
Phone	\$3,000.00
Utilities	\$3,000.00
LP Gas	\$1,500.00
Pension Dues	\$2,400.00
Payroll	\$40,000.00
Capital Investment-Trk Rplmnt/Bldg prvmnt	\$10,000.00
TOTAL	\$226,151.24

County Contribution	\$26,054.40
Town Contribution	\$84,000.00
Sales Tax Contribution	\$48,000.00
County Fire Tax	\$70,000.00
TOTAL REVENUE	\$228,054.40

RESOLUTION AUTHORIZING APPLICATION FOR FINANCIAL ASSISTANCE
DEPARTMENT OF ENVIRONMENTAL QUALITY
2020 SCADA SYSTEM IMPROVEMENTS
DUPLIN COUNTY

PRELIMINARY COST ESTIMATE
2020 SCADA SYSTEM IMPROVEMENTS PROJECT
DUPLIN COUNTY
SEPTEMBER 2020

Item	Description	Unit	Quantity	Price	Amount
Construction Costs					
1	Unit CCU - Master Control Panel & SCADA Computer	LS	1	\$80,000.00	\$80,000.00
2	Primary SCADA Backup Server	LS	1	\$20,000.00	\$20,000.00
3	Remote Client Laptop	EA	2	\$5,000.00	\$10,000.00
4	Elevated Storage Tank Remote Panel/Control Unit	LS	6	\$23,000.00	\$138,000.00
5	Well Remote Panel/Control Unit	LS	8	\$21,000.00	\$168,000.00
6	EST & Well Site Remote Panel/Control Unit	LS	7	\$34,000.00	\$238,000.00
7	Booster Pump Station Remote Panel/Control Unit	LS	5	\$23,000.00	\$115,000.00
8	Booster Pump & Valve Station Remote Panel/Control Unit	LS	1	\$25,000.00	\$25,000.00
9	Valve Station F-1 Remote Panel/Control Unit	LS	1	\$14,000.00	\$14,000.00
10	Recalibrate Existing Transducers at Storage Tanks	EA	13	\$1,000.00	\$13,000.00
11	Installation of Omnidirectional Antennas on Tanks	EA	13	\$6,000.00	\$78,000.00
12	Antenna Mounts, Install Coaxial Cable and Piping	EA	13	\$8,000.00	\$104,000.00
13	Directional Antennas at Well, BPS and VS Sites - 60' Min	EA	16	\$9,000.00	\$144,000.00
14	Spare Parts	LS	1	\$8,000.00	\$8,000.00
15	Owner's Training	EA	5	\$1,500.00	\$7,500.00
16	GIS/As-Built Preparation/Submittal	LS	1	\$30,000.00	\$30,000.00
	Subtotal				\$1,192,500.00
16	Construction Administration (180 days)				\$95,235.00
17	Construction Observation (120 days)				\$55,040.00
18	Contingency				\$110,250.00
	Subtotal Construction				\$1,453,025.00
Engineering Costs					
19	Engineering Design				\$120,475.00
20	Permitting				\$1,000.00
21	Engineering Reimbursables				\$1,500.00
22	Land Surveying Costs				\$6,000.00
Administration Costs					
23	Engineering Report Preparation				\$20,000.00
24	Environmental Document Preparation				\$15,000.00
25	Legal Costs				\$2,000.00
26	Other				\$2,000.00
	TOTAL PROJECT COST				\$1,621,000.00
	TOTAL FUNDING REQUEST				\$1,621,000.00



WHEREAS, The Federal Clean Water Act Amendments of 1987 and the North Carolina Water Infrastructure Act of 2005 (NCGS 159C) have authorized the making of loans and grants to aid eligible units of government in financing the cost of construction or improvements to water distribution systems, and

WHEREAS, Duplin County has need for and intends to perform improvements to the existing SCADA system described as 2020 SCADA System Improvements, and

WHEREAS, Duplin County intends to request state loan and grant assistance for the project

NOW THEREFORE BE IT RESOLVED, BY THE BOARD OF COMMISSIONERS OF DUPLIN COUNTY:

That Duplin County, the **Applicant**, will arrange financing for all remaining costs of the project, if approved for a State loan or grant award

That the **Applicant** will adopt and place into effect on or before completion of the project a schedule of fees and charges and other available funds which will provide adequate funds for proper operation, maintenance, and administration of the system and the repayment of all principal and interest on the debt

That the governing body of the **Applicant** agrees to include in the loan agreement a provision authorizing the State Treasurer upon failure of Duplin County to make scheduled repayment of the loan to withhold from Duplin County any State funds that would otherwise be distributed to the local government unit in an amount sufficient to pay all sums then due and payable to the State as a repayment of the loan

That the **Applicant** will provide for efficient operation and maintenance of the project on completion of construction thereof

That Dexter B. Edwards, Chairman, Board of Commissioners, the **Authorized Official**, and successors so titled, is hereby authorized to execute and file an application on behalf of the **Applicant** with the State of North Carolina for a loan or grant to aid in the construction of the project described above

That the **Authorized Official**, and successors so titled is hereby authorized and directed to furnish such information as the appropriate State agency may request in connection with such application or the project to make the assurances as contained above, and to execute such other documents as may be required in connection with the application

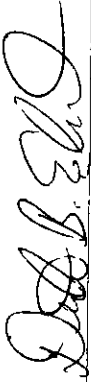
That the **Applicant** has substantially complied or will substantially comply with all Federal, State, and local laws, rules, regulations, and ordinances applicable to the project and to Federal and State grants and loans pertaining thereto

That McDavid Associates, Inc. is authorized to provide technical assistance with the preparation and submittal of an application for financial assistance in accordance with published guidelines

That the following application exhibits are hereby approved and the Authorized Official is authorized to sign as part of formal application preparation submittal

- 1 North Carolina Division Application Form
- 2 Approve amendment of local plans to include but not limited to Capital Improvement Plan, Asset management Plan, and Water Loss Reduction Plan

Adopted this the 1st day of March, 2021 at Duplin County, North Carolina



Dexter B. Edwards, Chairman, Board of Commissioners
Duplin County

CERTIFICATION BY RECORDING OFFICER

The undersigned duly qualified and acting Clerk to the Board of Duplin County does hereby certify that the above/attached resolution is a true and correct copy of the resolution authorizing the filing of an application with the State of North Carolina as regularly adopted at a legally convened meeting of the Board of Commissioners of Duplin County duly held on the 1st day of March, 2021, and, further, that such resolution has been fully recorded in the journal of proceedings and records in my office. IN WITNESS WHEREOF I have hereunto set my hand this 1st day of March, 2021.

(S.F.A.L.)





Davis Brinson
Clerk to the Board



Fact Sheet: ATMC NC Grant - Duplin County

WHO WE ARE

ATMC is a nonprofit cooperative, owned by its members, and dedicated to providing outstanding service. Chartered in 1955, Atlantic Telephone Membership Corporation (ATMC) offers local telephone service, high speed Internet, Cable TV, Business Communications, Security and Wireless services. ATMC offers service outside of its traditional cooperative territory through a wholly-owned subsidiary, ATMC, LLC. ATMC and ATMC, LLC currently serve more than 50,000 customers in Brunswick and Columbus counties.

PURPOSE OF GRANTS

ATMC is working to deliver critical broadband service to Duplin County residents and businesses with minimum 50 Megabits per second (Mbps) and up to 1 Gigabit per second (Gbps) maximum symmetrical speeds via a 100% fiber to the home network.

ATMC'S GRANT AWARDS

In August of 2020, ATMC was awarded \$1.2 million dollars that will be used to expand high-speed internet service to serve more than 400 homes in communities west of Magnolia, Rose Hill and Teachey in rural Duplin County. ATMC will provide an additional \$1,230,916.85 dollars in matching funds to complete these projects.

In December of 2020, ATMC was awarded \$1.3 million that will be used to expand high speed internet service to more than 625 addresses spread in or around the communities east of Magnolia, Rose Hill and Teachey in rural Duplin County. ATMC will provide \$585,388 dollars in matching funds for this project.

DETAILS OF PROPOSED SERVICE FUNDING AREA

Through in-person audits of existing services in the proposed funding area and surveys of hundreds of residents, ATMC found this area to be underserved and in critical need of high-capacity broadband connectivity for the purposes of economic diversification and improvement, job training and employment, as well as educational, community health and public safety enhancement. ATMC's project will serve more than 1,000 residents and businesses in rural Duplin County. For more information, visit www.FasterDuplin.com.

ATMC'S PAST GRANT SUCCESS

ATMC has a strong history of providing broadband to rural areas and maximizing the use of grant dollars for the betterment of rural communities. Here is an overview of the broadband grants ATMC has received:

NC GREAT Grant Program-

- In May of 2019, ATMC won a \$1 million-dollar grant from the NC GREAT Grant program to build a fiber optic network to more than 1,200 homes and businesses in the Columbus County community of Beaverdam. This project was completed in July of 2020.
- In August of 2020, ATMC was awarded a \$2.5 million-dollar grant from the NC GREAT Grant program to serve 2,000 residents and businesses in the Columbus County towns of Fair Bluff and Boardman as well as the Robeson County communities of Orrum and Proctorville.
- In December of 2020, ATMC was awarded \$7.8 million dollars through a special supplemental round of the NC GREAT Grant program that will allow ATMC to bring high-speed internet to 5,000 rural addresses in Brunswick, Columbus, Robeson and Duplin Counties.

USDA ReConnect Grant Program -

- In December of 2019, ATMC won a \$7.9 million-dollar USDA ReConnect Grant to build a fiber optic network to more than 4,000 homes and businesses in the Columbus County communities of Tabor City, Hallsboro, Bolton, Lake Waccamaw and areas north of Whiteville. Construction for this project is currently underway.

CONTACT US

Mailing Address: P.O. Box 3198, Shallotte, NC 28459 | Phone: 910-754-4311 | Email: fasterduplin@atmc.com | Website: FasterDuplin.com

000059



Fact Sheet: ATMC NC GREAT Grant – Duplin County

- In September of 2020, ATMC was awarded a \$21.6 million-dollar USDA ReConnect Grant to build a fiber optic network to more than 7,000 homes and businesses in rural Pender County. This project is currently in design and construction will begin this year.

USDA American Recovery and Reinvestment Act's Broadband Stimulus Program –

- In 2010, ATMC received funding through a USDA grant to build a fiber optic network to the unserved communities of Nakina, Old Dock and Clarendon in Columbus County. Today, ATMC serves nearly 2,000 residents and approximately 60 businesses with high-speed internet, with more than 90% taking speeds of 150 Mbps or greater.

MEDIA CONTACT Victoria Bellamy Phone 910-755-1677 Email vbellamy@atmc.com

CONTACT US

Mailing Address P.O. Box 3198

NC 28459 Phone 910-754-4311 Email fasterduplin@atmc.com Website FasterDuplin.com

Duplin County
Geographic Information Systems

SearchResultsLayers

Results List

Details

DUPLIN COUNTY
KENANSVILLE NC 28349

Tax Account Info
Parcel #: 13-E118-
PIN: 340300487865
Account #: 2440337
Address: 165 AGRICULTURE DR
Deed Info: 1254 305 1999
Property Class: 1
Deed Acres: 125.2

Sale & Assessment Information
Assessed Value: \$26,292,775
Land Value: \$427,500
Misc Value:
Year Built: 2006

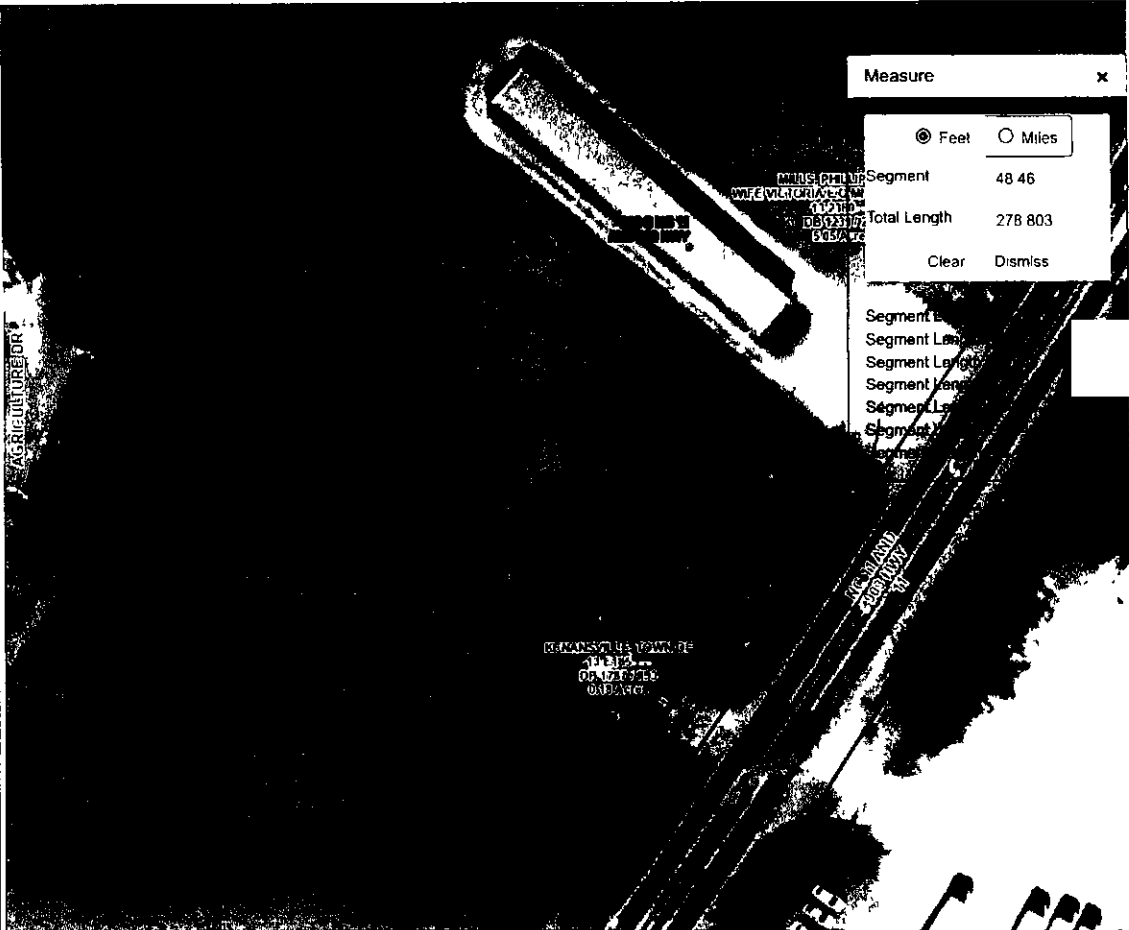
Heated Sq. Footage: 213442
Sale Price: \$240,000
Sale Date: 12/18/1998

Zoom ToClear

Select By Location

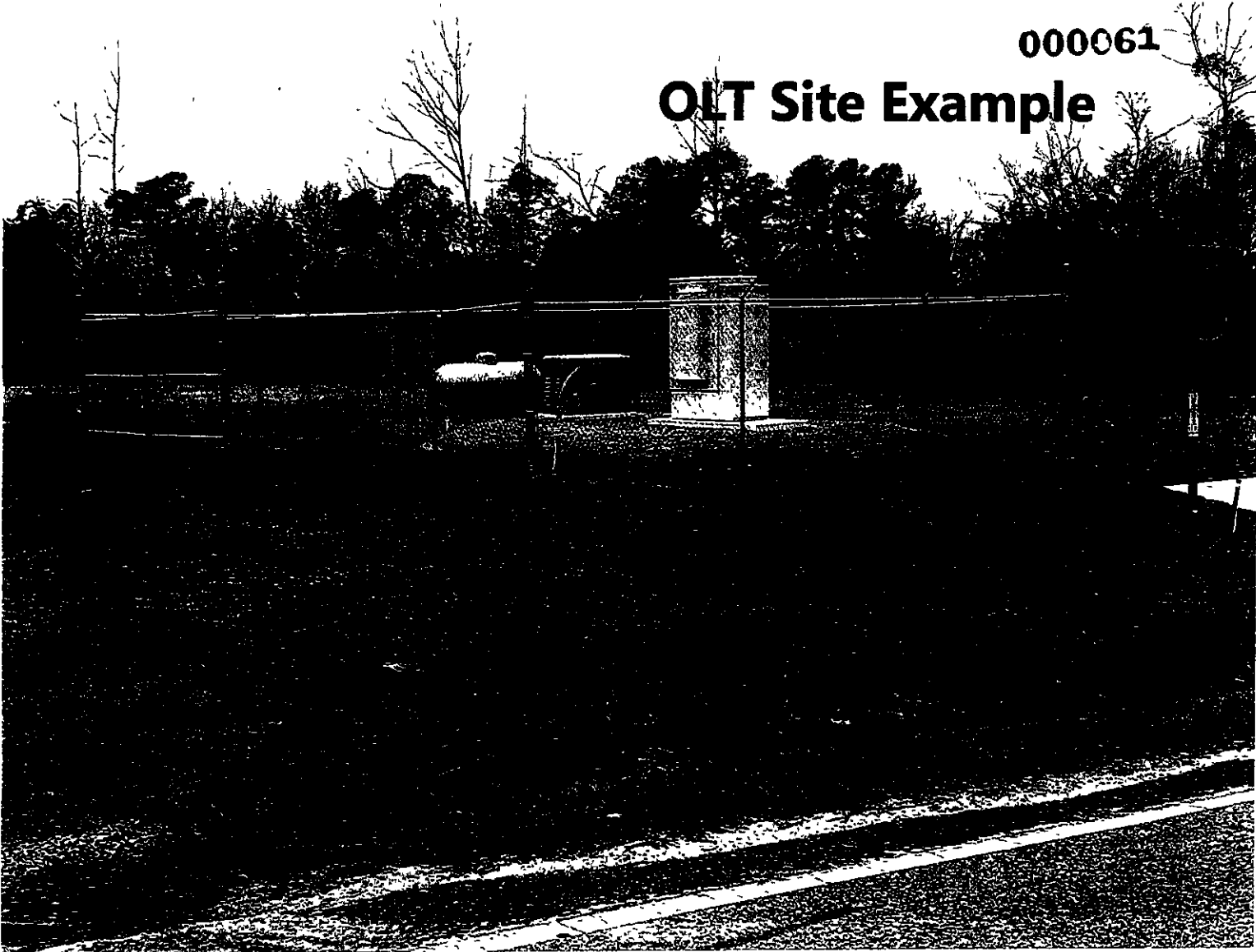
Adjoiners

Print



SITE#2

000060



000061

OLT Site Example

Total Addresses	1,048.00
Construction Miles	116.66
Businesses	17.00
Eligible Households	1,032.00
ATMC Investment (Match)	\$1,816,304.44
Grant Funds Awarded	\$2,596,822.36
Total Investment	\$4,413,126.80

DHHS Funding for Transportation to Vaccine Sites

Brumfield, Ryan M <rbrumfield@ncdot.gov>

Wed 12/3/2021 1:17 AM

Cc: White, Julie A (NCDOT) <juliewhite@ncdot.gov>; Vine-Hodge, John A <javinehodge@ncdot.gov>; Chambers, Blair <bchambers@ncdot.gov>; Freitag, Carolyn M <cmfreitag@ncdot.gov>; Freeman, Myra S <mrfreeman1@ncdot.gov>; Sudano, Stephanie <ssudano@ncdot.gov>; Gallas, Rebecca J <rgallas@ncdot.gov>

1 Attachment (908 KB)

DHHS Funding for Vaccine Transportation Disbursement Amounts.xlsx DHHS Guidance Coronavirus Relief Fund Vaccine Transportation 02.01.21.docx Reimbursement Form -1571 Template DHHS.xls

CAUTION: This email originated from outside of Duplin County. Do not click links or open attachments unless you recognize the sender and know the content is safe

Good Morning Transit Partners,

This email provides information regarding DHHS Coronavirus Relief Funding to support trips to vaccine sites. As announced previously, DHHS has provided NCDOT with \$2.5M to assist transit systems with providing rides to citizens who need transportation to vaccination locations. Please visit our webpage [Transportation to COVID-19 Vaccine Sites](#) for more information about this effort.

The attached spreadsheet titled "DHHS Funding for Vaccine Transportation Disbursement Amounts" shows the amount each system will receive in new funding. We anticipate distributing this funding by the end of the week. Also attached is program guidance which includes eligibility information, claim submittal instructions, and other important details. The final attachment is the DHHS reimbursement form which must be attached to your claim submittals. As a reminder, please submit your data and claims here: [Vaccine Transportation Weekly Progress Report & Claim Submission](#). Refer to the January 28th email from Blair Chambers for more information.

Systems who also received DHHS funding last year, please read the rest of this email

In November 2020, DHHS provided IMD with CARES Act funding for thirty-four economically distressed (Tier 1) counties to cover ROAP-eligible trips. The new DHHS funding for vaccine transportation is an extension of the same funding source. Therefore, in order to determine disbursement amounts for the new funding, we had to fully reconcile all claims from the first round of funding.

Transit systems who received round one funding fall into one of three scenarios based on the reconciliation process:

- 1. Funds remaining
- 2. All funding expended and claims submitted above the amount provided ("overages")
- 3. All funding expended and no overages

Last year we committed to transit agencies that we would cover some overages by redistributing unexpended funds from other systems. We think it is important to honor this commitment to the extent possible. To do so, we will use the following approach:

- **No fund balance and no overages** – Systems with no overages or unspent funds will receive their new DHHS funding for vaccination transportation without adjustments
- **Fund balance** – Systems with remaining funds keep their funds and must use them exclusively to support transportation sites. Their 2nd DHHS disbursement will be reduced accordingly

- Systems with more funds remaining than their proposed 2nd disbursement amount will be invoiced for the difference. Invoices will be sent out from DOT's fiscal section soon and systems will have 30 days to repay the amount.
- **Claim overages** – Systems with overages from the first round will receive new DHHS funding to cover the overages, plus an additional disbursement exclusively for vaccination transportation support.
 - Overages will only be covered up to double the initial disbursement. Overages more than double will be provided through future CARES Act funding.
 - A portion of overages will be paid immediately through the new DHHS funding. The remainder will be paid in approximately 6-8 weeks after invoiced funds are received from other systems.

The second tab on the "DHHS Funding for Vaccine Transportation Disbursement Amounts" spreadsheet (titled "Working") reflects this approach and the implications for each system.

Please direct any follow-up questions to your planner or to me directly.

Thank you,

Ryan

Ryan Brumfield, PE
Interim Director
Integrated Mobility Division
North Carolina Department of Transportation

Office – (919) 707-4674
Cell – (919) 928-3377

1 S Wilmington Street, Room 546
Raleigh, NC 27699-1550



Email correspondence to and from this sender is subject to the N.C. Public Records Law and may be disclosed to third parties.

000062

RESOLUTION 2021-3
DUPLIN COUNTY

RESOLUTION
ADOPTING SAMPSON-DUPLIN REGIONAL
HAZARD MITIGATION PLAN

WHEREAS, the citizens and property within Duplin County are subject to the effects of natural hazards that pose threats to lives and cause damage to property, and with the knowledge and experience that certain areas of the county are particularly vulnerable to drought, extreme heat, hailstorm, hurricane and tropical storm, lightning, thunderstorm wind high wind, tornado, winter storm and freeze, flood, hazardous material incident, and wildfire, and

WHEREAS, the County desires to seek ways to mitigate the impact of identified hazard risks and

WHEREAS, the Legislature of the State of North Carolina has in Part 6, Article 21 of Chapter 143, Parts 3, 5, and 8 of Article 19 of Chapter 160A, and Article 8 of Chapter 160A of the North Carolina General Statutes, delegated to local governmental units the responsibility to adopt regulations designed to promote the public health, safety, and general welfare of its citizenry, and

WHEREAS, the Legislature of the State of North Carolina has enacted General Statute Section 166A-19-41 (*State emergency assistance funds*) which provides that for a state of emergency declared pursuant to G.S. 166A-19-20(a) after the deadline established by the Federal Emergency Management Agency pursuant to the Disaster Mitigation Act of 2002 P.L. 106-390, the eligible entity shall have a hazard mitigation plan approved pursuant to the Stafford Act, and

WHEREAS, Section 322 of the Federal Disaster Mitigation Act of 2000 states that local governments must develop an All-Hazards Mitigation Plan in order to be eligible to receive future Hazard Mitigation Grant Program Funds and other disaster-related assistance funding and that said Plan must be updated and adopted within a five-year cycle, and

WHEREAS, Duplin County has performed a comprehensive review and evaluation of each section of the previously approved Hazard Mitigation Plan and has updated the said plan as required under regulations at 44 CFR Part 201 and according to guidance issued by the Federal Emergency Management Agency and the North Carolina Division of Emergency Management

WHEREAS, it is the intent of the Board of Commissioners of Duplin County to fulfill this obligation in order that the County will be eligible for federal and state assistance in the event that a state of disaster is declared for a hazard event affecting the County,

NOW, THE PEOPLE, be it resolved that the Board of Commissioners of Duplin County hereby

1 Adopts the Sampson-Duplin Regional Hazard Mitigation Plan

Transit System	Claims Total	Disbursement #1		Eligible Overages from First Round of Funding (Up to Double Disbursement 1)	Ineligible Overages (More than double first round of funding - will be added to CARES Rnd 3)	Amount to Deduct from Vaccine Funds (Funds Remaining from first round)	Amount to Invoice (Funds Remaining from first round Above Vaccine Fund Amount)	Amount to Add to Vaccine Funds (Overages Up to Amount Deducted Based on Funds Remaining from first round)	Immediate Disbursement	Additional Disbursement After Invoiced Funds Received
Totals	\$2,683,699.58	\$2,468,131.00	\$2,500,000	\$ 596,116.00	\$ 191,906.70	\$ 299,207.00	\$ 232,282.00	\$ 299,207.00	\$ 2,500,000.00	\$ 268,918.00
Duplin County	\$90,343.75	\$85,723.00		\$ 4,621.00	\$	\$	\$	\$ 2,319.00	\$ 22,869.00	\$ 2,302.00

2 Vests Duplin County Emergency Management with the responsibility, authority, and the means to

- (a) Inform all concerned parties of this action
 - (b) Cooperate with Federal, State and local agencies and private firms which undertake to study, survey, map and identify floodplain areas, and cooperate with neighboring communities with respect to management of adjoining floodplain areas in order to prevent exacerbation of existing hazard impacts
- 3 Appoints Duplin County Emergency Management to assure that the Hazard Mitigation Plan is reviewed annually and every five years as specified in the Plan to assure that the Plan is in compliance with all State and Federal regulations and that any needed revisions or amendments to the Plan are developed and presented to the Duplin County Board of Commissioners for consideration
- 4 Agrees to take such other official action as may be reasonably necessary to carry out the objectives of the Hazard Mitigation Plan

Adopted this the 1st day of March, 2021


Dexter Edwards, Chair
Duplin County Board of Commissioners

Attest: 
Davis H. Brinson, Clerk to the
Duplin County Board of Commissioners



Date March 4, 2021

APPRAISAL REPORT OF

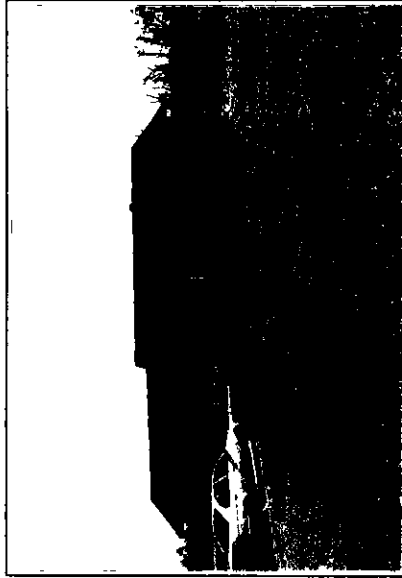
451 Jimmy Tate Williams Rd
Boulaire, NC 28518-717

AS OF
10/07/2016

PREPARED FOR

County of Duplin
224 Sennett Street
Hartsville, NC 28540

PREPARED BY
B-Vue Appraisals, LLC
Shelton Real Estate & Appraisals, LLC
PO Box 11190
Greensboro, NC 27402



The purpose of this appraisal report is to provide the beneficiary with an accurate and adequately supported opinion of the market value of the subject property.			
Property Address	761 Jimmy Lee Williams Rd	City	Seaside
County	NA	State	NC
Legal Description	See comments		285192167
Legal Description	See comments	Legal Description	Duplin

Assessor's Parcel #	69340	Neighborhood Name	North Spangled	Map Reference	0	Map Ref. 2	0	Map Ref. 3	0	Map Ref. 4	0	Map Ref. 5	0	Map Ref. 6	0	Map Ref. 7	0	Map Ref. 8	0	Map Ref. 9	0	Map Ref. 10	0	Map Ref. 11	0	Map Ref. 12	0	Map Ref. 13	0	Map Ref. 14	0	Map Ref. 15	0	Map Ref. 16	0	Map Ref. 17	0	Map Ref. 18	0	Map Ref. 19	0	Map Ref. 20	0	Map Ref. 21	0	Map Ref. 22	0	Map Ref. 23	0	Map Ref. 24	0	Map Ref. 25	0	Map Ref. 26	0	Map Ref. 27	0	Map Ref. 28	0	Map Ref. 29	0	Map Ref. 30	0	Map Ref. 31	0	Map Ref. 32	0	Map Ref. 33	0	Map Ref. 34	0	Map Ref. 35	0	Map Ref. 36	0	Map Ref. 37	0	Map Ref. 38	0	Map Ref. 39	0	Map Ref. 40	0	Map Ref. 41	0	Map Ref. 42	0	Map Ref. 43	0	Map Ref. 44	0	Map Ref. 45	0	Map Ref. 46	0	Map Ref. 47	0	Map Ref. 48	0	Map Ref. 49	0	Map Ref. 50	0	Map Ref. 51	0	Map Ref. 52	0	Map Ref. 53	0	Map Ref. 54	0	Map Ref. 55	0	Map Ref. 56	0	Map Ref. 57	0	Map Ref. 58	0	Map Ref. 59	0	Map Ref. 60	0	Map Ref. 61	0	Map Ref. 62	0	Map Ref. 63	0	Map Ref. 64	0	Map Ref. 65	0	Map Ref. 66	0	Map Ref. 67	0	Map Ref. 68	0	Map Ref. 69	0	Map Ref. 70	0	Map Ref. 71	0	Map Ref. 72	0	Map Ref. 73	0	Map Ref. 74	0	Map Ref. 75	0	Map Ref. 76	0	Map Ref. 77	0	Map Ref. 78	0	Map Ref. 79	0	Map Ref. 80	0	Map Ref. 81	0	Map Ref. 82	0	Map Ref. 83	0	Map Ref. 84	0	Map Ref. 85	0	Map Ref. 86	0	Map Ref. 87	0	Map Ref. 88	0	Map Ref. 89	0	Map Ref. 90	0	Map Ref. 91	0	Map Ref. 92	0	Map Ref. 93	0	Map Ref. 94	0	Map Ref. 95	0	Map Ref. 96	0	Map Ref. 97	0	Map Ref. 98	0	Map Ref. 99	0	Map Ref. 100	0	Map Ref. 101	0	Map Ref. 102	0	Map Ref. 103	0	Map Ref. 104	0	Map Ref. 105	0	Map Ref. 106	0	Map Ref. 107	0	Map Ref. 108	0	Map Ref. 109	0	Map Ref. 110	0	Map Ref. 111	0	Map Ref. 112	0	Map Ref. 113	0	Map Ref. 114	0	Map Ref. 115	0	Map Ref. 116	0	Map Ref. 117	0	Map Ref. 118	0	Map Ref. 119	0	Map Ref. 120	0	Map Ref. 121	0	Map Ref. 122	0	Map Ref. 123	0	Map Ref. 124	0	Map Ref. 125	0	Map Ref. 126	0	Map Ref. 127	0	Map Ref. 128	0	Map Ref. 129	0	Map Ref. 130	0	Map Ref. 131	0	Map Ref. 132	0	Map Ref. 133	0	Map Ref. 134	0	Map Ref. 135	0	Map Ref. 136	0	Map Ref. 137	0	Map Ref. 138	0	Map Ref. 139	0	Map Ref. 140	0	Map Ref. 141	0	Map Ref. 142	0	Map Ref. 143	0	Map Ref. 144	0	Map Ref. 145	0	Map Ref. 146	0	Map Ref. 147	0	Map Ref. 148	0	Map Ref. 149	0	Map Ref. 150	0	Map Ref. 151	0	Map Ref. 152	0	Map Ref. 153	0	Map Ref. 154	0	Map Ref. 155	0	Map Ref. 156	0	Map Ref. 157	0	Map Ref. 158	0	Map Ref. 159	0	Map Ref. 160	0	Map Ref. 161	0	Map Ref. 162	0	Map Ref. 163	0	Map Ref. 164	0	Map Ref. 165	0	Map Ref. 166	0	Map Ref. 167	0	Map Ref. 168	0	Map Ref. 169	0	Map Ref. 170	0	Map Ref. 171	0	Map Ref. 172	0	Map Ref. 173	0	Map Ref. 174	0	Map Ref. 175	0	Map Ref. 176	0	Map Ref. 177	0	Map Ref. 178	0	Map Ref. 179	0	Map Ref. 180	0	Map Ref. 181	0	Map Ref. 182	0	Map Ref. 183	0	Map Ref. 184	0	Map Ref. 185	0	Map Ref. 186	0	Map Ref. 187	0	Map Ref. 188	0	Map Ref. 189	0	Map Ref. 190	0	Map Ref. 191	0	Map Ref. 192	0	Map Ref. 193	0	Map Ref. 194	0	Map Ref. 195	0	Map Ref. 196	0	Map Ref. 197	0	Map Ref. 198	0	Map Ref. 199	0	Map Ref. 200	0	Map Ref. 201	0	Map Ref. 202	0	Map Ref. 203	0	Map Ref. 204	0	Map Ref. 205	0	Map Ref. 206	0	Map Ref. 207	0	Map Ref. 208	0
---------------------	-------	-------------------	----------------	---------------	---	------------	---	------------	---	------------	---	------------	---	------------	---	------------	---	------------	---	------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	-------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---	--------------	---

I ☐ did not analyze the contract for sale for the subject purchase transaction. Explain the results of the analysis of the contract for sale, why the analysis was not performed

Contract Price \$ _____ Date of Contract _____

Is there any financial assistance (loan charges, sale concessions, gift or down payment assistance, etc.) to be paid by any party on behalf of the borrower? ☐ Yes ☐ No

Is it possible the total loan amount and charges the lender is to be paid ☐ Yes ☐ No

[illegible]

Market Conditions (including support for the above conditions): See comments. Neighborhood Marketing C, addtl.

Dimensions	116 224 118 72 160 264 27 23	Area	2 760 14	Shape	Along Perimeter	view	N. West
Specific Zoning Classification							
Permit Compliance	☐ Legal	☐ Legal Nonconforming	Grandfathered Use	☐ No Zoning	Illegal (describe)		
Is the subject and best use of subject property as improved (or as proposed for plans and specifications) the present use? ☐ Yes ☐ No. If No, describe the present and best use as based on the subject's current property, residential use and surrounding uses.							

Utilities		Public		Other (describe)		Public		Off-site Improvements		Type	Public	Private
Electricity	☒					Water	☒		Sanitary Sewer	☒	Storm	☒
Gas	☒					Private Available	☒		Private Sewer	☒	Alley	☒
FEMA Special Flood Hazard Area: ☒ Yes ☐ No FEMA Flood Zone: AE Are the utilities and/or off-site improvements "critical to the market area"? ☒ Yes ☐ No ☐ No gas/bike												
FEMA Map # 77061C.000 FEMA Map Date 10/20/2016												

	Yes, I do	No, I do not
1. I have no other information on external factors, circumstances, environmental conditions, and uses etc.	Yes, I do	No, I do not
2. There are no apparent adverse elements to encroachments of the subject.	Yes, I do	No, I do not
3. The subject is not connected to public sewer and public sewer is not available.	Yes, I do	No, I do not
4. I have a negative effect on the value of the subject and is typical for the area.	Yes, I do	No, I do not
5. The subject is located in flood hazard area and is not included in the FEMA Flood Map, numbered in the appendix.	Yes, I do	No, I do not

General Description		Foundation		Exterior Description		Material/condition		Interior		Material/condition	
Units	1	One with Accessory Unit	Concrete Slab	1	Crawl Space	Foundation Walls	Brick	Shrinkage	Floors	1	gpm/yd ²
# of Stories	10		Full Basement	1	Partial Basement	Exterior Walls	Brick	Water/Average	Walls	1	Sheet Piling
Year 1	1	Alt.	1	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Year 2	2	Proposed	Under Const.	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Design (Style)	Reach		Basement Finish	0	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Year Built	1985		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Effective Age (y. 5)	5		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Alt.	None		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Drop Stair	1		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Stairs	1		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Scullie	1		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Floor	1		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Finish	1		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Appurtenances	1		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Range Oven	1		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Refrigerator	1		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Rooms	1		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Bathrooms	1		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Additional features (special lighting, etc.)	1		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire
Additional features (special lighting, etc.)	1		Outside Entry	1	1	Roof Surface	Asp	Shingles/Average	Turnout	1	Fire

Describe the condition of the property, including needed repairs, deterioration, renovations, etc. _____ C4. How old is the property? _____ No. 15. Subject's current average condition with routine maintenance and expenditure of modest resources seems excellent. Physical deterioration is limited to normal wear and tear. Functional depreciation and external obsolescence is not a factor. The subject was built in 1985, including a 431 years old building. Age 431 years. An effective age of 15 years due to a remaining economic life of 45 years.

Are there any physical deficiencies or adverse conditions that affect the health, soundness, or structural integrity of the property? ☐ Yes ☐ No. If yes, describe _____

Does the property generally conform to the neighborhood function of use, style and type? ☐ Yes ☐ No If No, describe

Payroll Real Estate & Appraisals, Inc.
P.O. Box 11180
Goldsboro NC 27534

01/27/2021

County of Duplin
24 January 1874
Mansville N.C.

RE
UA

File No. 51 Jimmy Dale Williams Rd Beaverville NC 28518-0567 51 Jimmy Dale Williams Rd

Case No

Dear Sirs, 1st Dublin

In accordance with your request I have personally inspected and prepared an appraisal report of the real property located at

59 - Henry 3rd Williams Rd. Boulders, N. 95.10 4.9.7

The purpose of this appraisal is to estimate the market value of the property described in the body of this appraisal report

Enclosed please find the appraisal report which describes certain data gathered during our investigation of the property. The methods of approach and reasoning in the valuation of the various physical and economic factors of the subject property are contained in this report.

with inspection of the property and a study of pertinent factors including valuation trends and an analysis of neighborhood data, led the appraiser to the conclusion that the market value as of 3/17/20 is

5

5

he opinion of value expressed in this report is contingent upon the limiting conditions attached to this report

It has been a pleasure to assist you. If I may be of further service to you in the future, please let me know.

respectfully submitted

Signature



Kyle Sayers MAJ SRA
Wyom Real Estate & Appraisals LLC

Borrower N/A

Property Address 751 Jimmy Tate Williams Rd		Duplin		State	Zip Code
City	Bedwinville	County	Duplin	NC	28518-0757
ender/Client	Address 124 Jimmy Street, Kearsaville NC 23140				

FEATURE	SUBJECT	COMPARABLE SALE #	4	COMPARABLE SALE #	5	COMPARABLE SALE #	6
Address	51 Jimmy Lee Williams Rd Burlington NC 28518-0757						
Proximity to Subject	\$						
Sale Price	\$	130	sq ft	\$	sq ft	\$	sq ft
Sale Price/Gross S.V Area	\$	59.27	sq ft	\$	sq ft	\$	sq ft
Data Sources:							
Verification Source(s)							
ADJUSTMENTS							
Sale of Financing	DESCRIPTION	DESCRIPTON	+/- \$ Adjustment	+	- \$ Adjustment	+	- \$ Adjustment
Concessions	Amt./lb						
Date of Sale/Term	66616 Unit						
Location	N Res						
Leasehold/Fee Simple	Fee Simple						
Site	21720 sf						
New	N Res						
Design (Style)	DITD Ranch						
Quality of Construction	C4						
Actual Age	36						
Condition	4						
Above Grade							
Form Count	10						
Gross Living Area	103	sq ft					
Basement & Fin Procs	1st						
Rooms Below Grade							
Functional Utility	Average						
Heating/Cooling	F-WACAC						
Energy Efficient Items	None						
Garage/Carport	3622m						
Porch/Patio/Dek.	Porch						
Fence &	None						
Frame Work	None						
Net Adjustment (+/-)							
Adjusted Sale Price of Comparables							

SALES COMPARISON ANALYSIS

Report the results of the research and analysis of the prior sale of the subject property and comparable sales

ITEM	SUBJECT	COMPARABLE SALE # 4	COMPARABLE SALE # 5	COMPARABLE SALE # 6
Date of Prior Sale/Transfer				
Price of Prior Sale/Transfer	\$0			
Reliability of Data Source(s)	Rel. of Deeds			
Effective Date of Data Source(s)	01/25/2021			

Analysis of prior sale or transfer history of the subject property and comparable units. See page 2 for prior sale or transfer history.

Analysis of prior sale or transfer history of the subject property and comparable sales See page 276, prior sale or transfer history.

Summary of Sales Comparison Approach See Comments-Summary of Sales Comparison Approach

UAD / version 9/2011 Produced by ClickFORMS Software 800-622-8727

This report form is designed to report an appraisal of a one-unit property or a one unit property with an accessory unit including a unit in a planned unit development (PUD). This report form is not designed to report an appraisal of a manufactured home or a unit in a condominium or cooperative project.

This appraisal report is subject to the following scope of work, intended use, intended user, definition of market value, statement of assumptions and limiting conditions are not permitted. The appraiser may use intended user, definition of market value or assumptions and limiting conditions are not permitted. The appraiser may disregard the scope of work to include any additional research or analysis necessary based on the complexity of this appraisal assignment. Modifications or deletions to the certifications are also not permitted. However, additional certifications that do not constitute material alterations to this appraisal report, such as those required by law or those related to the appraiser's continuing education or membership in an appraisal organization, are permitted.

SCOPE OF WORK

SCOPE OF WORK

The scope of work for this appraisal is defined by the complexity of this appraisal assignment and the reporting requirements of this appraisal report form including the following definition of market value: statement of assumptions and limiting conditions and certifications. The appraiser must, at a minimum (1) perform a complete visual inspection of the interior and exterior areas of the subject property, (2) inspect the neighborhood, (3) inspect each of the comparable sales from at least the street, (4) research, verify, and analyze data from reliable public and/or private sources, and (5) report his/her analysis, opinions, and conclusions in this appraisal report.

INTENDED USE

INTENDED USE The intended use of this appraisal report is for the lender/client to evaluate the property that is the subject of this appraisal for a mortgage finance transaction.

INTENDED USER

INTENDED USER The intended user of this appraisal report is the lender/client

DEFINITION OF

DEFINITION OF MARKET VALUE. The most probable price which a property should bring in a competitive and open market under all conditions requisite to a fair sale, the buyer and seller, each acting prudently, knowledgeably and assuming the property is for cash, and not in liquidation. Implicit in this definition is the consummation of a sale as of a specified date and the passing of title from seller to buyer under conditions whereby (1) buyer and seller are typically motivated (2) both parties are well informed or well advised, and each acting in what he or she considers his or her own best interest, (3) a reasonable time is allowed for exposure in the open market, (4) payment is in terms of cash in U.S. dollars or in terms of readily convertible financial arrangements comparable thereto, and (5) the price represents the "normal consideration for the property sold unaffected by special or creative financing or sales concessions" granted by anyone associated with the sale.

Adjustments to the comparables must be made for special or creative financing or sales concessions. No adjustments are made for special or creative financing or sales concessions. These costs are added to the comparable property by sellers as a result of tradition or law in a market area. These costs are readily identifiable and the seller pays these costs in virtually all sales transactions. Special or creative financing adjustments can be made to the comparable property by comparisons to financing terms offered by a third party institutional lender that is not already involved in the property or transaction. Any adjustment should not be calculated on a mechanical basis for dollar cost of the financing or concession but the dollar amount of any adjustment should approximate the market's reaction to the financing or concessions based on the appraiser's judgment.

STATEMENT OF ASSUMPTIONS AND LIMITING CONDITIONS

STATEMENT OF ASSUMPTIONS AND LIMITING CONDITIONS

subject to the following assumptions and limiting conditions

The appraiser's certification in this report is

- The appraiser will not be responsible for matters of a legal nature "not affect" either the property being appraised or the title to it, except for information that he or she became aware of during the research involved in this appraisal. The appraiser assumes that the title is good and marketable and will not render any opinions about the title.
- The appraiser has provided a sketch in this appraisal report to show the approximate dimensions of the improvements and the sketch is included only to assist the reader in visualizing the property and understanding the appraiser's determination of its size.
- The appraiser has examined the available flood maps that are provided by the Federal Emergency Management Agency or other data sources, and has noted in this appraisal report whether any portion of the subject site is located in an identified Special Flood Hazard Area. Because the appraiser is not a surveyor, he or she makes no guarantees, express or implied, regarding this determination.
- The appraiser will not give testimony or appear in court because he or she made an appraisal of the property in question unless specific arrangements to do so have been made beforehand, or as otherwise required by law.

The appraiser has noted in this appraisal report any adverse conditions such as needed repairs, deterioration, the presence of hazardous wastes, toxic substances, etc. observed during the inspection at the subject property or that it or they became aware of during the research involved in performing this appraisal. Unless otherwise stated in this appraisal report the appraiser has no knowledge of any hidden or unapparent physical deficiencies or adverse conditions of the property (such as but not limited to needed repairs, deterioration, the presence of hazardous wastes, toxic substances, adverse environmental conditions, etc.) that would make the property less valuable and has assumed that there are no such conditions and makes no guarantees or warranties, express or implied. The appraiser will not be responsible for any such conditions that do exist or for any engineering or testing that might be required to discover whether such conditions exist. Because the appraisal is not an expert in the field of environmental hazards, this appraisal report must not be considered as an environmental assessment of the property.

The appraiser has based his or her appraisal report and valuation conclusion for an appraisal that is subject to satisfactory completion repairs or alterations on the assumption that the completion repairs or alterations of the subject property will be performed in a professional manner.

Uniform Residential Appraisal Report

APPRAISER'S CERTIFICATION

The Appraiser certifies and agrees that

1. I have, at a minimum, developed and reported this appraisal in accordance with the scope of work requirements stated in this appraisal report.
2. I performed a complete visual inspection of the interior and exterior areas of the subject property. I reported the condition of the improvements in factual, specific terms. I identified and reported the physical deficiencies that could affect the livability, soundness, or structural integrity of the property.
3. I performed this appraisal in accordance with the requirements of the Uniform Standards of Professional Appraisal Practice that were adopted and promulgated by the Appraisal Standards Board of The Appraisal Foundation and that were in place at the time this appraisal report was prepared.
4. I developed my opinion of the market value of the real property that is the subject of this report based on the sales comparison approach to value. I have adequate comparable market data to develop a reliable sales comparison approach for this appraisal assignment. I further certify that I considered the cost and income approaches to value but did not develop them, unless otherwise indicated in this report.
5. I researched, verified, analyzed, and reported on any current agreement for sale for the subject property, any offering for sale of the subject property in the twelve months prior to the effective date of this appraisal, and the prior sales of the subject property for a minimum of three years prior to the effective date of this appraisal, unless otherwise indicated in this report.
6. I researched, verified, analyzed, and reported on the prior sales of the comparable sales for a minimum of one year prior to the date of sale of the comparable sale, unless otherwise indicated in this report.
7. I selected and used comparable sales that are locationally, physically, and functionally the most similar to the subject property.
8. I have not used comparable sales that were the result of combining a land sale with the contract purchase price of a home that has been built or will be built on the land.
9. I have reported adjustments to the comparable sales that reflect the market's reaction to the differences between the subject property and the comparable sales.
10. I verified, from a disinterested source, all information in this report that was provided by parties who have a financial interest in the sale or financing of the subject property.
11. I have knowledge and experience in appraising this type of property in this market area.
12. I am aware of, and have access to, the necessary and appropriate public and private data sources, such as multiple listing services, tax assessment records, public land records, and other such data sources for the area in which the property is located.
13. I obtained the information, estimates, and opinions furnished by other parties and expressed in this appraisal report from reliable sources that I believe to be true and correct.
14. I have taken into consideration the factors that have an impact on value with respect to the subject neighborhood, subject property, and the proximity of the subject property to adverse influences in the development of my opinion of market value. I have noted in this appraisal report any adverse conditions (such as, but not limited to, needed repairs, deterioration, the presence of hazardous wastes, toxic substances, adverse environmental conditions, etc.) observed during the inspection of the subject property or that I became aware of during the research involved in performing this appraisal. I have considered these adverse conditions in my analysis of the property value and have reported on the effect of the conditions on the value and marketability of the subject property.
15. I have not knowingly withheld any significant information from this appraisal report and, to the best of my knowledge, all statements and information in this appraisal report are true and correct.
16. I stated in this appraisal report my own personal unbiased and professional analysis, opinions, and conclusions, which are subject only to the assumptions and limiting conditions in this appraisal report.
17. I have no present or prospective interest in the property that is the subject of this report, and I have no present or prospective personal interest or bias with respect to the participants in the transaction. I did not base, either partially or completely, my analysis and/or opinion of market value in this appraisal report on the race, color, religion, sex, age, marital status, handicap, familial status, or national origin of either the prospective owners or occupants of the subject property or of the present owners or occupants of the properties in the vicinity of the subject property or on any other basis prohibited by law.
18. My employment and/or compensation for performing this appraisal or any future or anticipated appraisals was not conditioned on any agreement or understanding, written or otherwise, that I would report (or present analysis supporting) a predetermined specific value, a predetermined minimum value, a range or direction in value, a value that favors the cause of any party, or the attainment of a specific result or occurrence of a specific subsequent event (such as approval of a pending mortgage loan application).
19. I personally prepared all conclusions and opinions about the real estate that were set forth in this appraisal report (I relied on significant real property appraisal assistance from any individual or individuals in the performance of this appraisal or the preparation of this appraisal report. I have named such individuals) and disclosed the specific tasks performed in this appraisal report. I certify that any individual so named is qualified to perform the tasks. I have not authorized anyone to make a change to any item in this appraisal report; therefore, any change made to this appraisal is unauthorized and I will take no responsibility for it.
20. I identified the lender/client in this appraisal report who is the individual, organization, or agent for the organization that ordered and will receive this appraisal report.

Uniform Residential Appraisal Report

21. The lender/client may disclose or distribute this appraisal report to the borrower, another lender at the request of the borrower, the mortgagee or its successors and assigns, mortgage insurers, government sponsored enterprises, other secondary market participants, data collection or reporting services, professional appraisal organizations, any department, agency or instrumentality of the United States, and any state, the District of Columbia, or other jurisdictions, without having to obtain the appraiser's or supervisory appraiser's (if applicable) consent. Such consent must be obtained before this appraisal report may be disclosed or distributed to any other party (including, but not limited to, the public through advertising, public relations, news, sales, or other media).
22. I am aware that any disclosure or distribution of this appraisal report by me or the lender/client may be subject to certain laws and regulations. Further, I am also subject to the provisions of the Uniform Standards of Professional Appraisal Practice that pertain to disclosure or distribution by me.
23. The borrower, another lender at the request of the borrower, the mortgagee or its successors and assigns, mortgage insurers, government sponsored enterprises, and other secondary market participants may rely on this appraisal report as part of any mortgage finance transaction that involves any one or more of these parties.
24. If this appraisal report was transmitted as an "electronic record" containing my "electronic signature," as those terms are defined in applicable federal and/or state laws (excluding audio and video recordings) or a facsimile transmission of this appraisal report containing a copy or representation of my signature, the appraisal report shall be as effective, enforceable, and valid as if a paper version of this appraisal report were delivered containing my original hand-written signature.
25. Any intentional or negligent misrepresentation(s) contained in this appraisal report may result in civil liability and/or criminal penalties including, but not limited to, fine or imprisonment or both under the provisions of Title 18, United States Code, Section 1001 et seq., or similar state laws.

SUPERVISORY APPRAISER'S CERTIFICATION

The Supervisory Appraiser certifies and agrees that

1. I directly supervised the appraiser for this appraisal assignment, have read the appraisal report, and agree with the appraiser's analysis, opinions, statements, conclusions, and the appraiser's certification.
2. I accept full responsibility for the contents of this appraisal report including, but not limited to, the appraiser's analysis, opinions, statements, conclusions, and the appraiser's certification.
3. The appraiser identified in this appraisal report is either a sub-contractor or an employee of the supervisory appraiser (or the appraisal firm); is qualified to perform this appraisal, and is acceptable to perform this appraisal under the applicable state law.
4. This appraisal report complies with the Uniform Standards of Professional Appraisal Practice that were adopted and promulgated by the Appraisal Standards Board of The Appraisal Foundation and that were in place at the time this appraisal report was prepared.
5. If this appraisal report was transmitted as an "electronic record" containing my "electronic signature," as those terms are defined in applicable federal and/or state laws (excluding audio and video recordings) or a facsimile transmission of this appraisal report containing a copy or representation of my signature, the appraisal report shall be as effective, enforceable, and valid as if a paper version of this appraisal report were delivered containing my original hand-written signature.

APPRAISER



Signature: *Phyllis Taylor*
Name: *Phyllis Taylor*
Company Name: *Saylor's Real Estate & Appraisals, LLC*
Company Address: *PO Box 11180
Charlotte, NC 27212*
Telephone Number: *919.947.0619*
Email Address: *kyatons@reac.com*
Date of Signature and Report: *31.12.2021*
Effective Date of Appraisal: *10/07/2016*
State Certification #: *A6884*
or State License #: _____
or Other (describe): _____
State: *NC* State #: _____
Expiration Date of Certification or License: *26/02/2021*

SUPERVISORY APPRAISER (ONLY IF REQUIRED)

Signature: _____
Name: _____
Company Name: _____
Company Address: _____
Telephone Number: _____
Email Address: _____
Date of Signature: _____
State Certification #: _____
or State License #: _____
State: _____
Expiration Date of Certification or License: _____

SUBJECT PROPERTY

- ☐ Do not inspect subject property
- ☐ Did inspect exterior of subject property from street
- ☐ Date of inspection: _____
- ☐ Did inspect interior and exterior of subject property
- ☐ Date of inspection: _____

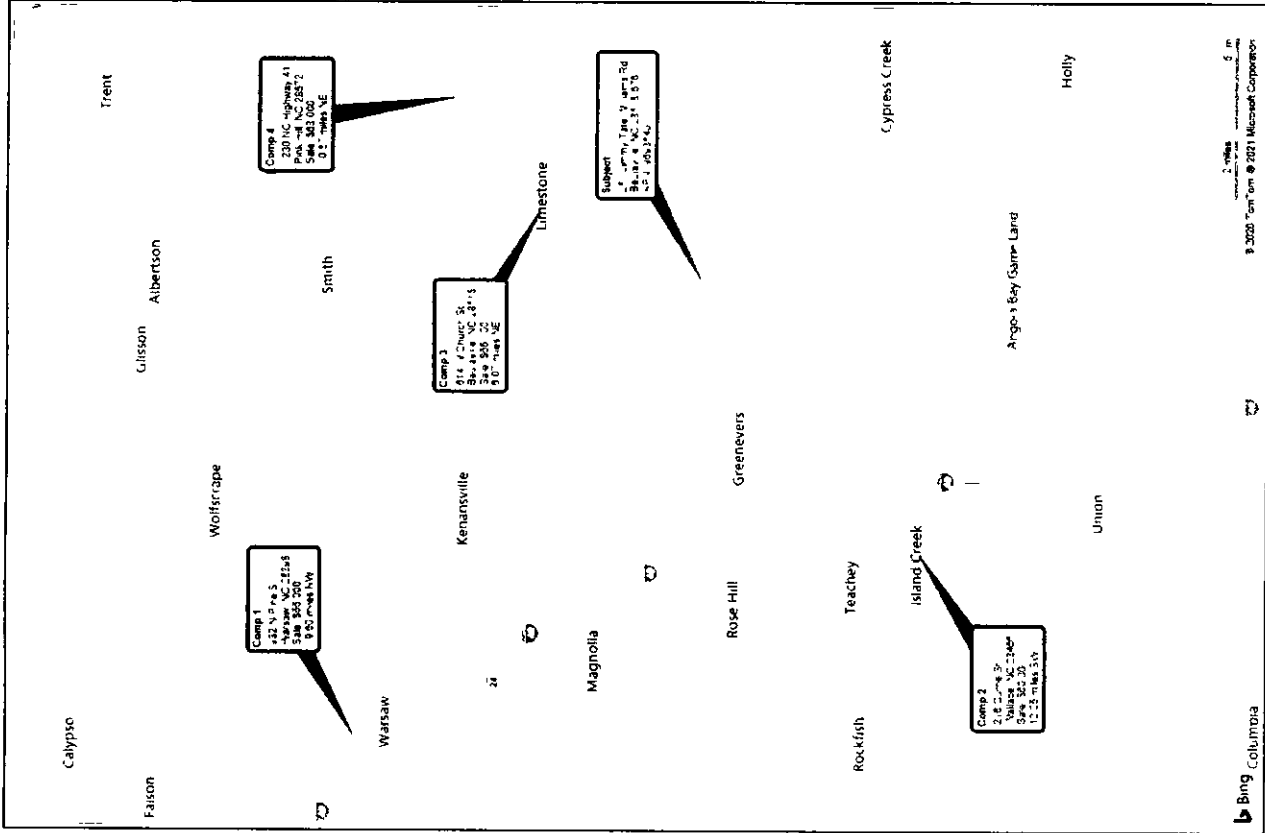
COMPARABLE SALES

- ☐ Did not inspect exterior of comparable sales from street
- ☐ Did inspect exterior of comparable sales from street
- ☐ Date of inspection: _____

LOCATION MAP ADDENDUM

File No 251 Jimmy Tate Williams Rd
Case No

Borrower N/A
Property Address 251 Jimmy Tate Williams Rd
City Beaufort
County Dare
State NC
Zip Code 28520
Lender/Client County of Dare



SUBJECT PHOTO

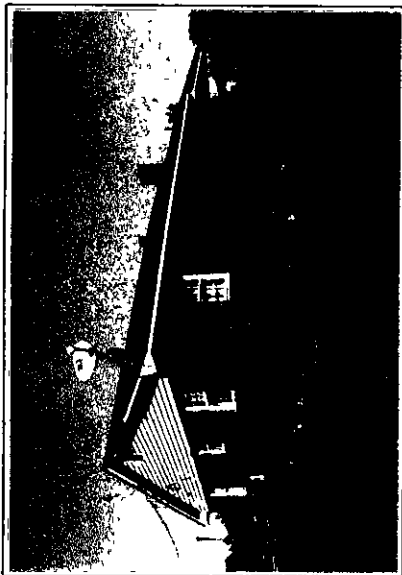
DUM

File No 251 Jimmy Tate Williams Rd
Case No

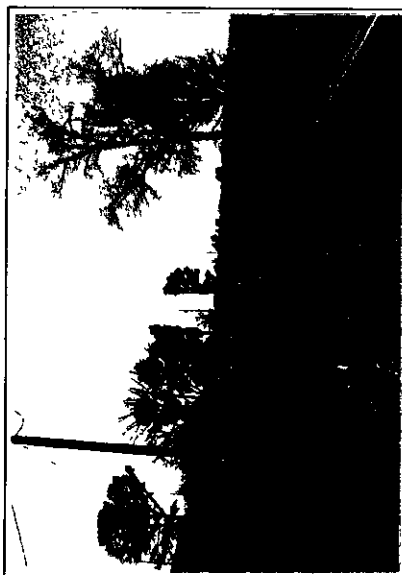
Borrower N/A
Property Address 251 Jimmy Tate Williams Rd
City Beaufort
County Dare
State NC
Zip Code 28520
Lender/Client County of Dare



FRONT OF
SUBJECT PROPERTY
251 Jimmy Tate Williams Rd
Beaufort NC 28520



REAR OF
SUBJECT PROPERTY



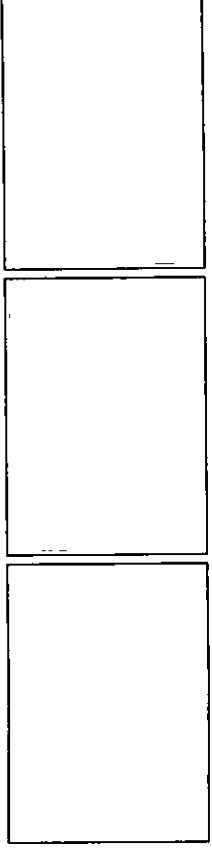
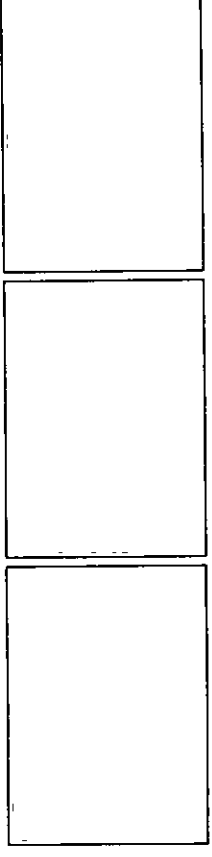
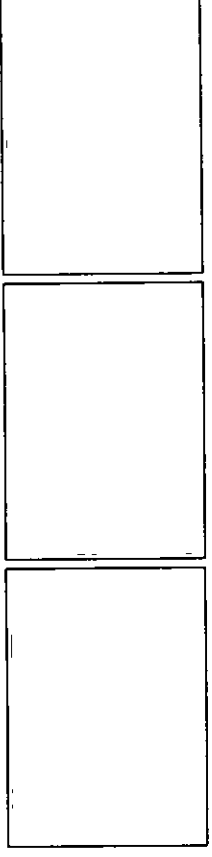
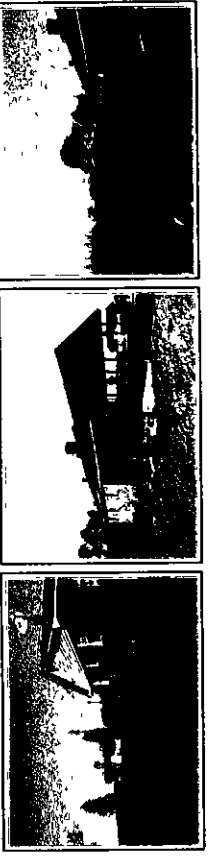
STREET SCENE

000071

Borrower: N/A
Property Address: 251 Jimmy Tate Williams Rd
City: Beaverville
County: Duplin
State: NC
Zip Code: 28518-8767
Lender/Client: County of Duplin
Address: 224 Samray Street, Kenansville, NC 28640



Borrower: N/A
Property Address: 251 Jimmy Tate Williams Rd
City: Beaverville
County: Duplin
State: NC
Zip Code: 28518-8767
Lender/Client: County of Duplin
Address: 224 Samray Street, Kenansville, NC 28640



Borrower	N/A
Property Address	251 Jimmy Tate Williams Rd
City	Boulevard
Lender/Client	County of Duplin
Address	224 Seminary Street, Kinstonville, NC 28541
State	NC
Duplin	Zip Code 28541



COMPARABLE SALE # 1
402 4 Pine J
Marlow NC 28388



COMPARABLE SALE # 2
215 Laurel St
Wellesley MA 02481

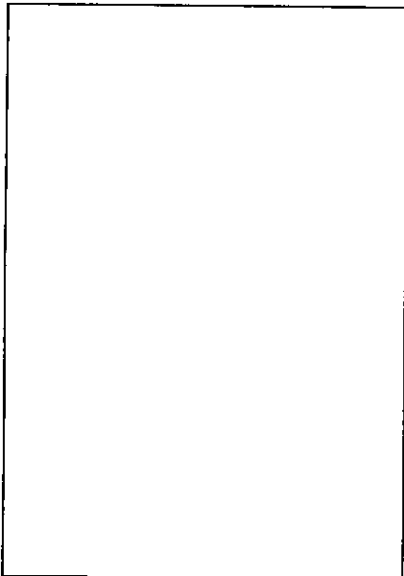


COMPARABLE SALE # 3
114 W. DuPont St
Boulevard NC 28541

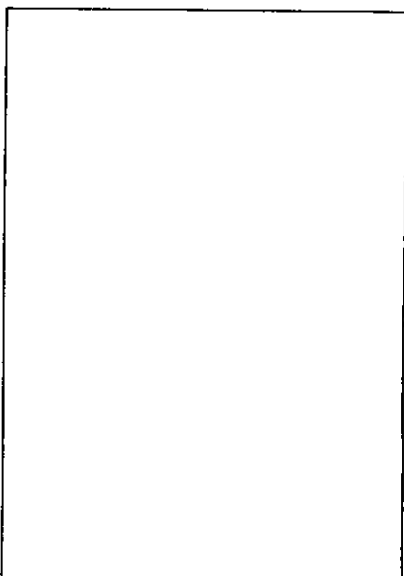
Borrower	N/A
Property Address	251 Jimmy Tate Williams Rd
City	Boulevard
Lender/Client	County of Duplin
Address	224 Seminary Street, Kinstonville, NC 28541
State	NC
Duplin	Zip Code 28541



COMPARABLE SALE # 4
1250 NC Highway 4
Paw Hill NC 28572



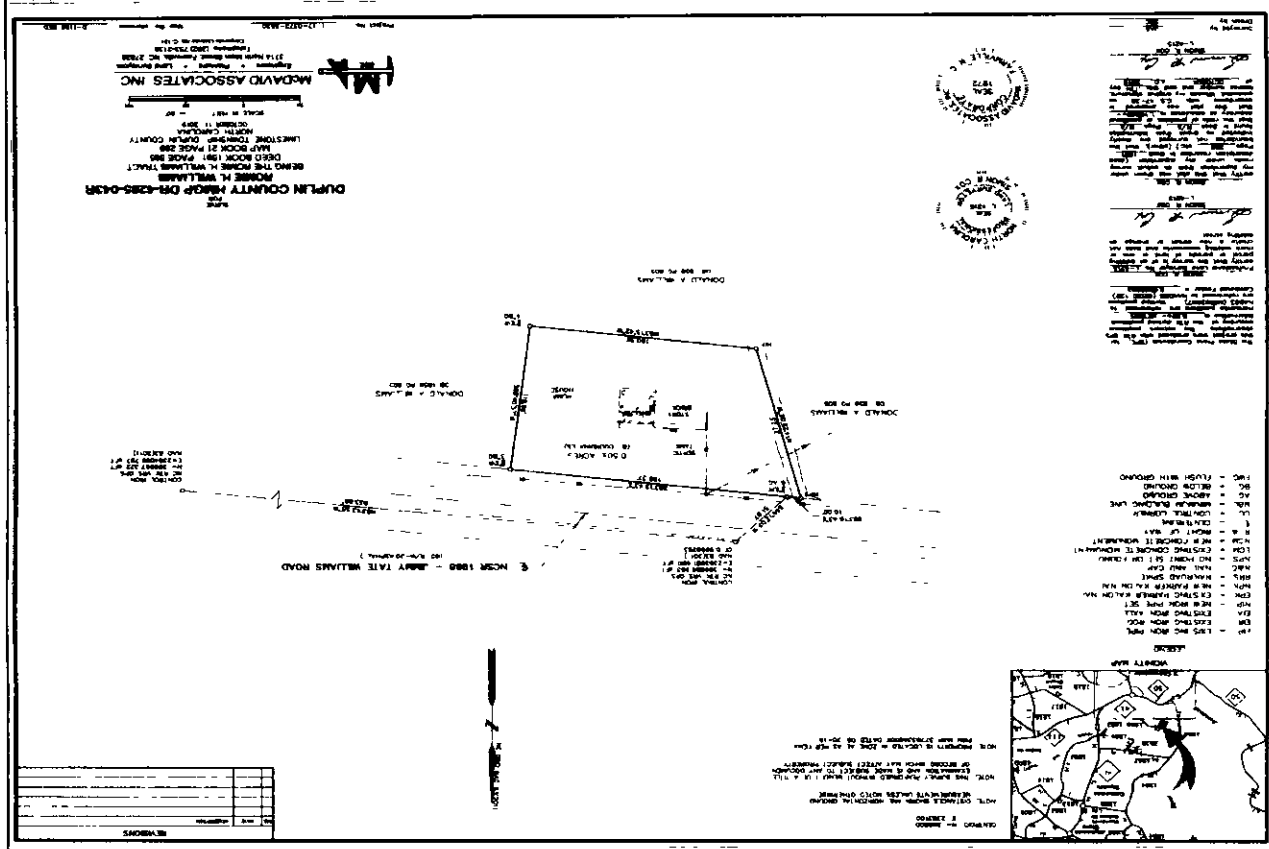
COMPARABLE SALE # 5



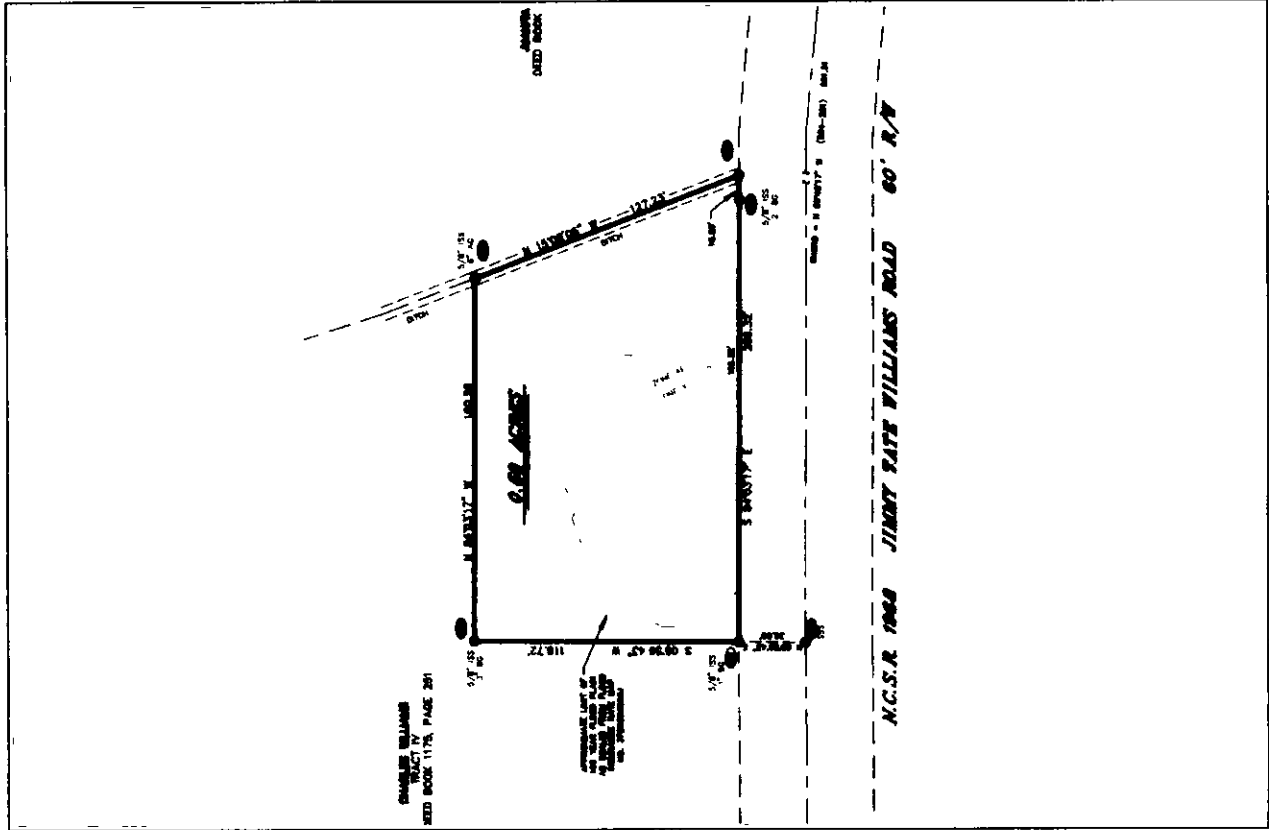
COMPARABLE SALE # 6

000073

Borrower N/A
Property Address 251 Jimmy Tate Williams Rd
City Bayview
Lender/Client County of Duplin
County
Duplin
State NC
Zip Code 24519-6767
Address 224 Samway Street, Kenansville NC 28649



Borrower N/A
Property Address 251 Jimmy Tate Williams Rd
City Bayview
Lender/Client County of Duplin
County
Duplin
State NC
Zip Code 28649-6767
Address 224 Samway Street, Kenansville NC 28649



Borrower N/A
Property Address 251 Jimmy Tate Williams Rd
City Beaufort
Lender/Client County of Duplin
Address 224 Seminary Street Beaufort NC 28516
State NC
Zip Code 28516-2517



This certifies that there are no delinquent ad valorem taxes, when the Duplin County Tax Collector is charged with collecting, that are a lien on: 07-2465
Duplin County Assessor's Office. This is not a certification that the PIN# matches the deed description.

4 4 1
Date

State of North Carolina
County of Duplin
Date of Issue 07-24-14 14:23:00
08-27-2020 REC 110

Prepared by: Anita R. Powers, Attorney at Law, PO Box 950, Wallace, NC 28446

No Title Search Performed

STATE OF NORTH CAROLINA

COUNTY OF DUPLIN

WARRANTY DEED

PROPERTY ID# 07-1465

STAMPS -0-

THIS WARRANTY DEED, made and entered into this 16 day of January 2007, by and between Charles C. Williams and wife, Betty D. Williams, Terry A. Williams and wife, Christine J. Williams, all of Duplin County, North Carolina, parties of the first part and hereinafter referred to as Grantors, and Romie H. Williams, of 250 Jimmy Tate Road Beaufortville North Carolina 28518, party of the second part and hereinafter referred to as Grantee;

WITNESSETH

That said Grantors, for and in consideration of the sum of TEN (\$10.00) DOLLARS to them in hand paid, the receipt of which is hereby acknowledged have and by these presents do grant, bargain, sell and convey unto the Grantee and his heirs and assigns, in fee simple, the following tract of property, lying and being in Limestone Township, Duplin County, North Carolina and more particularly described as follows

Commencing at the intersection of N.C.S.R. 1964 (Dunwood Evans Road) with N.C.S.R. 1968 (Jimmy Tate Williams Road), thence along N.C.S.R. 1968 in an easterly direction 0.4 miles to an existing railroad spike in the centerline of N.C.S.R. 1968 over a culvert, thence along a chord of said centerline, south 74 degrees 08 minutes 41 seconds east 360 11 feet to an existing railroad spike, thence along a chord of the said centerline, south 80 degrees 40 minutes 17 seconds east 691 51 feet to a survey spike set, thence leaving said centerline south 05 degrees 36 minutes 43 seconds west 30 00 feet to a 5/8 inch iron stake set one inch below ground on the southern right-of-way line of N.C.S.R. 1968 said iron stake being THE TRUE POINT OF BEGINNING; thence from the above described point of beginning and leaving said right of way line, a new line,

Borrower N/A
Property Address 251 Jimmy Tate Williams Rd
City Beaufort
Lender/Client County of Duplin
Address 224 Seminary Street Beaufort NC 28516
State NC
Zip Code 28516-2517



south 05 degrees 36 minutes 43 seconds west 118 72 feet to a 5/8 inch iron stake set three inches below ground, thence a new line, north 84 degrees 03 minutes 17 seconds west 160 56 feet to a 5/8 inch iron stake set six inches above ground in a ditch, thence along said ditch and with the eastern line of the Juanita Williams property recorded in Deed Book 1310 Page 641, north 15 degrees 08 minutes 05 seconds west 127 23 feet to a point on the southern right-of-way line of N.C.S.R. 1968, thence along said right-of-way line, south 84 degrees 03 minutes 17 seconds east 206 12 feet (passing a 5/8 inch iron stake set two inches below ground at 10 00 feet) to the point and place of beginning.

Containing 0.50 acres and being all of that property recorded as Tract II in Deed Book 1175 Page 251, a portion of that property recorded as Tract IV in Deed Book 1175 Page 251, and being all of that lot as shown on a map entitled "Boundary Survey for Charles C. Williams" recorded in Map Book A.L., Page 299 of the Duplin County Registry

The above description was prepared by Johnny J. Williams Land Surveying, P.C. from a survey completed on December 18, 2006. All courses are correct in their angular relationship to north per Map Book 3 Page 30 of the Duplin County Clerk of Court Office

If at any time the Grantee herein or his heirs, successors, administrators, executors or assigns shall desire to sell the lands herein described, he or they shall offer the Grantors the option to repurchase said property at a price determined by the then existing tax value for said property. The Grantors agree to exercise said option or to reject same in writing within thirty (30) days of said offer. This covenant shall be binding on the parties for as long as Grantors shall live and for twenty (20) years from the date of the last living Grantor unless rescinded sooner

This conveyance is made subject to conditions, restrictions and easements of record applicable to and legally binding upon the land hereby conveyed

TO HAVE AND TO HOLD said right, title and interest in the aforesaid lot or parcel of land and all privileges and appurtenances thereto belonging to the Grantee, in fee simple

And the Grantors covenant with the Grantee that Grantors are seized of the premises in fee simple, that title is marketable and free and clear of all encumbrances, and that Grantor will warrant and defend the title against the lawful claims of all persons whomsoever

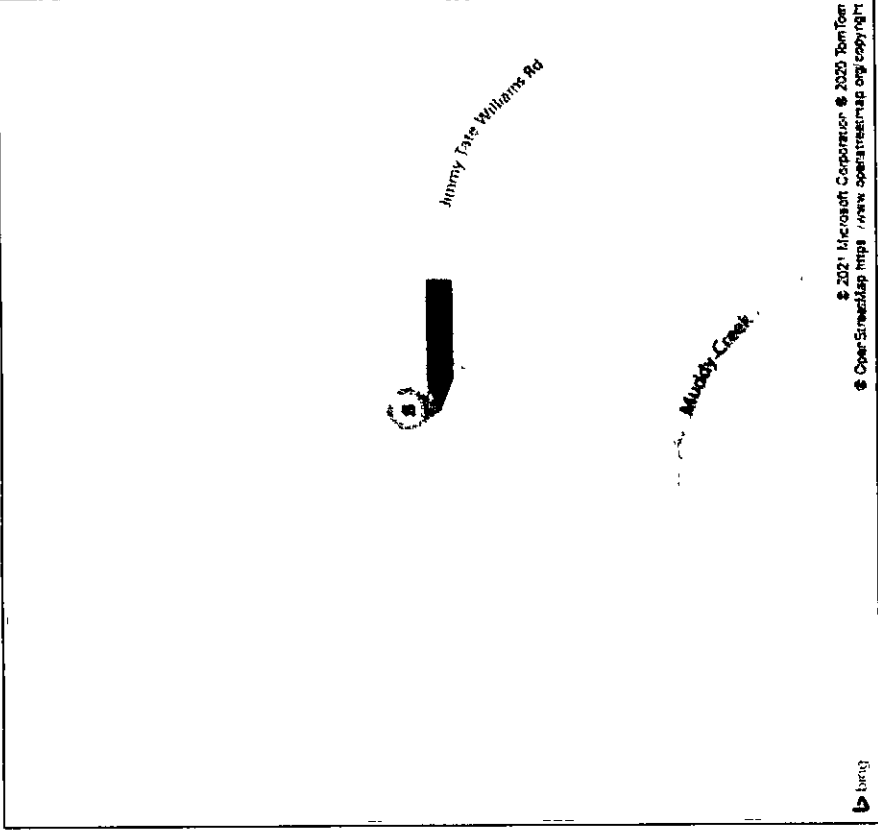
IN WITNESS WHEREOF, said Grantors, have hereunto set their hands and seals, the day and year first above written

Charles C. Williams (SEAL)
Charles C. Williams

Buyer: N/A
Property Address: 251 Jimmy Tate Williams Rd
City: Beaverville State: NC Zip Code: 28518-6797
Lender/Client: County of Duplin Address: 224 Semmes Street Kenansville, NC 28649

STATE OF NORTH CAROLINA
COUNTY OF DUPLIN
I, Kay B. Harland, a Notary Public, in and for the said County and State do hereby certify that
that Charles C. Williams and Betty D. Williams, personally appeared before me this day and
acknowledged the due execution of the foregoing instrument for the purposes expressed therein.
Witness my hand and notarial seal, this the 16 day of January, 2007.
My Commission Expires 1-27-09
STATE OF NORTH CAROLINA
COUNTY OF DUPLIN
I, Kay B. Harland, a Notary Public, in and for the said County and State do hereby certify that
that Terry A. Williams and Christine J. Williams, personally appeared before me this day and
acknowledged the due execution of the foregoing instrument for the purposes expressed therein.
Witness my hand and notarial seal, this the 16 day of January, 2007.
My Commission Expires 1-27-09

Property Address: 251 Jimmy Tate Williams Rd
City: Beaverville State: NC Zip Code: 28518-6797
Lender/Client: County of Duplin Address: 224 Semmes Street Kenansville, NC 28649



Flood Map Legend
Flood Zones
Areas inundated by 100-year flooding
Areas inundated by 500-year flooding
Areas of undetermined but possible flood hazards
Floodway areas with velocity hazard
Floodway areas
COBRA zone

Flood Zone Determination
In Special Flood Hazard Area Flood Zone
Within 250 ft. of multiple flood zones? 37083 In When 250 feet
Community
Map Number
Zone AE Panel 17081 Census Tract 06500.018
FIPS Code 17081 Panel Date 06/20/2018
This Report is for the sole benefit of the Customer that ordered and paid for the Report and is based on the property information provided by that Customer. That Customer's use of this Report is subject to the terms agreed to by that Customer when purchasing this product. THE SELLER OF THIS REPORT MAKES NO REPRESENTATIONS OR WARRANTIES TO ANY PARTY CONCERNING THE CONTENT, ACCURACY, OR COMPLETENESS OF THIS REPORT INCLUDING ANY WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE. The seller of this Report shall not have any liability to any third party for any use or misuse of this Report.

000076

Quality Ratings and Definitions

21. Dwellings with this quality rating are usually, unique structures that are individually designed by an architect for a specified user. Such residences typically are constructed from related architectural plans and specifications and feature an exceptionally high level of workmanship and exceptionally high grade materials throughout the interior and exterior of the structure. The design features exceptionally high quality exterior refinements and ornamentation and exceptionally high-quality minor elements. The workmanship materials and finishes throughout the dwelling are exceptionally high quality.

From detailed architectural plans and specifications and feature an exceptionally high level of workmanship and exceptionally high grade materials throughout the interior and exterior of the structure. The design features exceptionally "high quality" exterior refinements and exceptionally high-quality interior refinements. The workmanship materials and finishes throughout the dwelling are exceptionally high quality.

- Dwelling with this quality rating are often used in design for construction on an individual property owner's site. However, dwellings in this quality grade are also found in high-quality tract developments featuring residences constructed from individual plans or from highly modified or upgraded plans. The design features detailed high-quality exterior ornamentation, high quality interior refinements and detail. The workmanship materials and finishes throughout the dwelling are generally of high or very high quality workmanship materials and finishes throughout the dwelling are exceptionally high quality.**
- Dwellings with this quality rating are residences of higher quality built from individual or readily available designer plans in above standard residential tract developments on an individual property owner's site. The design includes significant exterior ornamentation and interiors that are well finished. The workmanship exceeds acceptable standards and many materials and finishes throughout the dwelling have been upgraded from stock standards.**
- Dwellings with this quality rating meet or exceed the requirements of applicable building codes. Standard or modified standard build up plans are utilized and the design includes adequate ventilation and some exterior ornamentation and minor refinements. Materials, workmanship, finish and equipment are of stock or better grade and may feature some upgrades.**
- Dwellings with this quality rating feature economy of construction and basic functionality as main considerations. Such dwellings feature a plain design using readily available build up plans, floor plans featuring minimal ventilation and basic finishes with minimal exterior ornamentation and limited minor detail. These dwellings meet minimum building codes for their area and are constructed with inexpensive stock materials with limited refinements and upgrades.**
- Dwellings with this quality rating are of mass quality and lower cost. Some may not be suitable for year round occupancy. Such dwellings are often built with simple plans or build up plans utilizing the lowest quality building materials. Such dwellings are often built or expanded by persons who are professionally unskilled or possess only minimal construction skills. Electrical plumbing and other mechanical systems and equipment may be minimal or non-existent. Older dwellings may feature one or more substantial non-conforming additions in the original structure.**
- Requirements - Definitions of Not Updated, Updated and Remodeled**
- Not Updated**
- Little or no updating or modernization. This description includes but is not limited to new homes.**
- Residential properties of fifteen years of age less often reflect an optimal condition with no updating. If no major components have been replaced, updated. Those over fifteen years of age are also considered "not updated." If the appliances, fixtures, and finishes are predominantly dated. An area that is "Not Updated" may still be well maintained and fully functional and the rating does not necessarily imply deferred maintenance or physical functional deterioration.
- Updated**
- The area of the home has been modified to meet current market expectations. These modifications are limited in terms of both scope and cost.
- An updated area of the home should have an improved look and feel or functionality. Changes that constitute updates include reupholstering and/or replacing components, recent existing market alterations. Updates do not include significant alterations to the existing structure.
- Remodeled**
- Significant finish and/or structural changes have been made that increase utility and appeal through complete replacement and/or expansion.
- A remodeled area reflects fundamental changes that include multiple alterations. These alterations may include some or all of the following: replacement of wall and trim components (walls, ceilings, bathroom tile), replacement of plumbing fixtures/appliances, significant structural alterations, relocating walls, and/or the addition of square footage. This would include a complete gutting and rebuild.
- Explanation of Bathroom Count**
- Three-quarter baths are counted as 3/4 bath in all cases. Quarter baths (bathtubs that feature only a toilet) are not included in the bath count. The number of full and half baths required by separating the two values using a period where the full bath count is represented to the left of the period and the half bath count is represented to the right of the period.

Explanation of Bathroom Count

Three-quarter baths are counted as 1 full bath in all cases. Quarter baths (baths that feature only a toilet) are not included in the bath count. The number of full and half baths is reported by separating the two values using a period, where the full bath count is represented to the left of the period and the half bath count is represented to the right of the period.

Example $1\bar{2}$ indicates three full baths and two half baths

Abbreviation	Full Name	May Appear in These Fields
A	Adverse	Location & View
ac	Acres	Area, Site
AdjPiv	Adjacent to Park	Location
AdjPwr	Adjacent to Power Lines	Location
ArmsLn	Arms Length Sale	Sales or Financing Concessions
AT	Attached Structure	Design (Style)
B	Beneficial	Location & View
ba	Bathroom	Basement & Finished Rooms Below Grade
br	Bedroom	Basement & Finished Rooms Below Grade
BsRd	Busy Road	Location
C	Contracted Date	Date of Sale/Time
Cash	Cash	Sale or Financing Concessions
Comm	Commercial Influence	Location
Conv	Conventional	Sale or Financing Concessions
CP	Carport	Garage/Carport
CtOrd	Count Ordered Sale	Sale or Financing Concessions
ChShw	City View Skyline View	View
CvStr	City Street View	View
Cv	Covered	Garage/Carport
DOM	Days On Market	Data Sources
DT	Detached Structure	Design (Style)
dw	Driveway	Garage/Carport
g	Expiration Date	Date of Sale/Time
Estate	Estate Sale	Sale or Financing Concessions
FHA	Federal Housing Administration	Sale or Financing Concessions
g	Garage	Garage/Carport
ga	Attached Garage	Garage/Carport
gb	Built-in Garages	Garage/Carport
gd	Detached Garage	Garage/Carport
GlfCse	Golf Course	Location
GlfVw	Golf Course View	View
GR	Garden	Design (Style)
HR	High Rise	Design (Style)
I	Interior Only Stairs	Basement & Finished Rooms Below Grade
Ind	Industrial	Location & View
Lnsg	Leasing	Sales or Financing Concessions
Lndg	Landing	Location
LndSgt	Limited Sight	View
MR	Mid Rise	Design (Style)
Mtn	Mountain View	View
N	Neutral	Location & View
NonArm	Non-Arms Length Sale	Sale or Financing Concessions
O	Other	Basement & Finished Rooms Below Grade
Op	Open	Design (Style)
OP	Open	Garage/Carport
Pstl	Pastoral View	View
PubTrn	Public Transportation	Location
PwrLn	Power Lines	View
Reloc	Relocation Sale	Sale or Financing Concessions
REO	REO Sale	Sale or Financing Concessions
Res	Residential	Location & View
RH	USDA Rural Housing	Sale or Financing Concessions
rr	Recreational (Rec) Room	Basement & Finished Rooms Below Grade
Row	Row or Townhouse	Design (Style)
S	Settlement Date	Date of Sale/Time
SD	Semi-detached Structure	Design (Style)
Short	Short Sale	Sale or Financing Concessions
sf	Square Feet	Area, Site, Basement
sgm	Square Meters	Area, Site
Unk	Unknown	Date of Sale/Time
VA	Veterans Administration	Sale or Financing Concessions
W	Withdrawn Date	Date of Sale/Time
Wb	Walk Out Basement	Basement & Finished Rooms Below Grade
Wds	Woods View	View
Wfr	Water View	View
WfrF	Water Frontage	Location
Wu	Walk Up Basement	Basement & Finished Rooms Below Grade

County of Duplin
Office of the County Commissioner



RESOLUTION IN SUPPORT OF NC ASSOCIATION OF COUNTY COMMISSIONERS
PRESIDENTIAL INITIATIVE TO PROMOTE FOOD SYSTEM RESILIENCE

State of North Carolina
County of Duplin

WHEREAS, access to food is a basic human need and is vital to the well-being of a community and

WHEREAS, all 100 North Carolina counties are committed to ensuring the needs of their citizens are met and

WHEREAS, food security defined as reliable access to quality affordable food is vital to a thriving community and food insecurity threatens the fabric of a healthy society and

WHEREAS According to Feeding America's annual Map the Meal Gap study conducted to improve our understanding of food insecurity and food costs at the local level the average food insecurity rate across North Carolina's counties is 14 percent And Feeding America projects that the rate will rise to 19.3 percent due to the pandemic and

WHEREAS Duplin County has a food insecurity rate of 16 percent and

WHEREAS the current pandemic is only further exacerbating the issue of food insecurity and families are facing difficult decisions about how to make ends meet including whether they can afford the food they need and

WHEREAS it is projected that the food insecurity rate in North Carolina will rise to 19.3 percent due to the pandemic and the child food insecurity rate is projected to rise from 10.3 percent to 28.6 percent and

WHEREAS according to state officials agriculture and agribusiness account for 17% of all jobs in the state and an annual economic impact of \$91.8 billion and is a vital aspect of the economy in North Carolina and

WHEREAS county commissioners have a responsibility to ensure the basic needs of our citizens are met and

WHEREAS, the North Carolina Association of County Commissioners (NACC) under the direction of President Ronnie Smith will be leading a task force to address food system resiliency to help counties take actions to ensure the resources we have are getting to the people in need and

WHEREAS the NACC Task Force will be meeting to examine the various issues that go into creating and sustaining a resilient food system for our residents and

WHEREAS by supporting our farmers supply chains, agribusiness, food industry and hungry families we can build resilient communities

NOW, THEREFORE, LET IT BE RESOLVED, that the Duplin County Board of Commissioners do hereby resolve to support the work of the NACC task force and will commit to examining the food system in Duplin County and take steps to help strengthen the system in the service of sustaining a thriving community

Adopted this the 1st day of March 2021



Attest *Davis L. Brinson*
Davis L. Brinson
Clerk to the Board of Commissioners

David B. Edwards
David B. Edwards, Chairman
Duplin County Board of Commissioners

OFFER TO PURCHASE COUNTY OWNED SURPLUS LAND

Date 29 JAN 2021

This letter is an "Offer to Purchase" the below described land

Tax Parcel ID# 01-702 Township FAISON

Offer Amount \$ 500 Bid Deposit Amount (5%) \$ 25.00

Method of Deposit ☒ Cash ☐ Check (Check #) Must be Certified Check

If approved by the Duplin County Board of Commissioners, please **make the deed out to**

Jerry and Jaqueen Simmons
1987 W NC 403 Hwy
FAISON NC 28341

Phone Number 315 222 5121 Alternate Number
(Please include area code)

Marital Status ☒ Single ☐ Married ☐ Divorced ☐ Widow
(Please check one)

If more than one name will be listed on the deed provide the relations of the names listed

Mail refund check (if offer is upset by another party or Board rejects offer) to

SAME ABOVE

I/We, the interested Buyer(s), understand it takes anywhere from 30-45 days for final approval after the Upset Bid process. The property will be sold "AS IS" and a Special Warranty Deed will be prepared transferring ownership. If the offer is withdrawn during the process by the Bidder/Buyer, the County will retain the bid deposit. If the Board rejects an offer, the bid deposit will be refunded.

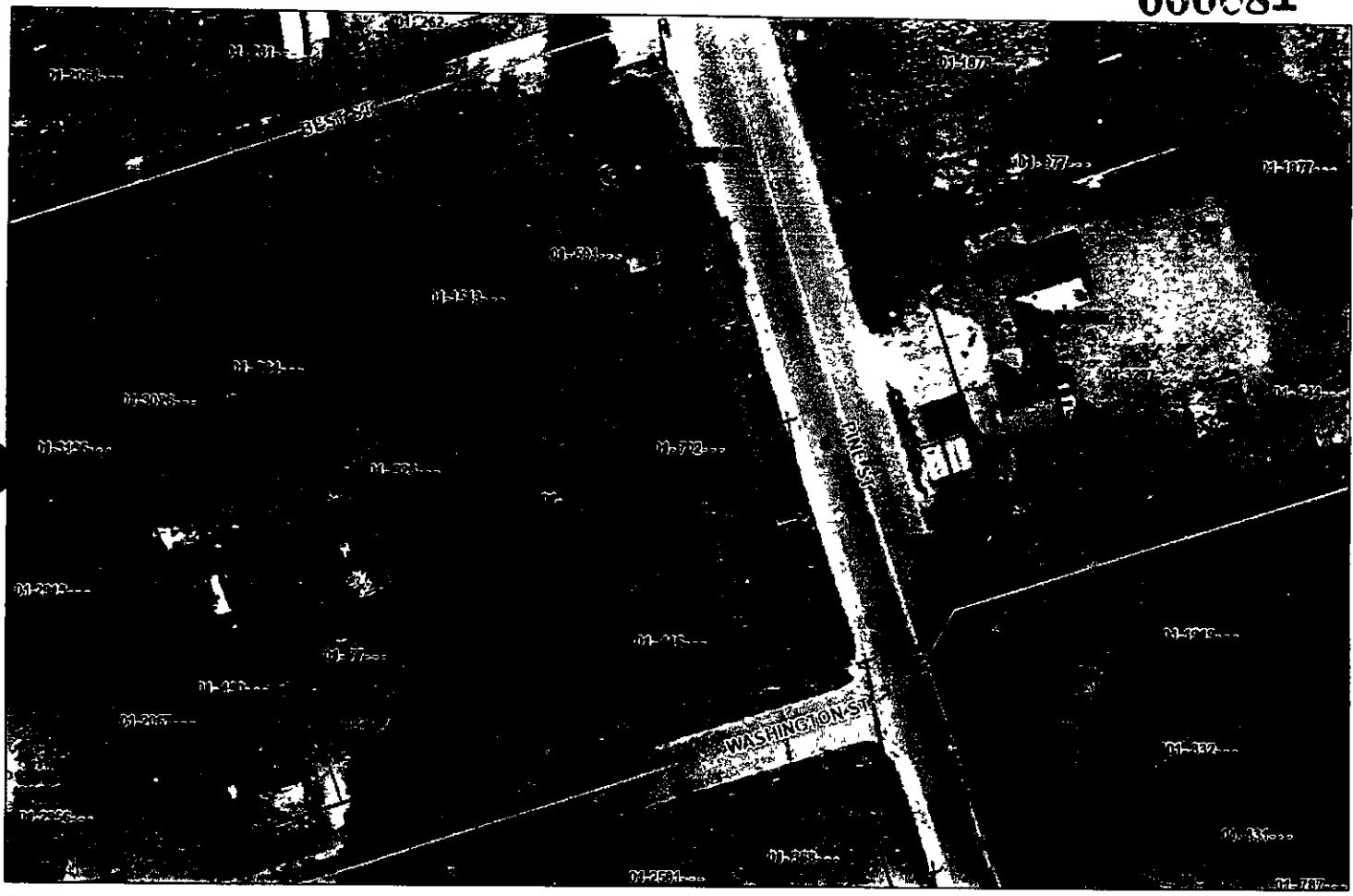
Buyer shall be responsible for all cost with respect to the recording fee and any associated excise taxes due upon recording. Buyer shall pay said cost to County, in addition to the purchase price, within 30 days of final approval of the sale by the Board of Commissioners. Buyer hereby authorizes the County to prepare the deed in accordance with the Offer of Purchase County Owned Surplus 1 and and record same with the Duplin County Register of Deeds.

[Signature]

Signature of Offeror

Date 29 JAN 2021

000080



DUPLIN COUNTY OF

Parcel #: 01-702-
Account #: 2033789
Deed Ref 1901/825 2020
Year Built: 0
Deeded Acres 0
Last Sale Date: 2019/08/05
Year Built: 0

Property Address 603 PINE STREET
Mailing Address: PO BOX 910 KENANSVILLE NC 28349
Year Built: 0
Last Sale Price \$2,769
Assessed Value 8900
Property Class 1
Heat SQ Feet: 0

Misc Imprv Value: \$0
Fire Code:
Notes: 2020 GLORIA HARRIS & DAUGHTER
Remarks:
Land Value Only: \$8900

DUPLIN COUNTY GIS

DISCLAIMER February 22, 2021
The information gathered from this site is for informational purposes only and the map(s) printed from this site should NOT be used as or in place of an actual survey or conveyance.
Generated by http://gis.duplincountync.com

OFFER TO PURCHASE COUNTY OWNED SURPLUS LAND

Date 2-11-21

This letter is an "Offer to Purchase" the below described land

Tax Parcel ID# 01-762 Township Warsaw

Offer Amount \$ 1,000 Bid Deposit Amount (5%) \$ 50

Method of Deposit X Cash Check (Check #) Must be Certified Check

It approved by the Duplin County Board of Commissioners, please make the deed out to

Dandre Hampton
Shanette Stokes

Phone Number 743-263-6317 Alternate Number
(Please include area code)

Marital Status X Single Married Divorced Widow
(Please check one)

If more than one name will be listed on the deed provide the relations of the names listed

Shanette Stokes
Dandre Hampton 7 engaged

Mail refund check (if offer is upset by another party or Board rejects offer) to

445 Calico bay rd
Teachey NC 28464

I/We, the interested Buyer(s), understand it takes anywhere from 30-45 days for final approval after the Upset Bid process. The property will be sold "AS IS" and a Special Warranty Deed will be prepared transferring ownership. If the offer is withdrawn during the process by the Bidder/Buyer, the County will retain the bid deposit. If the Board rejects an offer, the bid deposit will be refunded.

Buyer shall be responsible for all cost with respect to the recording fee and any associated excise taxes due upon recording. Buyer shall pay said cost to County, in addition to the purchase price, within 30 days of final approval of the sale by the Board of Commissioners. Buyer hereby authorizes the County to prepare the deed in accordance with the Offer of Purchase County Owned Surplus Land and record same with the Duplin County Register of Deeds.

Shanette Stokes
Signature of Offeror

Date 2/2/2020

PROPERTY ID: MAP 14, BOOK 1901, PAGE 001, SUB A-B-A

REMARKS: ATTIC, PLUMBING, PORCHES (Cont), STRUCTURE, DATA, LAND DATA 241 (CONT'D)

OTHER APPRAISAL: BUILDING CHANGES, TOPOGRAPHY, GEOGRAPHIC DATA

LAND DATA 241: LEVEL 1, AREA 1.00, PERIMETER 1.00

PROPERTY DIMENSIONS: 1.00 x 1.00

OFFER TO PURCHASE COUNTY OWNED SURPLUS LAND

Date 2/12/21

This letter is an "Offer to Purchase" the below described land

Tax Parcel ID# 09-2112 09-E315 Township Island Creek

Offer Amount \$ 1,000 Bid Deposit Amount (5%) \$ 50.00

Method of Deposit Cash Check (Check #) Must be Certified Check

If approved by the Duplin County Board of Commissioners, please make the deed out to Shannon Leak Shuntie Tinsley

Phone Number 910-282-7814 Alternate Number 267-358-0510 (Please include area code)

Marital Status Single Married Divorced Widow (Please check one)

If more than one name will be listed on the deed provide the relations of the names listed Sisters

Mail refund check (if offer is upset by another party or Board rejects offer) to PO Box 421 Kenansville, NC 28349

I/We the interested Buyer(s), understand it takes anywhere from 30-45 days for final approval after the Upset Bid process. The property will be sold "AS IS" and a Special Warranty Deed will be prepared transferring ownership. If the offer is withdrawn during the process by the Bidder/Buyer, the County will retain the bid deposit. If the Board rejects an offer, the bid deposit will be refunded.

Buyer shall be responsible for all cost with respect to the recording fee and any associated excise taxes due upon recording. Buyer shall pay said cost to County, in addition to the purchase price, within 30 days of final approval of the sale by the Board of Commissioners. Buyer hereby authorizes the County to prepare the deed in accordance with the Offer of Purchase County Owned Surplus Land and record same with the Duplin County Register of Deeds.

Shannon Leak Signature of Offeror Date 2/12/21

OFFER TO PURCHASE COUNTY OWNED SURPLUS LAND

Date 2/15/21

This letter is an "Offer to Purchase" the below described land

Tax Parcel ID# 09-E307 Township Island Creek
Offer Amount \$4000.50 Bid Deposit Amount (5%) \$200.50
Method of Deposit Cash Check (Check #) Must be Certified Check

If approved by the Duplin County Board of Commissioners, please make the deed out to

Shannon Leak
Shante Tinsky

Phone Number 910-282-9814 Alternate Number 267-358-0510
(Please include area code)
Marital Status Single Married Divorced Widow
(Please check one)

If more than one name will be listed on the deed provide the relations of the names listed

Sisters

Mail refund check (if offer is upset by another party or Board rejects offer) to

PO Box 421
Kenansville, NC 28349

I/We, the interested Buyer(s), understand it takes anywhere from 30-45 days for final approval after the Upset Bid process. The property will be sold "AS IS" and a Special Warranty Deed will be prepared transferring ownership. If the offer is withdrawn during the process by the Bidder/Buyer, the County will retain the bid deposit. If the Board rejects an offer, the bid deposit will be refunded.

Buyer shall be responsible for all cost with respect to the recording fee and any associated excise taxes due upon recording. Buyer shall pay said cost to County in addition to the purchase price, within 30 days of final approval of the sale by the Board of Commissioners. Buyer hereby authorizes the County to prepare the deed in accordance with the Offer of Purchase County Owned Surplus Land and record same with the Duplin County Register of Deeds.

Signature of Offeror _____ Date 2/15/21

DUPLIN, COUNTY OF



Parcel #: 09-E307
Account #: 2033823
Deed Ref: 1816/833 2017
Year Built: 0
Deeded Acres: 0
Last Sale Date: 2016/02/09
Year Built: 0

Property Address: OFF 41
Mailing Address: PO BOX 910 KENANSVILLE NC 28349
Year Built: 0
Last Sale Price: \$1,985
Assessed Value: 1000
Property Class: 1
Heat SQ Feet: 0
Misc. Imprv Value: \$0
Fire Code
Notes: 2017 WILLIAM DANIEL CARR (WAS 2017)
Remarks:
Land Value Only \$1000

DUPLIN COUNTY GIS

DISCLAIMER February 22, 2021
The information gathered from this site is for informational purposes only and the map(s) printed from this site should NOT be used as or in place of an actual survey or other legal document.
Generated by http://gis.duplincountync.com

PROPERTY ID		PIN		LAND DATA 241 (CONT'D)	
MAP 15	CODE	1	1	1	1
INS 03	PIC	1	1	1	1
BLK	BOOK 1816	1	1	1	1
SUB	PAGE 833	1	1	1	1
LOT 0036	TAX YR 2017	1	1	1	1
SUB	TX CD	1	1	1	1
REMARKS					
ATTIC		PLUMBING		PORCHES, (Cont)	
11	NO RMS	0A3	1	1	1
12	FIN	0A1	1	1	1
13	1	0A2	1	1	1
14	INDICATOR	0A1	1	1	1
15	0	0A2	1	1	1
16	BASEMENT	0A3	1	1	1
17	INDICATOR	0A1	1	1	1
18	AREA	0A2	1	1	1
19	AREA	0A1	1	1	1
20	1	0A2	1	1	1
21	INDICATOR	0A1	1	1	1
PRIMARY STRUCTURE		GARAGES		STRUCTURE	
22	CONST	1	1	1	1
23	STY	0A1	1	1	1
24	AREA	0A2	1	1	1
25	CONST II	0A1	1	1	1
26	CONST III	0A1	1	1	1
ADDITIONS		ROOF		DATA	
27	NUMBER	1	1	1	1
28	CONST 2	0A1	1	1	1
29	STY 2	0A1	1	1	1
30	S F 2	0A1	1	1	1
31	CONST 3	0A1	1	1	1
32	STY 3	0A1	1	1	1
33	S F 3	0A1	1	1	1
34	CONST 4	0A1	1	1	1
35	STY 4	0A1	1	1	1
36	S F 4	0A1	1	1	1
37	CONST 5	0A1	1	1	1
38	STY 5	0A1	1	1	1
39	S F 5	0A1	1	1	1
40	PERMETER	0A1	1	1	1
41	AREA	0A1	1	1	1
DORMERS		PORCHES		LAND DATA 241	
42	FOOT	1	1	1	1
43	FEET	1	1	1	1
44	AREA	1	1	1	1
45	AREA	1	1	1	1
46	AREA	1	1	1	1
47	AREA	1	1	1	1
48	AREA	1	1	1	1
49	AREA	1	1	1	1
50	AREA	1	1	1	1
51	AREA	1	1	1	1
52	AREA	1	1	1	1
53	AREA	1	1	1	1
54	AREA	1	1	1	1
55	AREA	1	1	1	1
56	AREA	1	1	1	1
57	AREA	1	1	1	1
58	AREA	1	1	1	1
59	AREA	1	1	1	1
60	AREA	1	1	1	1
61	AREA	1	1	1	1
62	AREA	1	1	1	1
63	AREA	1	1	1	1
64	AREA	1	1	1	1
65	AREA	1	1	1	1
66	AREA	1	1	1	1
67	AREA	1	1	1	1
68	AREA	1	1	1	1
69	AREA	1	1	1	1
70	AREA	1	1	1	1
71	AREA	1	1	1	1
72	AREA	1	1	1	1
73	AREA	1	1	1	1
74	AREA	1	1	1	1
75	AREA	1	1	1	1
76	AREA	1	1	1	1
77	AREA	1	1	1	1
78	AREA	1	1	1	1
79	AREA	1	1	1	1
80	AREA	1	1	1	1
81	AREA	1	1	1	1
82	AREA	1	1	1	1
83	AREA	1	1	1	1
84	AREA	1	1	1	1
85	AREA	1	1	1	1
86	AREA	1	1	1	1
87	AREA	1	1	1	1
88	AREA	1	1	1	1
89	AREA	1	1	1	1
90	AREA	1	1	1	1
91	AREA	1	1	1	1
92	AREA	1	1	1	1
93	AREA	1	1	1	1
94	AREA	1	1	1	1
95	AREA	1	1	1	1
96	AREA	1	1	1	1
97	AREA	1	1	1	1
98	AREA	1	1	1	1
99	AREA	1	1	1	1
100	AREA	1	1	1	1

OFFER PURCHASE COUNTY OWNED SURPLUS LAND

Date _____

This letter is an "Offer to Purchase" the below described land _____

Tax Parcel ID# 11-E116 Township Hosehill

Offer Amount \$ 1000 Bid Deposit Amount (\$%) \$ 50

Method of Deposit ☒ Cash ☐ Check (Check # _____) Must be Certified Check

If approved by the Duplin County Board of Commissioners, please make the deed out to

Dandre Hampton
Shanette Stokes

Phone Number 743 203 6317 Alternate Number _____

(Please include area code)

Marital Status ☒ Single ☐ Married ☐ Divorced ☐ Widow

(Please check one)

If more than one name will be listed on the deed provide the relations of the names listed

Shanette Stokes
Dandre Hampton Engaged

Mail refund check (if offer is upset by another party or Board rejects offer) to

445 Calico bay rd
Teachey NC 28464

I/W/e, the interested Buyer(s), understand it takes anywhere from 30-45 days for final approval after the Upset Bid process. The property will be sold "AS IS" and a Special Warranty Deed will be prepared transferring ownership. If the offer is withdrawn during the process by the Bidder/Buyer, the County will retain the bid deposit. If the Board rejects an offer, the bid deposit will be refunded.

Buyer shall be responsible for all cost with respect to the recording fee and any associated excise taxes due upon recording. Buyer shall pay said cost to County, in addition to the purchase price, within 30 days of final approval of the sale by the Board of Commissioners. Buyer hereby authorizes the County to prepare the deed in accordance with the Offer of Purchase County Owned Surplus Land and record same with the Duplin County Register of Deeds.

Shanette Stokes Date 2/1/2021
Signature of Offeror

OFFER TO PURCHASE COUNTY OWNED SURPLUS LAND

Date 2/12/21
This letter is an "Offer to Purchase" the below described land
Tax Parcel ID# 11-E-110 Township Rose Hill
Offer Amount \$ 25,530 Bid Deposit Amount (5%) \$ 127.50
Method of Deposit ☒ Cash ☐ Check (Check # _____) Must be Certified Check

If approved by the Duplin County Board of Commissioners, please make the deed out to

Seeds of Prosperity, LLC
5047 S US Hwy 197
Wilmington, NC 28406
Phone Number 910 471-6313 Alternate Number _____
(Please include area code)

Marital Status ☐ Single ☒ Married ☐ Divorced ☐ Widow
(Please check one)

If more than one name will be listed on the deed provide the relations of the names listed

Mail refund check (if offer is upset by another party or Board rejects offer) to

Same

I/We, the interested Buyer(s), understand it takes anywhere from 30-45 days for final approval after the Upset Bid process. The property will be sold "AS IS" and a Special Warranty Deed will be prepared transferring ownership. If the offer is withdrawn during the process by the Bidder/Buyer, the County will retain the bid deposit. If the Board rejects an offer, the bid deposit will be refunded.

Buyer shall be responsible for all cost with respect to the recording fee and any associated excise taxes due upon recording. Buyer shall pay said cost to County, in addition to the purchase price, within 30 days of final approval of the sale by the Board of Commissioners. Buyer hereby authorizes the County to prepare the deed in accordance with the Offer of Purchase County Owned Surplus Land and record same with the Duplin County Register of Deeds.

Signature of Offeror [Signature] Date 2/12/21

DUPLIN COUNTY OF



Parcel #: 11-E-110
Account #: 2033817
Deed Ref: 1857704 2018
Year Built: 0
Deeded Acres: 0
Last Sale Date: 2017/09/13
Year Built: 0

Property Address: OFF 117
Mailing Address: 224 SEMINARY ST KENANSVILLE NC 28349
Year Built: 0
Last Sale Price: \$2,954
Assessed Value: 2500
Property Class: 1
Heat SQ Feet: 0

Misc. Imprv Value: \$0
Fire Code
Notes: 2018 ROSITA PIERCE WILLIAMS & PATRICK NEWKIRK HRS WAS 11-14
Remarks: BONEY & BONEY S/D
Land Value Only: \$2500

DUPLIN COUNTY GIS

DISCLAIMER February 22, 2021
The information gathered from this site is for informational purposes only and the map(s) printed from this site should NOT be used as or in place of an actual survey or conveyance.
Generated by http://gis.duplincountync.com

PROPERTY ID		PIN		LAND DATA 241 (CONT'D)	
MARJ/O	CODE	NAME		ACRE	
INS	BOOK 1857	NAME		ACRE	
BLK X/E	PAGE 704	NAME		ACRE	
SUB	TAXYR 2018	NAME		ACRE	
LOT 051	TX CD	NAME		ACRE	
SUB		NAME		ACRE	
REMARKS		STRUCTURE		DATA	
ATTIC		PLUMBING		BUILDING CHANGES	
NO FMS		PITCH		PITCH	
FIN		AREA		PITCH	
LS		AREA		PITCH	
INDICATOR		AREA		PITCH	
BASEMENT		EX V/C		PITCH	
AREA		EX SINK		PITCH	
FIN		LS		PITCH	
ENCE		INDICATOR		PITCH	
LS		GARAGES		PITCH	
INDICATOR		I-CODE		PITCH	
PRIMARY STRUCTURE		AREA		PITCH	
HOUSE OR		AREA		PITCH	
STY 1		AREA		PITCH	
CHIMNEYS		AREA		PITCH	
L.C.		AREA		PITCH	
INDICATOR		AREA		PITCH	
ADDITIONS		AREA		PITCH	
MAST		AREA		PITCH	
MATERIAL		AREA		PITCH	
TYPE		AREA		PITCH	
L.C.		AREA		PITCH	
FUEL		AREA		PITCH	
TYPE 1		AREA		PITCH	
TYPE 2		AREA		PITCH	
LS		AREA		PITCH	
HEATING-A/C		AREA		PITCH	
H.A. FORCE		AREA		PITCH	
H.A. GRAV		AREA		PITCH	
H. WATER		AREA		PITCH	
FL FURN		AREA		PITCH	
RADIANT		AREA		PITCH	
BASED		AREA		PITCH	
HEAT PUMP		AREA		PITCH	
WALL UNIT		AREA		PITCH	
FURN		AREA		PITCH	

OFF 2 PURCHASE COUNTY OWNED SURPLUS LAND

Date January 25, 2021

This letter is an "Offer to Purchase" the below described land

Tax Parcel ID# 01-2251 Township Warsaw

Offer Amount \$ 1,560 Bid Deposit Amount (5%) \$ 75

Method of Deposit: X Cash (Check #) Must be Certified Check

If approved by the Duplin County Board of Commissioners, please make the deed out to

Antonio Stokes
Lakisha Robinson

Phone Number 704-231-4125 Alternate Number

Marital Status X Single Married Divorced Widow

If more than one name will be listed on the deed provide the relations of the names listed

Antonio Stokes > engaged
Lakisha Robinson
Mail refund check (if offer is upset by another party or Board rejects offer) to
4415 Calico bay od Teachey NC 28464

I, We, the interested Buyer(s), understand it takes anywhere from 30-45 days for final approval after the Upset Bid process. The property will be sold "AS IS" and a Special Warranty Deed will be prepared transferring ownership. If the offer is withdrawn during the process by the Bidder/Buyer, the County will retain the bid deposit. If the Board rejects an offer, the bid deposit will be refunded.

Buyer shall be responsible for all cost with respect to the recording fee and any associated excise taxes due upon recording. Buyer shall pay said cost to County, in addition to the purchase price, within 30 days of final approval of the sale by the Board of Commissioners. Buyer hereby authorizes the County to prepare the deed in accordance with the Offer of Purchase County Owned Surplus Land and record same with the Duplin County Register of Deeds.

Antonio Stokes

Lakisha Robinson

Date January 24, 2020

Signature of Offeror

OFFER TO PURCHASE COUNTY OWNED SURPLUS LAND

Date January 22, 2021

This letter is an "Offer to Purchase" the below described land

Tax Parcel ID# 01-E251 Township Warsaw
Offer Amount \$ 700 Bid Deposit Amount (5%) \$ 35.00
Method of Deposit ☒ Cash ☐ Check (Check # _____) Must be Certified Check

If approved by the Duplin County Board of Commissioners, please make the deed out to

Marilyn D. Bryant

Phone Number 856 434 8762 Alternate Number 856 262 1731
(Please include area code)

Marital Status ☐ Single ☐ Married ☒ Divorced ☐ Widow
(Please check one)

If more than one name will be listed on the deed provide the relations of the names listed

Mail refund check (if offer is upset by another party or Board rejects offer) to

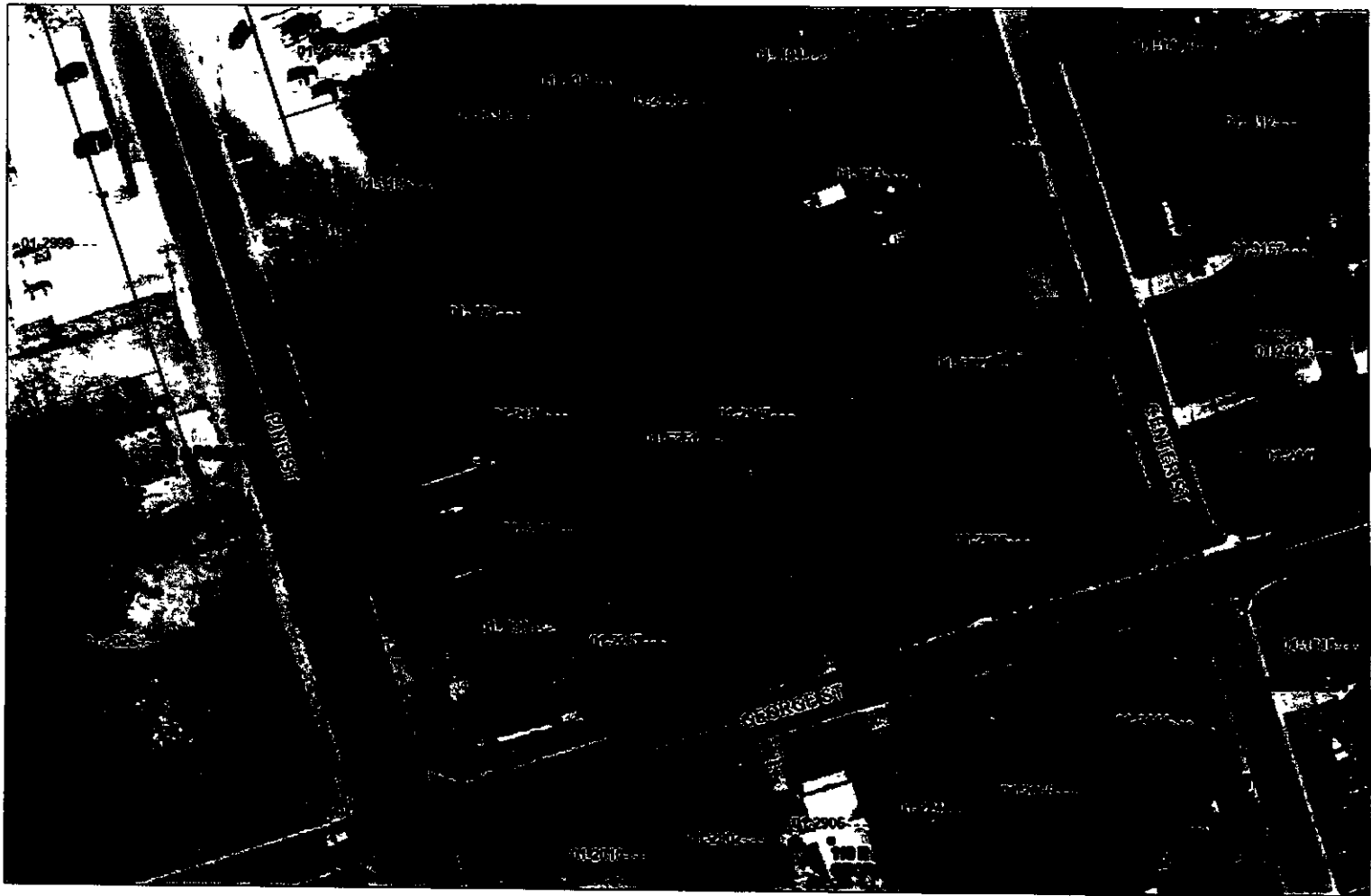
43 Covington Drive
Sicklerville, NJ 08081

I/W/c, the interested Buyer(s), understand it takes anywhere from 30-45 days for final approval after the Upset Bid process. The property will be sold "AS IS" and a Special Warranty Deed will be prepared transferring ownership. If the offer is withdrawn during the process by the Bidder/Buyer, the County will retain the bid deposit. If the Board rejects an offer, the bid deposit will be refunded.

Buyer shall be responsible for all cost with respect to the recording fee and any associated excise taxes due upon recording. Buyer shall pay said cost to County, in addition to the purchase price, within 30 days of final approval of the sale by the Board of Commissioners. Buyer hereby authorizes the County to prepare the deed in accordance with the Offer of Purchase County Owned Surplus 1 and and record same with the Duplin County Register of Deeds.

Marilyn D. Bryant
Signature of Offeror

Date 1-22-2021



DUPLIN COUNTY OF

Parcel # 01-E251
Account # 2033797
Deed Ref: 1760/519 2014
Year Built 0
Deeded Acres 0
Last Sale Date: 10/02/2013
Year Built 0

Property Address: 111 E GEORGE ST
Mailing Address: 224 SEMINARY ST KENANSVILLE NC 28349
Year Built: 0
Last Sale Price: \$4,171
Assessed Value: 5500
Property Class: 1
Heat SQ Feet: 0

Misc Imprv Value \$0
Fire Code
Notes: 2014 RUTH MAY SMITH & DANCY
Remarks: VACANT LOT
Land Value Only: \$5500

DUPLIN COUNTY GIS

DISCLAIMER January 27, 2021
The information gathered from this site is for informational purposes only and the map(s) printed from this site should NOT be used as or in place of an actual survey or conveyance.
Generated by http://gis.duplincountync.com

PROPERTY ID		PIN		LAND DATA 241 (CONT'D)	
MAIN TITLE		CADD		AREA	
IN		CADD		DEPTH	
CIR		CADD		N. E. C.	
CIR		CADD		LAND AREA	
CIR		CADD		LAND CODE	
CIR		CADD		OTHER APPRAISAL	
ATTIC		PLUMBING		PORCHES (Cont.)	
BASEMENT		EX. WC		MISC. IMPROVEMENTS	
CENCE		EX. SHIP		CODE	
PRIMARY STRUCTURE		IS		RATE	
FIREPLACES		INDICATOR		GARAGES	
ROOF		CODE		AREA	
FUEL		AREA		RATE	
HEATING A/C		AREA		RATE	
DORMERS		AREA		RATE	

OFFER PURCHASE COUNTY OWNED SURPLUS LAND

Date January 25, 2021

This letter is an "Offer to Purchase" the below described land

Tax Parcel ID# 01-2174 Township Warsaw
Offer Amount \$1000 Bid Deposit Amount (5%) \$ 50
Method of Deposit X Cash Check (Check #) Must be Certified Check

If approved by the Duplin County Board of Commissioners, please make the deed out to

Antionette Johnson
Richard Johnson

Phone Number 784-890-9468 Alternate Number
(Please include area code)

Marital Status X Single Married Divorced Widow
(Please check one)

If more than one name will be listed on the deed provide the relations of the names listed

Antionette Johnson
Richard Johnson

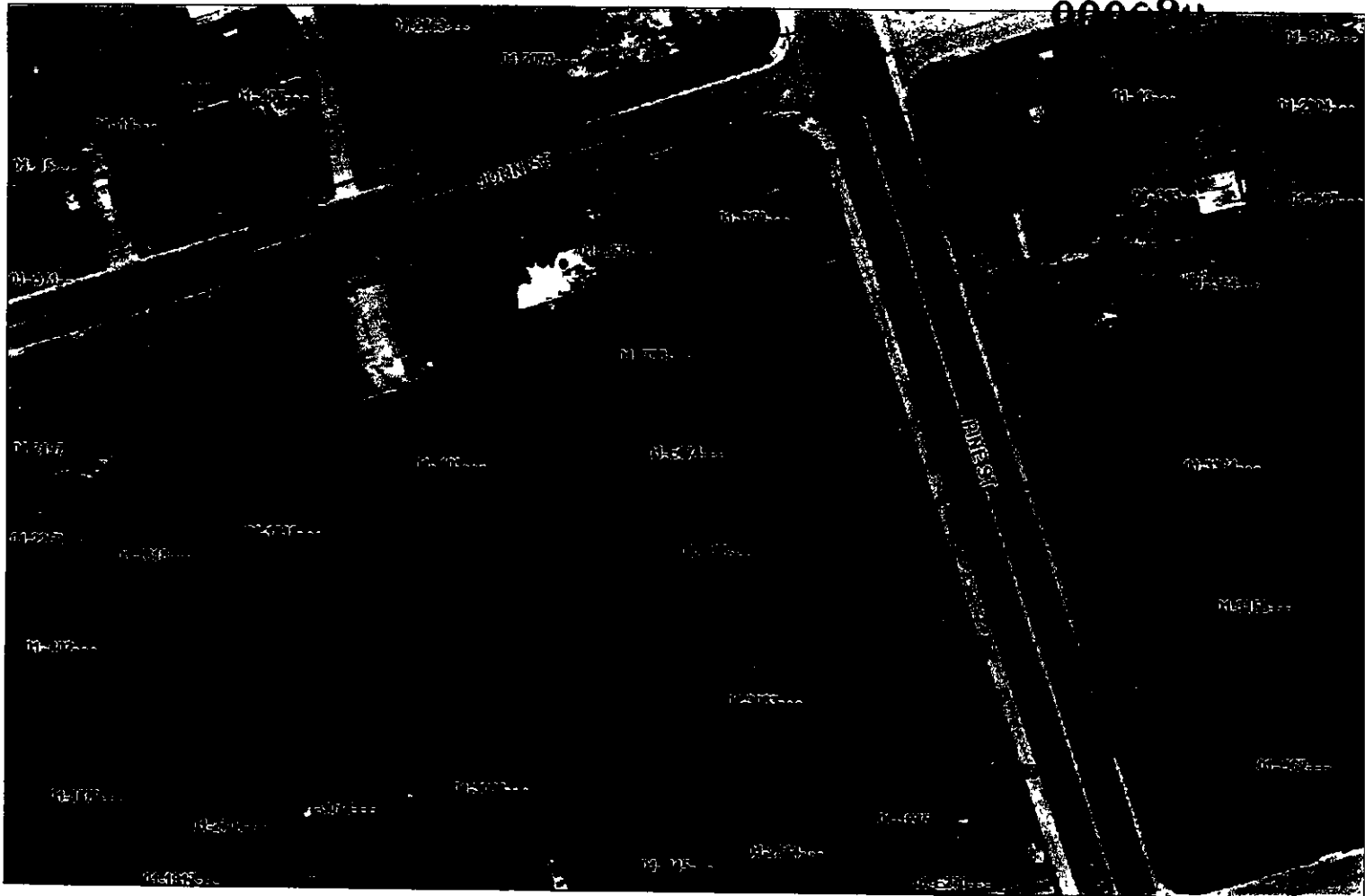
Mail refund check (if offer is upset by another party or Board rejects offer) to
5424 E hall st Wallace NC 28466

I, We, the interested Buyer(s), understand it takes anywhere from 30-45 days for final approval after the Upset Bid process. The property will be sold "AS IS" and a Special Warranty Deed will be prepared transferring ownership. If the offer is withdrawn during the process by the Bidder/Buyer, the County will retain the bid deposit. If the Board rejects an offer, the bid deposit will be refunded.

Buyer shall be responsible for all cost with respect to the recording fee and any associated excise taxes due upon recording. Buyer shall pay said cost to County, in addition to the purchase price, within 30 days of final approval of the sale by the Board of Commissioners. Buyer hereby authorizes the County to prepare the deed in accordance with the Offer of Purchase County Owned Surplus Land and record same with the Duplin County Register of Deeds.

Antionette Johnson
Richard Johnson
Signature of Offeror

Date January 24, 2021



DUPLIN, COUNTY OF



Parcel # 01-E174
Account # 2033810
Deed Ref 1601/728 2008
Year Built 0
Deeded Acres: 0
Last Sale Date: 09/05/2007
Year Built: 0

Property Address: 412 S PINE ST
Mailing Address 224 SEMINARY ST KENANSVILLE NC 28349
Year Built: 0
Last Sale Price \$2,282
Assessed Value: 4400
Property Class 1
Heat SQ Feet 0
Misc. Imprv Value \$0
Fire Code
Notes 2008 JOHN F GOODING JR (WAS 01-92)
Remarks.
Land Value Only \$4400

DUPLIN COUNTY GIS
DISCLAIMER January 27, 2021
The information gathered from this site is for informational purposes only and the map(s) printed from this site should NOT be used as or in place of an actual survey. The map(s) should NOT be used in sales or conveyances.
Generated by http://gis.duPincounty.nc.com

2007
PRINCIPAL PARCEL NO

DUPLIN COUNTY, NC
PROPERTY RECORD CARD

PROPERTY ID		PIN		LAND DATA 241 (CONT'D)	
ATTIC		PLUMBING		BUILDING CHANGES	
BASEMENT		GARAGES		GEOGRAPHIC DATA	
FIREPLACES		PORCHES		LAND DATA 241	
HEATING-A/C		PORCHES			
DORMERS					



DUPLIN COUNTY OF

Parcel #: 09-E306
Account #: 2033829
Deed Ref: 1824/794 2017
Year Built: 0
Deeded Acres: 0
Last Sale Date: 05/27/2016
Year Built: 0

Property Address: 1149
Mailing Address: PO BOX 910 KENANSVILLE NC 28349
Year Built: 0
Last Sale Price: \$2,432
Assessed Value: 3000
Property Class: 1
Heat SQ Feet: 0

Misc Imprv Value: \$0
Fire Code
Notes: 2017 FLOSSIE BRYANT HRS (WAS)
Remarks: CARTER SUB
Land Value Only \$3000

DUPLIN COUNTY GIS

DISCLAIMER January 27, 2021
The information gathered from this site is for informational purposes only and the map(s) printed from this site should NOT be used as or in place of an actual survey. This map should NOT be used in sales or conveyances.
Generated by http://gis.duplincountync.com

OFFER TO PURCHASE COUNTY OWNED SURPLUS LAND

Date January 12, 2021

This letter is an "Offer to Purchase" the below described land

Tax Parcel ID# 09-E306 Township Island Creek
Offer Amount \$ 1,200.00 Bid Deposit Amount (5%) \$ 60.00
Method of Deposit Cash Check (Check # 1699) Must be Certified Check

If approved by the Duplin County Board of Commissioners, please make the deed out to

Harry Dorsey
Kathleen Lamb Dorsey Maricd
1815 Rock Hill Road
Castle Hayne, NC 28429
Phone Number 910-343-9600 Alternate Number 910-343-9600

(Please include area code)

Marital Status Single ☒ Married ☐ Divorced ☐ Widow
(Please check one)

If more than one name will be listed on the deed provide the relations of the names listed

Harry Dorsey, husband to Kathleen Lamb Dorsey

Mail refund check (if offer is upset by another party or Board rejects offer) to

Kathleen Lamb Dorsey
1815 Rock Hill Road
Castle Hayne, NC 28429

I/We, the interested Buyer(s), understand it takes any where from 30-45 days for final approval after the Upset Bid process. The property will be sold "AS IS" and a Special Warranty Deed will be prepared transferring ownership. If the offer is withdrawn during the process by the Bidder/Buyer, the County will retain the bid deposit. If the Board rejects an offer, the bid deposit will be refunded.

Buyer shall be responsible for all cost with respect to the recording fee and any associated excise taxes due upon recording. Buyer shall pay said cost to County, in addition to the purchase price, within 30 days of final approval of the sale by the Board of Commissioners. Buyer hereby authorizes the County to prepare the deed in accordance with the Offer of Purchase County Owned Surplus Land and record same with the Duplin County Register of Deeds.

Kathleen Lamb Dorsey
Signature of Offeror

Date January 12, 2021

[illegible]

OFFER TO PURCHASE COUNTY OWNED SURPLUS LAND

Date January 26, 2021

This letter is an "Offer to Purchase" the below described land

Tax Parcel ID# 01-E272 Township Warsaw

Offer Amount \$ 200 Bid Deposit Amount (5%) \$ 100

Method of Deposit X Cash Check (Check #) Must be Certified Check

(If approved by the Duplin County Board of Commissioners, please make the deed out to:

Antionette Johnson Richard Johnson

Phone Number	764-890-9468	Alternate Number	
(Please include area code)			

Marital Status X Single ___ Married ___ Divorced ___ Widowed ___
(Please check one)

if more than one name will be listed on the deed provide the relations of the names listed

Antionette Johnson > Brother & Sister.
Richard Johnson

Wall refund check (if offer is upset by another party or Board rejects offer) to
424 E. Hall St. Wallace NC 28466.

///We, the interested Buyer(s), understand it takes anywhere from 30-45 days for final approval after the Upset Bid process. The property will be sold "AS IS" and a Special Warranty Deed will be prepared transferring ownership. If the offer is withdrawn during the process by the Bidder/Buyer, the County will retain the bid deposit. If the Board rejects an offer, the bid deposit will be refunded.

Buyer shall be responsible for all cost with respect to the recording fee and any associated excise taxes due upon recording. Buyer shall pay said cost to County, in addition to the purchase price, within 30 days of final approval of the sale by the Board of Commissioners. Buyer hereby authorizes the County to prepare the deed in accordance with the Offer of Purchase of County Owned Surplus Land and record same with the Duplin County Register of Deeds.

Register of Deeds
Antoinette Johnson
Richard Johnson
Signature of Officer
Date January 24, 2024

Division of Public Health
Agreement Addendum

FY 20-21

Duplin County Health Department	Women's and Children's Health Section
Local Health Department Legal Name	Immunization Branch
716 CDC COVID-19 Vaccination Program	DPH Section / Branch Name
Activity Number and Description	Mishaeema Morrissey, 919-707-5556
01 01 2021 – 05 31 2021	mishaeema.morrissey@dchhs.nc.gov
Service Period	DPH Program Contact
02 01 2021 06 30 2021	(name, phone number, and email)
Payment Period	DPH Program Signature
	(only required for a negotiable agreement addendum)

☐ Original Agreement Addendum

☒ Agreement Addendum Revision # 1

I. Background:

As of March 1, 2021 this Agreement Addendum Revision #1 adds the following paragraph

As part of the Consolidated Appropriations Act, 2021 North Carolina received funding to assist with local health departments' COVID-19 vaccination planning and implementation of mass COVID-19 vaccination. This supplemental funding will be used to support and strengthen critical immunization planning and implementation requirements and activities to ensure effective, efficient, and equitable vaccination of the statewide population

II. Purpose:

As of March 1, 2021, this Agreement Addendum Revision #1 adds the following paragraph

Additional funding provided to the Local Health Department should be used to support expanding vaccine clinics, reaching out to those for whom vaccine is recommended, training providers and partners on the appropriate storage and handling of the vaccine, equipping health care providers to discuss the vaccine and administer it to patients, ensuring adequate clinic staff availability, and ensuring systems can track vaccines administered and provide recall tracking and notification to complete vaccine series

III. Scope of Work and Deliverables:

As of March 1, 2021 this Agreement Addendum Revision #1 adds Paragraphs 14-20, as follows

14 Use Attachment C Monthly Performance and Activity Reporting as a guide for monthly metrics reporting of new activities that occurred during the reporting month

Health Director Signature	(use blue ink)	Date
Local Health Department to complete (if follow-up information is needed by DPH)	LHD program contact name Phone number with area code Email address	

Signature on this page: _____ if/ies you have read and accepted all pages of this document. _____ Revised by: _____

- 15 Ensure vaccine administration sites have appropriate capabilities to address adverse events, including anaphylaxis
- 16 Ensure equitable distribution and administration of COVID-19 vaccines by monitoring vaccination coverage among population subgroups, identifying populations and areas with low coverage, by implementing and evaluating interventions, and by directing vaccine and vaccination efforts to increase coverage
- 17 Increase vaccine confidence through education, outreach, and partnerships Enhance messaging (including through translation) to promote COVID-19 vaccination, especially among underserved and vulnerable populations
- 18 Monitor and manage the COVID-19 vaccine supply in the LHD jurisdiction and ensure that the vaccine is broadly available across the jurisdiction, including in the places where it is needed most
- 19 Partner with local transit agency, ridesharing companies, taxi, volunteers, or other transportation options to provide rides to and from the COVID-19 vaccination sites for low-income people, the uninsured and people living in underserved communities
- 20 Partner with community organizations in areas most impacted by high COVID-19 morbidity and mortality to provide educational support, PPE, and supplies as needed

IV. Performance Measures/Reporting Requirements:

As of March 1 2021 this Agreement Addendum Revision #1 adds Paragraph 4, as follows

- 4 LHD shall complete a monthly online survey to report performance metrics and activities Attachment C, Monthly Performance and Activity Reporting serves as a guide for compiling metrics for monthly reporting Each month's survey must be completed by the 20th day of the following month

As of March 1 2021 this Agreement Addendum Revision #1 adds Attachment C, on page 4 of this Revision to the Agreement Addendum

V. Performance Monitoring and Quality Assurance:

No change

VI. Funding Guidelines or Restrictions.

Retrospective to January 1, 2021, this Agreement Addendum Revision #1 adds Paragraphs 3-5 as follows

- 3 As the LHD is a subrecipient of a grant or cooperative agreement awarded by the Department of Health and Human Services (HHS) with funds made available under the Coronavirus Preparedness and Response Supplemental Appropriations Act, 2020 (P.L. 116-123), the Coronavirus Aid, Relief, and Economic Security Act, 2020 (the "CARES Act") (P.L. 116-136), the Paycheck Protection Program and Health Care Enhancement Act (P.L. 116-139), and or the Consolidated Appropriations Act, 2021, Division M – Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (P.L. 116-266), the LHD agrees as applicable to the award, to
 - a comply with existing and/or future directives and guidance from the HHS Secretary regarding control of the spread of COVID-19,
 - b in consultation and coordination with HHS, provide, commensurate with the condition of the individual, COVID-19 patient care regardless of the individual's home jurisdiction and or appropriate public health measures (e.g., social distancing, home isolation,
 - c assist the United States Government in the implementation and enforcement of federal orders related to quarantine and isolation. In addition, to the extent applicable, comply with Section

000094

18115 of the CARES Act, with respect to the reporting to the HHS Secretary of results of tests intended to detect SARS-CoV-2 or to diagnose a possible case of COVID-19. Such reporting shall be in accordance with guidance and direction from HHS and/or CDC.

- d) Provide, through NC DHHS to CDC, copies of and/or access to COVID-19 data collected with these funds, including but not limited to data related to COVID-19 testing consistent with the full scope of applicable grant regulations (45 C.F.R. 75.322), the purpose of this award, and the underlying funding.

- 4) In addition to their local procurement rules policies, the LHD shall comply with the following rules, applying the most restrictive standard where there is a difference between any of the standards:

- a) Federal Uniform Administrative Requirements for Procurement, 45 CFR Part 75 §75.327-335, <https://www.ecfr.gov/cgi-bin/text-id?node=pt45.1.75&rgn=div5.1.75.1326>
- 1) Appendix II to Part 75—*Contract Provisions for Non-Federal Entity Contracts Under Federal Awards* may be found here for incorporation into procurement contracts <https://www.ecfr.gov/cgi-bin/text-id?node=pt45.1.75&rgn=div5.1.75.1521.ii>

- 5) Unallowable costs:

- a) Research
- b) Clinical Care

- c) Publicity and propaganda (lobbying)

- 1) Other than for normal and recognized executive-legislative relationships, no funds may be used for:

- a) publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
- b) the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body

- 2) See Additional Requirement (AR) 12 for detailed guidance on this prohibition and additional guidance on lobbying for CDC recipients

https://www.cdc.gov/grants/documents/Anti-Lobbying_Restrictions_for_CDC_Grantees_July_2012.pdf

- d) All unallowable costs cited in CDC-RFA-IP19-1901 remain in effect, unless specifically amended, in accordance with 45 CFR Part 75 – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards.

Attachment C
Monthly Performance and Activity Reporting – FY 2020–2021

Use this Attachment C as a guide for compiling monthly performance and activity metrics. LHDs will receive a monthly online survey to report on the activities listed in this Attachment C. This online survey **must be completed each month by the 20th day of the following month** and should contain monthly metrics of new activities that occurred during the reporting month.

Monthly Performance and Activity Reporting Categories	Reporting Guide
New public health workers hired and/or related contracts executed	Report the number of FTEs and/or contractors hired, and the number of related contracts executed during the reporting month.
Strike teams, mobile clinics, or temporary off-site clinics	Report the number of strike teams, mobile or temporary off-site clinics held during the reporting month in underserved communities.
Supplies equipment, by type, purchased during the reporting month in the following three categories: 1) Vaccine storage and handling (i.e., temperature monitors, devices, freezers, other). 2) Vaccine transport (i.e., mobile vaccine storage units). 3) Vaccine administration (i.e., needles, syringes, PPE, other).	Report the number of supplies and equipment purchased during the reporting month in the following three categories: 1) Vaccine storage and handling (i.e., temperature monitors, devices, freezers, other). 2) Vaccine transport (i.e., mobile vaccine storage units). 3) Vaccine administration (i.e., needles, syringes, PPE, other).
COVID-19 communication/education activities	Describe any COVID-19 communication/education activities, including among underserved and racial and ethnic minority populations, during the reporting month.
Vaccine monitoring	Describe work to monitor COVID-19 vaccine supply ensuring that vaccine is where it is most needed.

000095

51 Johnston	* 1	0	501,920	501,920	573,253
52 Jones	* 1	0	73,063	73,063	95,683
53 Lee	* 1	0	187,705	187,705	223,347
54 Lenoir	* 1	0	178,607	178,607	213,215
55 Lincoln	* 1	0	241,705	241,705	283,481
56 Macon	* 1	0	131,660	131,660	160,936
57 Madison	* 1	0	100,580	100,580	126,325
D4 M-T-W	* 0	0	239,748	239,748	308,942
60 Mecklenburg	* 1	0	2,511,821	2,511,821	2,811,456
62 Montgomery	* 1	0	112,478	112,478	138,575
63 Moore	* 1	0	274,818	274,818	320,355
64 Nash	* 1	0	265,627	265,627	310,120
65 New Hanover	* 1	0	575,339	575,339	655,012
66 Northampton	* 1	0	96,637	96,637	121,935
67 Onslow	* 1	0	499,545	499,545	570,608
68 Orange	* 1	0	379,285	379,285	436,688
69 Pamlico	* 1	0	80,218	80,218	103,650
71 Person	* 1	0	190,623	190,623	226,596
73 Pender	* 1	0	140,950	140,950	171,281
74 Pitt	* 1	0	453,627	453,627	519,475
75 Polk	* 1	0	98,712	98,712	124,245
76 Randolph	* 1	0	375,917	375,917	432,938
77 Richmond	* 1	0	152,239	152,239	183,852
78 Robeson	* 1	0	347,676	347,676	401,489
79 Rockingham	* 1	0	257,527	257,527	301,100
80 Rowan	* 1	0	370,753	370,753	427,167
D5 R-P-M	* 0	0	0	0	0
82 Sampson	* 1	0	194,963	194,963	231,429
83 Scotland	* 1	0	130,852	130,852	160,036
84 Stanly	* 1	0	193,246	193,246	229,517
85 Stokes	* 1	0	155,119	155,119	187,059
86 Surry	* 1	0	215,649	215,649	254,465
87 Swain	* 1	0	82,667	82,667	106,378
D6 Toe River	* 1	0	267,023	267,023	340,316
88 Transylvania	* 1	0	129,429	129,429	158,451
90 Union	* 1	0	575,830	575,830	655,559
92 Wake	* 1	0	2,470,760	2,470,760	2,765,732
93 Warren	* 1	0	95,389	95,389	120,545
96 Wayne	* 1	0	332,078	332,078	384,116
97 Wilkes	* 1	0	208,142	208,142	246,105
98 Wilson	* 1	0	235,367	235,367	276,423
99 Yadkin	* 1	0	136,180	136,180	165,969
Totals		0	28,500,000	28,500,000	33,169,344

Sign and Date - DPH Program Administrator <i>Wickham</i> 2-22-2021	Sign and Date - DPH Section Chief <i>Sarah E. Dwyer</i>
Sign and Date - DPH Contracts Office <i>Greene Stuart</i> 2/23/2021	Sign and Date - DPH Budget Officer <i>Patricia</i> 2/26/2021

Month End Report

January 2021

Airport Staff		Airport Commission Members	
George Futralle	Rick	Roger Davis	Ricky Kennedy
John Raynor	Joe	Larry DeBoer	Bob Quinn
A.J. Warren	Allen	Dexter Edwards	Jerry Tanager

Hours of Operation

March October		November - February	
Sunday	10:00 am - 6:00 pm	Sunday	1:00 pm - 6:00 pm
Monday	7:00 am - 6:00 pm	Monday	7:00 am - 6:00 pm
Tuesday	7:00 am - 6:00 pm	Tuesday	7:00 am - 6:00 pm
Wednesday	7:00 am - 6:00 pm	Wednesday	7:00 am - 6:00 pm
Thursday	7:00 am - 6:00 pm	Thursday	7:00 am - 6:00 pm
Friday	7:00 am - 6:00 pm	Friday	7:00 am - 6:00 pm
Saturday	7:00 am - 6:00 pm	Saturday	7:00 am - 6:00 pm

FY 19 20		FY 20 21		Previous FY	
Av Gas		Av Gas		Total	
July	2,407.42	2,407.42	3,111.27	20,589.59	
Aug	2,591.37	2,591.37	3,111.27	9,285.35	
Sept	2,725.41	2,725.41	3,111.27	7,082.92	
Oct	2,725.41	2,725.41	3,111.27	12,981.03	
Nov	2,725.41	2,725.41	3,111.27	2,357.05	
Dec	2,725.41	2,725.41	3,111.27	3,354.64	
Jan	2,725.41	2,725.41	3,111.27	11,870.99	
Feb	2,725.41	2,725.41	3,111.27	9,678.99	
Mar	2,725.41	2,725.41	3,111.27	5,566.32	
Apr	2,725.41	2,725.41	3,111.27	3,739.27	
May	2,725.41	2,725.41	3,111.27	2,883.77	
June	2,725.41	2,725.41	3,111.27	2,063.44	
TOTAL	2,725.41	2,725.41	3,111.27	210,333.36	

Projects		Project #		\$ Amount	
Fuel Sales		1		58,695,562.00	
Aviation		2		1,122,000.00	
Other		3		1,122,000.00	
Total		6		60,939,562.00	

Subject Active		Subject Inactive	
Maintenance		Maintenance	
Aviation		Aviation	
Other		Other	
Total		Total	

Av-Gas Sales So Far This Fiscal Year	
Percentage of Total Fuel Sales	23%
Percentage sold to Trans Customers	89%
Percentage sold to DPL Based	41%
Average Avgat Gallons Sold Per Month = 2,120	
Jet-A Sales So Far This Fiscal Year	
Percentage of Total Fuel Sales	77%
Percentage sold to Trans Customers	8%
Percentage sold to DPL Based	92%
Average Jet-A Gallons Sold Per Month = 5,929	

Operations YTD Totals	
# Aircraft	1,071
# Passengers	183
July	107
Aug	107
Sept	107
Oct	107
Nov	107
Dec	107
Jan	107
Feb	107
Mar	107
Apr	107
May	107
June	107
Totals	834
Avg. Mth	268.1
Totals	3131
Avg. Mth	447.3

Other Miscellaneous Information	
Airport Commission meets 2nd Tuesday at 7PM	
Check out on a checkbook Duplin County Airport	
DPH Total Economic Impact is \$64,800,000.00	
2021 Projected Airport Revenue is \$25,125,657.10	



000037

Intake Detail Report

Print Date Monday, February 1, 2021

Duplin County
Animal Services
117 Middleton Cemetery Lane
Kenansville, NC 28349

Intake StartDate 1/1/2021 12 00 AM
Intake EndDate 1/31/2021 11 59 PM
Intake Type All
Intake SubType All
Species All
DOA All
Intake Status Completed

Jurisdiction 000098 All
Injury Cause All
PreAltered All
Site Name All
Age Group All
Animal Tag Type All

Animal#	Animal Name	Species	Breed	Age	Gender	Color	PreAltered	IntakeDate	Intake Type	PetID
ARN	Tag type	Size	Location / Sublocation	Altered	Danger	Danger Reason	S/N	By	Subtype	DOA

Davis

DUPLIN COUNTY ANIMAL SERVICES

Jan-21

CANINE ADOPTION FEE	\$	320.00
CANINE PULL FEE	\$	1,100.00
FELINE ADOPTION FEE	\$	35.00
FELINE PULL FEE	\$	480.00
LONNIE'S ANGELS 72-22065	\$	-
FIRST OFFENSE CITATIONS 76-24402	\$	400.00
SECOND OFFENSE CITATION 76-24402	\$	-
THIRD OFFENSE CITATION 76-24402	\$	-
HORSE/GOAT FEE	\$	75.00
CANINE VOUCHER 72-22061	\$	1,000.00
FELINE VOUCHER 72-22061	\$	160.00
RABVAC	\$	80.00
BITE INVESTIGATION	\$	-
BOARDING FEE	\$	-
BUILDING FUND 4381-34345	\$	10.14
DANGEROUS ANNUAL	\$	-
GENERAL DONATION	\$	-
JUDGEMENTS 4380-34347	\$	-
MISC 10-3438-410	\$	106.11
POTENTIALLY DANGEROUS ANNUAL	\$	-
OWNER SURRENDER EUTHANASIA	\$	-
OWNER SURRENDER TRANSPORT	\$	40.00
SURRENDER FEE 10-3438-410	\$	280.00
RECLAIM FEE	\$	-
RECLAIM LIVESTOCK FEE	\$	-
VET FEES	\$	187.86
TOTAL AMOUNT	\$	4,274.11

SIGNATURE
SIGNATURE
2-2-21

Case Detail

000039

Print Date Monday, February 1, 2021

Case Category	All	Case Result	All	Include Activities	False
Case Type	All	Case Result By	All	Include Conditions	False
Case SubType	All	Case Memo Type	All	Include Memos	False
Case Status	All	Include Case Address	False	Include Violations	False
Case Officer	All	Include Animal Info	False	Based On	Case Date/Time
Officer Site	All	Include Person Info	False	Date From	1/1/2021 12 00 AM
Case Jurisdiction	All	Include Animals	False	Date To	1/31/2021 11 59 PM
City	All	Include Persons	False		
Patrol Area	All				

Case#	Case Category	Case Type	Case Date/Time	Case Status	Case Officer	Case Jurisdiction	Case Result	Case Result Date/Time
Case Reference #		Case SubType	Reported Date/Time			Patrol Area	Case Result By	Case Review Date/Time

Print Date		Monday February 1, 2021			
Date From	1/1/2021 12 00 AM	Case Officer	All	Activity Result	All
Date To	1/31/2021 11 59 PM	Patrol Area	All	Activity Result By	All
Based on	Activity Close Date/Time	Danger	All	ActivityType	All
Priority	All	Case Type	All	Activity SubType	All
Site	All	Case SubType	All	Activity State	All
Case Category	All	Jurisdiction	All	Activity Owner	All
Case Status	All	Condition	All	Activity Updated by	All
X	Case Category	Y1	Activity Type	Y2	Activity Subtype

	0	1	1
	1	4	5
	0	1	1
	56	4	60
	2	0	2
	1	0	1
	1	0	1
	0	4	4
	11	1	12
	4	0	4
	13	2	15

	1	0	1
	3	1	4
	8	0	8
	3	0	3
	2	0	2
	1	6	7
	0	1	1
	107	25	132

	580	533	555	539	638	464
NEW RESIDENCE	6	5	10	6	5	2
NEW/ALTERED/NEW CONSTRUCTION	4	0	0	1	4	0
ADDITIONAL ADDITION/RENOVATION/UPFIT	4	4	2	4	5	4
MANUFACTURED/MODULAR HOMES	25	24	35	33	18	17
PORCHES/ARC/DECK/POOL/OTHER	6	8	14	6	4	6
RELOCATED BUILDING	1	0	1	0	0	0
STORAGE BLDG /DECK/PORCH	6	2	2	4	3	3
GENERAL ELECTRICAL	128	104	116	89	94	88
POULTRY/SWINE HOUSES	12	0	6	8	0	11
POOL BONDING	1	1	0	0	0	1
MECHANICAL	64	49	63	68	49	43
PLUMBING	54	59	70	57	43	30
GAS PIPING	17	23	15	11	11	3
INSULATION	0	0	2	0	0	0
TOTALS COLLECTED	30,227 14	32,845 32	33,168 20	29,331 48	22,814 60	20,166 12

DUPLIN COUNTY COMMUNICATIONS	2021	2020	2020	2020	2020	2020
	January	December	November	October	September	August
TOTAL # OF ADDRESSING RECORDS	48,346	48,317	48,237	48,236	48,232	48,199
TOTAL # OF ROADS, LANES & STREETS	2071	2070	2069	2067	2067	2067
TOTAL # TELEPHONE CO RECORD CHANGES	356	373	734	370	420	301
KEYING ACTIVITY REPORT	207	273	260	254	282	212
DAILY AVERAGE DOWNLOAD FROM CENTURYLINK	39	17	40	16	20	14
NEW ADDRESS ASSIGNED	34	83	33	20	33	23
RESIDENTIAL	24	73	26	17	25	17
BUSINESS	2	1	3	0	1	0
FARMS	2	1	1	0	0	2
OTHER	6	8	3	3	7	4
FIELD VERIFIED ADDRESS FOR PHONE CO/USPS	2	3	17	5	3	3
ADDRESS CHANGED	6	0	3	0	0	0
ROAD SIGNS INSTALLED OR REPAIRED	90	122	129	163	191	150
ROAD SIGNS MADE IN HOUSE	34	56	47	46	59	49
POST TAKEN FROM INVENTORY	4	8	30	3	11	5
AT NUMBERS (INTERSECTIONS)	41	61	63	76	73	65
MAPS MADE IN HOUSE	3	12	3	2	13	0
CENTERLINE WORK	17	9	6	59	141	4
ADDRESS POINTS	79	195	34	26	10	21
NUMBER OF 911 CALLS	6960	7238	7112	7804	7069	7524

Submitted by Melissa B Kennedy, February 1, 2021

2021		Name and Number of calls Dispatched through Communications												Totals
NAME OF DEPARTMENT	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec		
Albertson Fire	1												1	
Beulaville Fire	5												5	
Calypso Fire	9												9	
Chinquapin Fire	6												6	
Faison Fire	16												16	
Fountain Town Fire	8												8	
Greenevers Fire	3												3	
Harrells Fire	1												1	
Kenansville Fire	14												14	
Lyman Fire	5												5	
Magnolia Fire	14												14	
MtOlive Fire	3												3	
NC Forestry	3												3	
Northeast Fire	6												6	
Oak Wolfe Fire	6												6	
Pin Hook Fire	6												6	
Pink Hill Fire	1												1	
Pleasant Grove Fire	3												3	
Potters Hill Fire	2												2	
Pricetown Fire	1												1	
Rosehill Fire	16												16	
Sarecta Fire	8												8	
Teachey Fire	7												7	
Wallace Fire	19												19	
Warsaw Fire	22												22	
Fire incident numbers	139												139	
Radio Assignment	107												107	
Air Ambulance	5												5	
Chinquapin Vol EMS	9												9	
DC EMS	1006												1006	
Pleasant Grove Vol EMS	4												4	
EMS OCA	907												907	
Onslow Co EMS	0												0	
Pender Co EMS	1												1	
Sampson Co EMS	1												1	
Wayne Co EMS	0												0	
NAME OF DEPARTMENT	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Totals	

		Name and Number of calls Dispatched through Communications	
DC Sheriffs Office	2019		2019
Beulaville PD	202		202
KenansvillePD	90		90
Magnolia PD	101		101
Rose Hill PD	157		157
Wallace PD	97		97
Warsaw PD	329		329
Animal Control	80		80
DC Search & Rescue	0		0
Solid Waste	2		2
Calling Water Dept	5		5
DSS	30		30
NC DMV	0		0
Calling Magistrate	128		128
NC DOT	8		8
NC SHP	239		239
NC Wildlife	3		3
NC SBI	2		2
Probation & Parole	21		21
VIDANT Co Police	36		36
COUNTY PAID EMS			
	Jan	Feb	March
	April	May	June
	July	Aug	Sept
	Oct	Nov	Dec
	Total		

Duplin County Center
PO Box 949
Kenansville, NC 28349
Phone 910.296.2143
Fax 910.296.2191

Amanda Hatcher
County Extension Director
Livestock

Walter Adams
Agriculture &
Natural Resources Technician

Wanda Hargrove
Secretariat Agriculture
Livestock
Facilities Coordinator

James Hartsfield
Area Specialized Agent
Farm Management - NC 467
State

Tom Kroza
Horticulture

Bridget Huffman
1-H Youth Development

Charmae Kendall
4-H Program Assistant
Agriculture-Livestock

Della King
Agriculture - Field Crops

Sydney Knowles
Family & Consumer Sciences
Duplin and Sampson Counties

Stephanie McDonald-Murray
EFNEP Southeast District
2-H Livestock

Adam G. Ross
Livestock Forages
4-H Youth Livestock

Nicole Swanson
4-H EFNEP Expanded Food
and Nutrition Education
Program

Emily Walter
Secretariat Director 4-H
Family & Consumer Sciences

Jasmine Williams
4-H Prevention Coordinator

Notes from the Director

- Participated in county department board meeting and staff meeting
- Recorded county department update video
- Participated in virtual trainings for Year End Reporting training, National Association of County Agricultural Agents webinars, Southeast District Extension updates, Duplin and surrounding counties farm workers program update, NCSU forage training

Amanda Hatcher

Livestock

- Assisted in 4-H leadership program
- Conducted 6 hour continuing education class via Zoom for animal waste operators, waste water operators, and technical specialists with 88 in attendance
- Assisted with 10 hour animal waste operator in charge training via Zoom with 42 in attendance
- Delivered Covid PPE for farm workers and contacted farms regarding their PPE needs
- Assisted 46 farmers for nutrient management, 3 farmers for crop management and 37 farmers for farm management
- Face to face 3/16 and Non face to face 1-4/10

Amanda Hatcher, Livestock and Forages

Livestock

- January was mostly spent working on reporting for 2020, and meetings about the 2021 International Carcass Composting Symposium. Producers were served via phone call, text, and email
- Face to face 3-78 and Non face to face 685

Adam Ross, Livestock and Youth Livestock Agent

Field Crops

- Assisted with Regional Virtual Tobacco Production Grower Training
- Assisted Growers as requested
- Participated in Agent Virtual Trainings/Meetings
- Contacted farms regarding their PPE needs and delivered Covid PPE for farm workers
- Face to face contacts - and Non face to face contacts 2,146

Della King, Field Crops Agent

Horticulture

- After having a large crop of pecans we are finding out that not all of our pecans are grade A, No 1 pecans. We have a big portion that are not filled out completely. This can be caused by a number of things, but the most common causes are a lack of water when the nuts are filling out or a fertility issue. I have been encouraging everyone that can to get a soil test. At \$3.00 per pound in the shell it doesn't take many nuts to pay for a bag of fertilizer and a little water to help make a bumper crop
- We are encouraging people to take good care of their houseplants according to species for a number of reasons, including removing pollutants from the air, and pleasing aesthetics

Tom Kroza, Horticulture Agent

The Cultivator Duplin Cooperative Extension

Name and Number of calls Dispatched through Communications														
MD1														0
EMS 1	13													13
EMS 2	4													4
EMS 3	84													84
EMS 10	0													0
EMS 20	0													0
EMS 30	0													0
EMS 40	0													0
MED1 Warsaw	133													133
MED2 Wallace	172													172
MED3 Chinquapin	104													104
MED4 Calypso	62													62
MED5 Beulaville	128													128
MED6 Pleasant Grove	59													59
MED7 Rose Hill	143													143
MED8 Kenansville	104													104
MED9 (Spare)	0													0
MED 10 (Spare)	0													0
MED 11 (Spare)	0													0
	1006	0	0	0	0	0	0	0	0	0	0	0	0	1006
ES/FIRE MARSHAL														
FM 1	2													2
FM 2	10													10
FM 3	6													6
FM 4	0													0
ES OCA	11													11
CAD TOTALS	6960													6960
911 Trunk calls	2738													2738
Admin Line Calls	5913													5913
Total Phone calls	8651	0	0	0	0	0	0	0	0	0	0	0	0	8651

Britt Building
monthly
usage

January

Total attendance
for the events.
15

Total attendance
for the events.
177

Public events.
14

Private events.
1

North Carolina State University and
North Carolina A&T State
University jointly submit themselves to a
broader vision to achieve equal
opportunities regardless of race
after several national origin
disparities at a date after an afflu-
ent majority in addition the new
Universities welcome all persons
without regard to social
origin North Carolina State
University North Carolina A&T
State University Division Department
of Agriculture and local
governments operating

- Planning your garden can help you achieve your goals of having a garden that provides food, enjoyment, herbs for new tastes, and cleaner air
- Winter is a great time to contact your Extension Agent to determine where to plant natural screens, windbreaks, and plants that enhance the beauty of your farm or homestead
- Face to face contacts 15 and Non face to face contacts 720

Small Farms

- In January I had telephone conversations with small farmers and sent out program information and updates as follows
- Continued to assist with USDA and other farm programs for small and limited farmers
- Completed news article on Selecting Alternative Enterprises for Your Farm
- Attended virtual 2021 Updates to the One Stop Shop (OSS) system
- Attended virtual Annual Blueberry Open House and Trade Show
- Attended NC A&T virtual L E A D Team meeting
- Attended NC A&T virtual 4th Friday staff meeting
- Assisted farmer with forestry information
- Developed flyer for upcoming AgriShop series
- Face to face 3 and Non face to face contacts 6,200

Agriculture & Natural Resources

Walter Adams, Technician (Duplin/Lenoir)

- 1/5 - IPM Training
- 1/13 - Blueberry Open House Training
- 1/20 - Cotton, Peanut Training
- 1/22 - NC A&T meeting
- 1/28 - Corn Variety Selection webinar
- 1/29 - Wheat webinar
- Face to face 5 and Non face to face contacts 120

Family and Consumer Sciences (FCS)

Sydney Knowles, FCS Agent

- Filmed 6 At the Table shows this month. These will air in late January through the beginning of March. These shows are focused on healthy swaps or ways to make your favorite foods healthier. The February episodes are focused on National Heart Month, utilizing heart healthy recipes from the American Heart Association
- Began the Agents for Change program. This is a monthly leadership training that will enable me to rethink my programming to reach all county residents more effectively
- Attended the SafePlates Virtual Rollout meeting. SafePlates has been working on developing a curriculum that can be presented virtually so food managers and food handlers can receive their Retail Food Protection Manager Certification
- Attended the North Carolina Extension Association of Family & Consumer Sciences winter board meeting as the state VP of Public Affairs. During this meeting we discussed the upcoming association meeting, Dine In day efforts, and upcoming Living Well Month efforts
- Attended the virtual CED meeting where updates on vaccinations, youth programming, and testing were discussed
- Attended a training on Butchery Basics. During this training, I learned all about the different parts of an animal, what that meat is typically used for, and safe butchery practices for individuals who desire to do this themselves
- Face to face contacts 68 and Non face to face contacts 951
- Planned establishment of virtual education partnerships in various North Carolina communities for the months of February, March, and April

Nicole Swanson, Expanded Food and Nutrition Education Program (EFNEP)

- Planned establishment of virtual education partnerships in various North Carolina communities for the months of February, March, and April

- Prepared award nominations for EFNEP's 2020 award program in May 2021
- Attended monthly state supervision meetings with North Carolina State and North Carolina Agriculture & Technical State University
- Continued maintenance of Duplin 4-H EFNEP Facebook page for perspective videos and live classes - maintain social media presence on all platforms
- Attended monthly EFNEP district meetings/check-ins
- Attended curriculum team Zoom meeting. Duplin EFNEP is developing Google Classroom for teachers and students grades K-5-6-12
- Attended virtual monthly Chancellor Town Hall meetings for North Carolina State as well as county staff meetings
- Planned virtual lessons supporting nutrition education for People Advocating Needs (PAN) outreach program of youth and families
- Developed virtual lessons and programs to provide healthy recipes, virtual interactive lessons

4-H and Youth Development

Bridget Huffman, 4-H Agent

- The Duplin County 4-H Road Trip to Leadership Series continued in the month of January and was held on Monday, January 11 at 6:00 p.m. The youth completed Activities 4 and 5 in the curriculum and continued discussions on their leadership activities they will need to plan and implement in order to complete the series. The next workshop is planned for Monday, February 8 @ 6:00 p.m.
- The Southeast District 4-H Teen Winter Fling was held Saturday, January 23 virtually from 1:00-3:00 p.m. The two-hour event consisted of a business meeting and two workshops
- The NCAB 4-H YDP Winter Professional Meeting was held Thursday, January 28 virtually from 9:00am - 5:00pm. The day consisted of open and closing business meetings, numerous workshops, and committee meetings
- Also in the month of January, county staff updates, State Extension updates, and 4-H Friday Cafes via Zoom were held
- Face to face contacts 276 and Non face to face contacts 1,649

Charmae Kendall, 4-H Agriculture/Livestock Program Assistant

- Facilitated monthly club meetings
- Led Teen Leadership monthly program
- Assisted with spring livestock 4-H Projects
- Participated in several Zoom learning seminars
- Organized Coastal Chicken Project registrations & led orientation
- Attended virtual training to be a certified Youth Mental Health First Aider
- Face to face contacts 105 and Non face to face contacts 1,808

Jasmine Williams, 4-H Prevention Coordinator

- Completed monthly SYNAR in Duplin/Sampson/Wayne counties
- Attended webinars
 - Special Needs and the Child Find Project Process
 - Human Trafficking 101
 - The Story We Tell
 - Keeping Kids Out of Isolation and Engaged During Covid
 - Emotional Well-being
 - Collaborating to Address the Opioid Crisis Amidst a Pandemic: Partners in Prevention
 - Research Into Action: Perception of harm from e-cigarettes and support for a tobacco-free campus policy
 - Opioid Addiction
 - Substance Use Disorder and Teens: What do we need to know
 - Substance Use Disorders in Challenging, Changing Times
 - CINC: Unifying Prevention and Recovery by Addressing Social Determinants of Health
 - Prevention Ethics
 - The Marijuana Harm Gap: Busting Myths and Upending Popular Narrative with Contemporary Research
- Attended coalition meeting - JJCPC
- Attended
 - Quarterly Prevention Meeting
 - Meeting with state level Block Grant supervisors



COWAN MUSEUM OF HISTORY AND SCIENCE
October - December 2020 Monthly Report

Month	Attendance w/ Outreach	\$ Cash/ Donations	Volunteer Hrs.
July	30	0	0
Aug	31	\$20 00	0
Sep	19	0	3 5
Oct	39 (+500 for Trunk-or-Treat)	\$12 00	7 5
Nov	28	0	4
Dec	13	\$41 00	0
Jan 2021	18	\$55 00	0
TOTAL	678	\$128 00	15



❖ Buildings and Grounds

- There is still a leak in both chimneys of the Kelly-Farrior House
- Grotke believes that the problem lies with the chimneys and not the roof, as the roof has been looked at several times already
- Grotke called companies that specialize in chimneys to come to the museum for an assessment of the chimneys. One company responded and said that any handy man would be able to fix it
- Maintenance will see if they can fix the problem
- Grotke worked on getting updated quotes for the wheelchair access ramp replacement from Efficient Construction, Brian Braswell, Johnny Taylor, and Garrett Carr

❖ COVID-19 Matters

- All high touch surfaces in the museum were cleaned daily
- The floors are being mopped once per week

❖ Exhibits

- Exhibit units were cleaned
- Some exhibit labels were rewritten and/or reprinted and mounted
- Objects in the medical display were taken down, cleaned, and placed back on exhibit
- Artifacts on display in Unit 6 in the upstairs gallery were cleaned and remounted
- Grotke continued to work on the development of an outdoor display

❖ Joann Cowan Brown Botanical Garden

- Staff maintained the museum's gardens

❖ NC Science Museums Grant Program

- Skinner, Jasinski, and Grotke worked on the two science-based children's books that the museum will self-publish
- Ramirez translated the books to Spanish

❖ Collections

- The Maintenance Department assisted Anne Skinner with moving her loaned items to her storage unit across from the Water Department
- Completed the documentation of 2020 10, the Rhonda Adams collection. There are 172 papers in the collection, which have all been scanned, measured, condition recorded, inventoried, uploaded to the database, archivally housed, and assigned locations
- The collections storage room and storage spaces in the museum were cleaned
- Some artifacts were re-photographed for the database
- Two new acquisitions were cataloged and physically marked with B-67
- Grotke has continued to replace temporary content lists tied to artifact boxes with archival labels and adhesive polypropylene label holders. In some cases, pictures have been attached
- The inventory was updated with artifact location changes
- Grotke worked on artifact records in the database (PastPerfect)
- Some artifacts were repacked using polyethylene foam and polyethylene zip-top bags, archival foam, and acid-free tissue
- Put on exhibit a new loan item (a pipe stand with a humidor)

❖ Outreach, Education, and Events

- The "Tea and Art in the Garden" event will be pushed back to the fall of 2021, due to the pandemic still posing a risk
- Grotke began organizing an outdoor film series event for the spring
- Skinner forwarded info re black, female virologist, Kizzmekia Corbett, to DNA Community Youth Center and to Nicole Murray to share with STEM teachers
- Skinner found profiles of black, female mathematician, Gladys West, and physicist, Jessica Wade, and emailed them to Nicole Murray to share with teachers

❖ Professional Development

- Skinner attended a Zoom session, "Sustainability in Science and Technology Museums" on January 12, 2021

❖ Personnel

- Jennifer Ramirez was hired as Museum Assistant and she started work in January. In addition to staff support, she will interpret exhibit labels and programs to Spanish
- Grotke has been training Ramirez in artifact handling and documentation

❖ General

- Updated the website
- Jasinski posted items of interest on Facebook
- Did artifact research
- Department, object, and legal accession files were organized
- Office and archival supplies were ordered and organized
- P-Card reports and sign-offs were done
- Wrote a script and took pictures for the Library's Departments of Duplin County video
- The museum's new computer arrived and an IT set it up

000105

DECEMBER 2020 BUDGET VS. ACTUAL

	ACCOUNT DESCRIPTION	ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	AVAILABLE BUDGET	% USED
REVENUE	10 GENERAL FUND	-59,716,771 00	-65,308,349 86	-36,911,704 23	-28,396,645 63	57%
EXPENSE	10 GENERAL FUND	59,716,771 00	65,308,349 86	27,907,034 71	35,127,136 31	43%
REVENUE	19 EMERGENCY TELEPHONE	-425,970 00	-425,970 00	-145,969 54	-280,000 46	34%
EXPENSE	19 EMERGENCY TELEPHONE (911-FUND)	425,970 00	425,970 00	164,188 53	107,762 47	39%
REVENUE	21 CAPITAL RESERVE	0 00	0 00	-343 81	343 81	#DIV/0!
EXPENSE	21 CAPITAL RESERVE (COUNTY CAPITAL RESERVE FUNDING)	0 00	0 00	0 00	0 00	#DIV/0!
REVENUE	22 SCHOOL CAPITAL	-3,152,771 00	-3,314,218 94	-849,542 31	-2,464,676 63	26%
EXPENSE	22 SCHOOL CAPITAL (SALES TAX REVENUE FOR PUBLIC SCHOOL CAPITAL)	3,152,771 00	3,314,218 94	1,033,885 66	2,280,333 28	31%
REVENUE	24 AUTOMATION PRESERVATION	-19,000 00	-33,537 00	-5,704 00	-27,833 00	17%
EXPENSE	24 AUTOMATION PRESERVATION (ROD AUTOMATION AND PRESERVATION RESERVE G S § 161-11 3)	19,000 00	33,537 00	2,744 40	19,581 60	8%
REVENUE	25 PROPERTY REVALUATION	-305,889 00	-476,389 00	-101 97	-476,287 03	0%
EXPENSE	25 PROPERTY REVALUATION (REAPPRAISAL RESERVE G S § 153A-150)	305,889 00	476,389 00	190,709 71	166,691 07	40%
REVENUE	26 ECONOMIC DEVELOPMENT	0 00	-479,155 24	-139,926 09	-339,229 15	29%
EXPENSE	26 ECONOMIC DEVELOPMENT (COMMUNITY DEVELOPMENT LOANS)	0 00	479,155 24	18,700 00	460,455 24	4%
REVENUE	27 SCHOOL PLANNING ALLOCATION	0 00	-1,174,634 29	0 00	-1,174,634 29	0%
EXPENSE	27 SCHOOL PLANNING ALLOCATION (LOTTERY FUNDING FOR PUBLIC SCHOOL CAPITAL)	0 00	1,174,634 29	0 00	1,174,634 29	0%
REVENUE	28 FIRE TAX	-2,906,300 00	-2,906,300 00	-1,599,113 93	-1,307,186 07	55%
EXPENSE	28 FIRE TAX	2,906,300 00	2,906,300 00	1,650,941 08	1,255,358 92	57%
REVENUE	29 TOURISM	-271,000 00	-271,000 00	-87,390 71	-183,609 29	32%
EXPENSE	29 TOURISM	271,000 00	271,000 00	64,667 71	176,232 29	24%
REVENUE	30 DEBT SERVICE	-4,630,860 00	-4,630,860 00	-1,029,728 01	-3,601,131 99	22%

DECEMBER 2020 BUDGET VS. ACTUAL

	ACCOUNT DESCRIPTION	ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	AVAILABLE BUDGET	% USED
EXPENSE	30 DEBT SERVICE (DEBT SERVICE FUND FOR GENERAL GOVERNMENT FUNDS)	4,630,860 00	4,630,860 00	1,607,991 88	3,022,868 12	35%
REVENUE	31 GRANT PROJECTS	-6,113,721 50	-6,113,721 50	0 00	-6,113,721 50	0%
EXPENSE	31 GRANT PROJECTS (GRANT PROJECTS NOT TIED TO A CAPITAL PROJECT)	6,113,721 50	6,113,721 50	98,368 00	5,847,162 50	2%
REVENUE	33 COMMUNITY DEVELOPMENT	-150,000 00	-321,465 00	-183,215 00	-138,250 00	57%
EXPENSE	33 COMMUNITY DEVELOPMENT	150,000 00	321,465 00	183,215 00	33,765 00	57%
REVENUE	34 CDBG 15 I PROJECT	331,712 57	-662,725 00	-662,725 00	0 00	100%
EXPENSE	34 CDBG 15 I PROJECT	0 00	662,725 00	662,725 00	0 00	100%
REVENUE	35 CDBD12-C	0 00	-1,127,828 83	-1,127,828 83	0 00	100%
EXPENSE	35 CDBD12-C	0 00	1,127,828 83	1,127,828 83	0 00	100%
REVENUE	36 CDBDSSH12	0 00	-225,000 00	-101,771 17	-123,228 83	45%
EXPENSE	36 CDBDSSH12	0 00	225,000 00	101,771 17	123,228 83	45%
REVENUE	42 INDUSTRIAL EXPANSION	0 00	-55,200 00	-55,200 57	0 57	100%
EXPENSE	42 INDUSTRIAL EXPANSION (ECONOMIC DEVELOPMENT GRANT PROJECT FUND)	0 00	55,200 00	0 00	55,200 00	0%
REVENUE	44 AIRPORT CAPITAL	-5,446,000 00	-8,956,628 00	-935,359 90	-8,021,268 10	10%
EXPENSE	44 AIRPORT CAPITAL	5,459,246 20	8,956,628 00	1,275,210 14	3,273,425 84	14%
REVENUE	45 CAPITAL PROJECTS	-565,803 00	-972,573 00	-176,302 85	-796,270 15	18%
EXPENSE	45 CAPITAL PROJECTS (GENERAL GOVERNMENT CAPITAL PROJECTS)	532,415 00	972,573 00	517,669 17	-964,825 10	53%
REVENUE	46 WATER CAPITAL	-235,000 00	-235,000 00	0 00	-235,000 00	0%
EXPENSE	46 WATER CAPITAL	235,000 00	235,000 00	0 00	235,000 00	0%
REVENUE	61 WATER	-3,128,248 00	-3,128,248 00	-1,917,780 23	-1,210,467 77	61%

DECEMBER 2020 BUDGET VS. ACTUAL

ACCOUNT DESCRIPTION		ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	AVAILABLE BUDGET	% USED
EXPENSE	61 WATER	3,128,248 00	3,128,248 00	674,441 41	2,388,492 20	22%
REVENUE	62 WATER DEBT SERVICE	-1,092,288 00	-1,092,288 00	-3,000 00	-1,089,288 00	0%
EXPENSE	62 WATER DEBT SERVICE	1,092,288 00	1,092,288 00	247,643 75	844,644 25	23%
REVENUE	64 TRANSPORTATION	-1,046,278 00	-1,604,929 00	-438,320 97	-1,166,608 03	27%
EXPENSE	64 TRANSPORTATION	1,046,278 00	1,604,929 00	325,615 36	1,196,668 90	20%
REVENUE	65 AIRPORT	-862,545 00	-1,362,545 00	-764,575 65	-597,969 35	56%
EXPENSE	65 AIRPORT	862,545 00	1,362,545 00	280,439 34	810,970 20	21%
REVENUE	66 SOLID WASTE	-3,883,483 00	-3,889,814 41	-2,026,806 96	-1,863,007 45	52%
EXPENSE	66 SOLID WASTE	3,883,483 00	3,889,814 41	1,533,196 56	1,413,776 38	39%
REVENUE	89 INSURANCE	-6,654,354 00	-6,654,354 00	-2,677,134 06	-3,977,219 94	40%
EXPENSE	89 INSURANCE	6,654,354 00	6,654,354 00	2,600,078 38	4,054,275 62	39%
REVENUES		-100,937,994 07	-115 422,734 07	-51,839,545 79	-63,583,188 28	
EXPENDITURES		100,586,139 70	115,422,734 07	42,269,065 79	63,102,838 21	

JANUARY 2021 BUDGET VS. ACTUAL

ACCOUNT DESCRIPTION		ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	AVAILABLE BUDGET	% USED
REVENUE	10 GENERAL FUND	-59,716,771 00	-65,346,839 37	-43,371,446 90	-21,975,392 47	66%
EXPENSE	10 GENERAL FUND	59,716,771 00	65,346,839 37	32,061,096 89	31,152,546 17	49%
REVENUE	19 EMERGENCY TELEPHONE	-425,970 00	-425,970 00	-175,156 59	-250,813 41	41%
EXPENSE	19 EMERGENCY TELEPHONE (911-FUND)	425,970 00	425,970 00	170,952 46	100,998 54	40%
REVENUE	21 CAPITAL RESERVE	0 00	0 00	-343 81	343 81	#DIV/0!
EXPENSE	21 CAPITAL RESERVE (COUNTY CAPITAL RESERVE FUNDING)	0 00	0 00	0 00	0 00	#DIV/0!
REVENUE	22 SCHOOL CAPITAL	-3,152,771 00	-3,314,218 94	-1,129,055 27	-2,185,163 67	34%
EXPENSE	22 SCHOOL CAPITAL (SALES TAX REVENUE FOR PUBLIC SCHOOL CAPITAL)	3,152,771 00	3,314,218 94	1,414,240 05	1,899,978 89	43%
REVENUE	24 AUTOMATION PRESERVATION	-19,000 00	-33,537 00	-11,171 29	22,365 71	33%
EXPENSE	24 AUTOMATION PRESERVATION (ROD AUTOMATION AND PRESERVATION RESERVE G S § 161-11 3)	19,000 00	33,537 00	2,826 92	18,322 22	8%
REVENUE	25 PROPERTY REVALUATION	-305,889 00	-476,389 00	-108 10	-476,280 90	0%
EXPENSE	25 PROPERTY REVALUATION (REAPPRAISAL RESERVE G S § 153A-150)	305,889 00	476,389 00	218,197 79	154,412 99	46%
REVENUE	26 ECONOMIC DEVELOPMENT	0 00	-479,155 24	-168,857 89	-310,297 35	35%
EXPENSE	26 ECONOMIC DEVELOPMENT (COMMUNITY DEVELOPMENT LOANS)	0 00	479,155 24	18,700 00	460,455 24	4%
REVENUE	27 SCHOOL PLANNING ALLOCATION	0 00	-1,174,634 29	0.00	-1,174,634 29	0%
EXPENSE	27 SCHOOL PLANNING ALLOCATION (LOTTERY FUNDING FOR PUBLIC SCHOOL CAPITAL)	0 00	1,174,634 29	0 00	1,174,634 29	0%
REVENUE	28 FIRE TAX	-2,906,300 00	-2,906,300 00	-1,951,890 29	-954,409 71	67%
EXPENSE	28 FIRE TAX	2,906,300 00	2,906,300 00	2,117,839 57	788,460 43	73%
REVENUE	29 TOURISM	-271,000 00	-271,000 00	-104,027 35	-166,972 65	38%
EXPENSE	29 TOURISM	271,000 00	271,000 00	82,503 36	159,675 89	30%
REVENUE	30 DEBT SERVICE	-4,630,860 00	-4,630,860 00	-1,029,733 45	-3,601,126 55	22%

JANUARY 2021 BUDGET VS. ACTUAL

	ACCOUNT DESCRIPTION	ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	AVAILABLE BUDGET	% USED
EXPENSE	30 DEBT SERVICE (DEBT SERVICE FUND FOR GENERAL GOVERNMENT FUNDS)	4,630,860 00	4,630,860 00	1,607,991 88	3,022,868 12	35%
REVENUE	31 GRANT PROJECTS	-6,113,721 50	-6,113,721 50	0 00	-6,113,721 50	0%
EXPENSE	31 GRANT PROJECTS (GRANT PROJECTS NOT TIED TO A CAPITAL PROJECT)	6,113,721 50	6,113,721 50	100,598 00	5,825,862 50	2%
REVENUE	33 COMMUNITY DEVELOPMENT	-150,000 00	-321,465 00	-183,215 00	-138,250 00	57%
EXPENSE	33 COMMUNITY DEVELOPMENT	150,000 00	321,465 00	183,215 00	33,765 00	57%
REVENUE	34 CDBG 15 I PROJECT	-331,712 57	-662,725 00	-662,725 00	0 00	100%
EXPENSE	34 CDBG 15 I PROJECT	0 00	662,725 00	662,725 00	0 00	100%
REVENUE	35 CDBD12-C	0 00	-1,127,828 83	-1,127,828 83	0 00	100%
EXPENSE	35 CDBD12-C	0 00	1,127,828 83	1,127,828 83	0 00	100%
REVENUE	36 CDBDSSH12	0 00	-225,000 00	-101,771 17	-123,228 83	45%
EXPENSE	36 CDBDSSH12	0 00	225,000 00	101,771 17	123,228 83	45%
REVENUE	42 INDUSTRIAL EXPANSION	0 00	-55,200 00	-60,200 61	5,000 61	109%
EXPENSE	42 INDUSTRIAL EXPANSION (ECONOMIC DEVELOPMENT GRANT PROJECT FUND)	0 00	55,200 00	0 00	55,200 00	0%
REVENUE	44 AIRPORT CAPITAL	-5,446,000 00	-8,956,628 00	-935,360 01	-8,021,267 99	10%
EXPENSE	44 AIRPORT CAPITAL	5,459,246 20	8,956,628 00	1,317,248 84	3,273,425 84	15%
REVENUE	45 CAPITAL PROJECTS	-565,803 00	-972,573 00	-176,302 85	-796,270 15	18%
EXPENSE	45 CAPITAL PROJECTS (GENERAL GOVERNMENT CAPITAL PROJECTS)	532,415 00	972,573 00	647,162 44	-1,091,343 42	67%
REVENUE	46 WATER CAPITAL	-235,000 00	-235,000 00	0 00	-235,000 00	0%
EXPENSE	46 WATER CAPITAL	235,000 00	235,000 00	0 00	235,000 00	0%
REVENUE	61 WATER	-3,128,248 00	-3,128,248 00	-2,229,653 98	-898,594 02	71%

JANUARY 2021 BUDGET VS. ACTUAL

	ACCOUNT DESCRIPTION	ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	AVAILABLE BUDGET	% USED
EXPENSE	61 WATER	3,128,248 00	3,128,248 00	778,578 55	2,287,786 43	25%
REVENUE	62 WATER DEBT SERVICE	-1,092,288 00	-1,092,288 00	-3,000 00	-1,089,288 00	0%
EXPENSE	62 WATER DEBT SERVICE	1,092,288 00	1,092,288 00	247,643 75	844,644 25	23%
REVENUE	64 TRANSPORTATION	-1,046,278 00	-1,604,929 00	-555,918 26	-1,049,010 74	35%
EXPENSE	64 TRANSPORTATION	1,046,278 00	1,604,929 00	377,064 83	1,146,483 13	23%
REVENUE	65 AIRPORT	-862,545 00	-1,362,545 00	-793,833 68	-568,711 32	58%
EXPENSE	65 AIRPORT	862,545 00	1,362,545 00	313,209 21	790,767 70	23%
REVENUE	66 SOLID WASTE	-3,883,483 00	-3,889,814 41	-2,431,523 64	-1,458,290 77	63%
EXPENSE	66 SOLID WASTE	3,883,483 00	3,889,814 41	1,923,341 05	1,263,007 43	49%
REVENUE	89 INSURANCE	-6,654,354 00	-6,654,354 00	-3,159,002 77	-3,495,351 23	47%
EXPENSE	89 INSURANCE	6,654,354 00	6,654,354 00	3,119,228 68	3,535,125 32	47%
	REVENUES	-100,937,994 07	-115,461,223 58	-60,362,126 74	-55,099,096 84	
	EXPENDITURES	100,586,139 70	115,461,223 58	48,593,964 27	57,255,305 79	

CASH BALANCES FY 2021											
	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL	MAY
FIRST BANK											
CENTRAL DEPOSITORY	3 193 166 14	5 571 408 20	2 572 775 96	852 152 71	2 357 640 34	1 791 112 76	2 175 581 46				
MONEY MARKET ACCOUNT	1 036 744 76	1137 085 46	1 047 435 56	637 797 74	21 544 472 60	14 051 453 37	9 791 458 76				
DSS TRUST	47 432 58	47 349 43	41 1114 26	42 772 49	73 911 90	33 648 74	41 486 74				
TOURISM	46 173 62	51 463 06	71 146 73	11 152 17	77 234 06	77 356 72	64 101 02				
FSA ACCOUNT***	30 363 43	30 363 43	30 167 36								
TOTAL CASH	4,353,879 83	6 743,679 58	3 752,491 85	2,967 797 92	26,009,288 93	28 949 485 49	30 333 728 01				
NC CAPITAL MANAGEMENT TRUST											
GENERAL FUND	3 406 862 49	8 096 296 09	6 220 001 99	4 512 759 1	1 677 796 71	398 312 73	1 615 118 97				
GENERAL FUND TERM	5 358 860 49	14 354 441 81	19 359 879 51								
AIRPORT	307 370 47	762 381 61	307 387 17	707 384 34	362 392 43	4 240 67 17	777 587 57				
PROPERTY REVALUATION	721 345 45	721 374 76	721 387 79	721 384 57	721 400 58	721 406 71	721 417 94				
COUNTY BUDGETED TRUST FUND	158 937 06	158 937 06	158 937 06	158 937 06	158 937 06	158 937 06	158 937 06				
SOLID WASTE	1 436 197 10	1 436 197 10	1 436 175 25	2 569 246 87	2 569 246 87	2 569 246 87	2 569 246 87				
SOLID WASTE TERM POST											
LIQUIDITY RESERVE	132 994 13	1 132 994 52	1 132 994 56	671 342 4	671 342 06	671 342 0	671 342 07				
TOURISM	631 377 36	631 377 36	631 377 36								
WATER FUND	9 375 378 51	9 375 378 12	9 375 896 62	9 375 476 24	4 126 061 91	3 126 111	3 126 111 73				
COMMUNITY DEVELOPMENT	1 494 985 40	1 495 039 84	1 495 066 86	1 495 081 06	1 495 093 35	1 495 106 06	1 495 118 76				
INSURANCE FUND	220 777 05	220 786 09	220 789 08	220 791 18	220 793 00	220 794 98	220 796 8				
CAPITAL RESERVE											
SCHOOLS	4 335 314 46	4 589 159 89	4 901 777 26	5 198 140 12	5 487 261 3	5 774 413	6 071 321 05				
EMERGENCY TELEPHONE	429 332 51	429 348 71	429 355 41	429 361 71	429 367 44	429 373 17	429 378 94				
TRANSPORTATION	1 177 777 11	1 177 834 31	1 177 894 31	1 177 954 50	1 177 955 18	1 177 961 4	1 177 967 16				
CAPITAL RESERVE	2 465 384 08	2 465 473 86	2 465 518 41	2 465 547 84	2 465 587 11	2 465 627 5	2 465 671 99				
DUPLIN COMMONS CAPITAL RESERVE	640 484 12	640 484 12	640 484 12	640 484 12	640 484 12	640 484 12	640 484 12				
BNY MELLON INTEREST	86 22	86 22	1 029 723 67	1 74	1 79	1 79	1 73				
BNY MELLON PRINCIPAL	146 45	146 45	146 45	146 45	146 45	146 45	146 45				
TOTAL CASH	37,238,425 44	47 183 022 08	51,560,789 93	29,869 501 67	27 283,288 78	28 289 060 91	27 785 564 01				
BB&T											
BOND ACCOUNT	5 00	5 00	5 00	5 00	5 00	5 00	5 00				
INSURANCE CLAIMS											
ACCOUNT***	342 352 68	290 561 96	290 036 78	295 597 65	295 341 45	295 563 37	35 547 92				
TOTAL CASH	342,357 68	290,566 36	290,040 78	295 540 65	295 348 45	295 568 87	295 548 92	5 00	5 00	5 00	5 00
GRAND TOTAL	41 934 782 95	54 217 268 02	55,693 322 56	33,152,840 24	52,587 682 16	55 534,095 27	58 414,840 94	5 00	5 00	5 00	5 00

The NCMT board voted to close the term portfolio. The funds available were transferred to the respective fund accounts for General fund and Solid Waste.

The First Bank FSA account was closed and transferred to the central depository. The unused FSA funds will be used to offset future FSA fee expense, one per IPS rider.

FY 2021

SALES TAX REPORT FOR THE PURCHASE MONTH OF SEPTEMBER RECEIVED IN DECEMBER

ARTICLE 39

PURCHASE MONTH	DISTRIBUTION MONTH	FY 21 ACTUAL	FY 20 ACTUAL	FY 19 ACTUAL
JULY	OCTOBER	427 182 25	371 916 14	320 661 40
AUGUST	NOVEMBER	392 404 62	360 620 63	337 430 33
SEPTEMBER	DECEMBER	405 011 20	349 733 50	321 559 57
OCTOBER	JANUARY	384 453 05	312 806 25	287 758 64
NOVEMBER	FEBRUARY	351 358 13	324 260 21	373 722 18
DECEMBER	MARCH	-	385 470 62	335 552 24
JANUARY	APRIL	-	308 735 82	317 560 53
FEBRUARY	MAY	-	334 656 45	369 148 66
MARCH	JUNE	-	395 814 23	398 482 84
APRIL	JULY	-	358 972 48	384 887 66
MAY	AUGUST	-	423 459 22	377 800 97
JUNE	SEPTEMBER	-	434 452 58	383 328 15
	ARTICLE TOTAL	1,960,409 25	4,360,898 13	4,207,893 17

ARTICLE 40

PURCHASE MONTH	DISTRIBUTION MONTH	FY 21 ACTUAL	FY 20 ACTUAL	FY 19 ACTUAL
JULY	OCTOBER	270 611 54	246 798 95	215 442 99
AUGUST	NOVEMBER	249 665 05	245 993 68	221 351 98
SEPTEMBER	DECEMBER	264 679 48	236 541 36	223 653 34
OCTOBER	JANUARY	258 943 88	244 160 94	220 292 87
NOVEMBER	FEBRUARY	282 949 70	243 112 19	239 011 17
DECEMBER	MARCH	-	257 850 17	266 924 52
JANUARY	APRIL	-	211 142 30	194 408 23
FEBRUARY	MAY	-	214 412 24	201 940 93
MARCH	JUNE	-	246 009 32	259 957 65
APRIL	JULY	-	212 725 85	241 387 67
MAY	AUGUST	-	243 062 32	249 539 14
JUNE	SEPTEMBER	-	287 097 44	260 788 10
	ARTICLE TOTAL	1,326,849 65	2,888,906 76	2,794,678 58

ARTICLE 42

PURCHASE MONTH	DISTRIBUTION MONTH	FY 21 ACTUAL	FY 20 ACTUAL	FY 19 ACTUAL
JULY	OCTOBER	21 433 94	10 160 81	11 214 42
AUGUST	NOVEMBER	21 223 96	6 219 79	3 604 01
SEPTEMBER	DECEMBER	17 808 56	9 497 24	8 512 13
OCTOBER	JANUARY	10 615 56	(18 538 74)	(12 267 14)
NOVEMBER	FEBRUARY	10 746 11	(8 969 02)	13 878 99
DECEMBER	MARCH	-	11 121 96	10 600 77
JANUARY	APRIL	-	8 700 50	19 738 05
FEBRUARY	MAY	-	19 674 08	46 067 86
MARCH	JUNE	-	37 655 00	14 696 86
APRIL	JULY	-	39 087 83	19 661 96
MAY	AUGUST	-	47 847 01	8 899 47
JUNE	SEPTEMBER	-	13 404 63	8 899 88
	ARTICLE TOTAL	81,828 12	175,861 09	153,507 27

ARTICLE 44					
PURCHASE MONTH	DISTRIBUTION MONTH	FY 21 ACTUAL	FY 20 ACTUAL	FY 19 ACTUAL	
JULY	OCTOBER	253 14	-	29 32	
AUGUST	NOVEMBER	44 21	21 51	17 88	
SEPTEMBER	DECEMBER	10 14	37 08	30 97	
OCTOBER	JANUARY	15 51	(9 96)	20 50	
NOVEMBER	FEBRUARY	(22 36)	15 43	17 92	
DECEMBER	MARCH	-	(62 60)	61 66	
JANUARY	APRIL	-	29 53	4 90	
FEBRUARY	MAY	-	64 55	13 56	
MARCH	JUNE	-	-	73 43	
APRIL	JULY	-	28 45	66 18	
MAY	AUGUST	-	12 46	25 76	
JUNE	SEPTEMBER	-	36 19	11 22	
	ARTICLE TOTAL	300 64	172 64	373 30	

	FY 21 BUDGET	FY 21 RECEIPTS	FY 21 ESTIMATE	FY 20 RECEIPTS
ARTICLE 39	2,915,743 00	1,474,281 07	3,538,274 57	3,297,032 66
ARTICLE 40	2,710,431 00	1,326,849 65	3,184,439 15	2,888,906 76
ARTICLE 42	94,767 00	81,828 12	196,387 49	175,861 09
ARTICLE 44	-	300 64	721 54	172 64
ARTICLE 44-524	1,511,247 00	698,893 44	1,677,344 26	1,620,761 23
	7,232,188 00	3,582,152 92	8,597,167 00	7,982,734 38

ARTICLE 44-524					
PURCHASE MONTH	DISTRIBUTION MONTH	FY 21 ACTUAL	FY 20 ACTUAL	FY 19 ACTUAL	
JULY	OCTOBER	139,634 41	134,608 83	125,841 53	
AUGUST	NOVEMBER	139,815 46	134,645 17	125,955 78	
SEPTEMBER	DECEMBER	139,815 46	134,645 17	125,955 78	
OCTOBER	JANUARY	139,815 46	134,645 17	125,955 78	
NOVEMBER	FEBRUARY	139,812 65	134,654 64	125,950 75	
DECEMBER	MARCH	-	134,654 64	125,950 75	
JANUARY	APRIL	-	134,654 64	125,950 75	
FEBRUARY	MAY	-	134,654 64	125,950 75	
MARCH	JUNE	-	134,654 64	125,950 74	
APRIL	JULY	-	134,654 64	125,950 74	
MAY	AUGUST	-	134,654 64	125,950 74	
JUNE	SEPTEMBER	-	139,634 41	134,608 83	
	ARTICLE TOTAL	698,893 44	1,620,761 23	1,519,972 92	

CITY HOLD HARMLESS					
PURCHASE MONTH	DISTRIBUTION MONTH	FY 21 ACTUAL	FY 20 ACTUAL	FY 19 ACTUAL	
JULY	OCTOBER	(100,004 97)	(92,920 89)	(80,298 79)	
AUGUST	NOVEMBER	(90,917 30)	(92,573 77)	(84,402 70)	
SEPTEMBER	DECEMBER	(96,637 64)	(88,150 97)	(82,428 38)	
OCTOBER	JANUARY	(95,698 71)	(95,781 46)	(85,599 16)	
NOVEMBER	FEBRUARY	(102,869 56)	(93,251 13)	(90,160 96)	
DECEMBER	MARCH	-	(96,113 89)	(98,379 91)	
JANUARY	APRIL	-	(78,373 42)	(72,124 85)	
FEBRUARY	MAY	-	(77,978 22)	(69,869 19)	
MARCH	JUNE	-	(84,643 01)	(96,891 29)	
APRIL	JULY	-	(73,119 66)	(89,714 63)	
MAY	AUGUST	-	(83,813 86)	(94,450 24)	
JUNE	SEPTEMBER	-	(107,145 19)	(97,542 23)	
	ARTICLE TOTAL	(486,128 18)	(1,063,865 47)	(1,041,862 33)	
	GRAND TOTAL	3,582,152 92	7,982,734 38	7,634,562 91	

FY 21 INSURANCE CLAIMS REPORT

MONTHLY PAYMENT TO NCHIP RESERVE

DRAFT MONTH	AMOUNT	COVERED EMPLOYEES
JULY	520,874.06	545
AUGUST	516,047.47	549
SEPTEMBER	480,438.62	497
OCTOBER	511,734.54	533
NOVEMBER	512,763.24	533
DECEMBER	513,633.67	535
JANUARY	522,061.02	550
FEBRUARY	535,592.28	558
MARCH		
APRIL		
MAY		
JUNE		

538 AVERAGE LIVES COVERED

4,113,144.90

BRITTON BENEFITS RUN OUT CLAIMS

1/7/2021	1,918.93	BRITTON BENEFITS	FINAL CLAIM FROM PRIOR YEAR
12/10/2020	7,092.68	BRITTON BENEFITS	CLAIMS FROM PRIOR YEAR
11/19/2020	3,335.64	BRITTON BENEFITS	CLAIMS FROM PRIOR YEAR
10/22/2020	7,406.18	BRITTON BENEFITS	CLAIMS FROM PRIOR YEAR
10/6/2020	6,491.71	BRITTON BENEFITS	CLAIMS FROM PRIOR YEAR
9/18/2020	5,229.50	BRITTON BENEFITS	CLAIMS FROM PRIOR YEAR
8/10/2020	33,705.00	BRITTON BENEFITS	ADMINISTRATIVE FEES JULY - DEC 2020
	65,179.65		

TOTAL EXPENDITURES YTD
BUDGET
AVAILABLE BUDGET
PROJECTED EXPENDITURES

4,178,324.55
6,509,454.00
2,331,129.45
6,234,897.00

WEEKLY CLAIMS PAID FROM NCHIP RESERVE

7/3/2020	124,200.00
7/10/2020	8,606.78
7/24/2020	20,036.93
7/30/2020	18,545.46
8/14/2020	144,481.18
8/7/2020	25,489.12
8/21/2020	84,442.02
8/21/2020	(20,000.00) WELLNESS INCENTIVE CREDIT
8/28/2020	70,242.67
9/11/2020	54,212.65
9/18/2020	170,988.27
9/25/2020	166,679.32
10/2/2020	76,171.03
10/9/2020	73,468.93
10/16/2020	72,050.79
10/23/2020	168,900.00
10/30/2020	149,038.26
11/6/2020	73,948.35
11/13/2020	62,611.59
11/20/2020	124,346.52
11/27/2020	83,987.43
12/4/2020	553,326.48
12/11/2020	\$103,460.70
12/18/2020	\$68,979.92

FY 21 INSURANCE CLAIMS REPORT

12/25/2020	\$71,041.03
1/1/2021	\$128,620.18
1/8/2021	\$78,318.94
1/15/2021	\$97,125.60
1/22/2021	\$170,321.18
1/29/2021	\$210,113.89
2/5/2021	\$119,456.79
2/12/2021	\$92,663.22
2/19/2021	
2/26/2021	
3/5/2021	
3/12/2021	
3/19/2021	
3/26/2021	
4/2/2021	
4/9/2021	
4/16/2021	
4/23/2021	
4/30/2021	
5/7/2021	
5/14/2021	
5/21/2021	
5/28/2021	
6/4/2021	
6/11/2021	
6/18/2021	
6/25/2021	
6/30/2021	

TOTAL CLAIMS PAID YTD

2,945,860.23

PROJECTED CLAIMS PAID FROM RESERVE

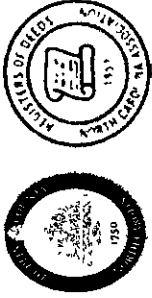
4,941,442.97

PROJECTED NCHIP RESERVE

1,293,454.03



Office of the
DUPLIN COUNTY REGISTER OF DEEDS
Anita Marie Savage, Register of Deeds
Post Office Box 970, 118 Duplin Street, Kenansville, NC 28349
Telephone (910) 296-2108 Fax (910) 296-2344
anita.savage@duplincountync.com



MONTHLY REPORT FOR JANUARY 2021

Recording Fees	\$13,720.00
Excise Stamps	\$21,057.00
Marriage License	\$1,200.00
Marriage Certificates	\$550.00
Birth Certificates	\$1,740.00
Death Certificates	\$3,900.00
UCC	\$318.00
Notary Fees	\$190.00
Copies	\$175.50
Miscellaneous	\$332.50
Gross Monthly Revenues	\$43,183.00
Less Escrow Account Total	\$490.25
Total Deposit for Period	\$42,692.75
State Treasurer 161-50.2	\$327.41
NC State Treasurer 161.11.5	\$2,101.80
Children's Trust Fund	\$100.00
Domestic Violence Fund	\$600.00
Excise Stamp/Dept of Revenue	\$10,528.50
State QVS Fees/Expedite Fees	\$0.00
\$1.00 Excise Tax Exclusion	\$0.00
State Vital Record Searches	\$224.00
State Vital Record Searches (add fees)	\$0.00
Birth Amendment Searches	\$45.00
Birth Amendment Expedited Searches	\$30.00
Birth Legitimation Searches	\$0.00
Birth Legitimation Expedited Searches	\$0.00
Subtotal	\$13,956.71
Net Monthly Revenues	\$28,736.04

Submitted this 2nd day of February 2021

Anita Marie Savage
Register of Deeds

Recorder and Custodian of County Records

**DUPLIN COUNTY REGISTER OF DEEDS ACTIVITY
REPORT FOR JANUARY, 2021**

VITAL RECORDS DIVISION

	Current Month	Previous Month
<u>BIRTH RECORDS</u>		
BIRTH CERTIFICATES RECORDED	47	41
BIRTH CERTIFICATES ISSUED	181	120
DELAYED BIRTH CERTIFICATES REGISTERED	0	0

DEATH RECORDS

DEATH CERTIFICATES RECORDED	65	41
DEATH CERTIFICATES ISSUED	390	211

MARRIAGE LICENSES & RECORDS

MARRIAGE LICENSES ISSUED	20	27
MARRIAGE CERTIFICATES ISSUED	55	40

MILITARY DISCHARGE RECORDS

MILITARY DISCHARGES RECORDED	0	0
------------------------------	---	---

OTHER VITAL RECORDS SERVICES

VITAL RECORDS AMENDMENTS PROCESSED	3	1
SCHOOL CENSUS RECORDS ISSUED	0	0
NOTARY PUBLIC OATHS ADMINISTERED	19	10
NOTARY PUBLIC AUTHENTICATION	0	0

PROPERTY RECORDS DIVISION

DEEDS RECORDED	153	202
DEEDS RECORDED WITH EXCISE TAX AFFIXED	79	109
DEEDS OF TRUST RECORDED	100	117
MISC DOCUMENT TYPES RECORDED	74	109
BUSINESS RECORDS RECORDED	13	8
UCC RECORDINGS	8	15
SATISFACTIONS (CANCELLATIONS)	112	112
MAPS/PLATS RECORDED	42	24

000112

DUPLIN COUNTY CHILD SUPPORT
MONTHLY REPORT
Jun 21

SHOW CAUSES AND ORDERS FOR ARREST SERVED IN COUNTY 23

SHOW CAUSES SENT BY REGULAR MAIL IN COUNTY 11

TOTAL CHILD SUPPORT COLLECTED 5 457 666 43 20

TOTAL ABSENT PARENT CASES
TOTAL CLIENTS SERVED 1967
TOTAL CHILDREN SERVED 2622 45

BREAKDOWN OF CASE AS FOLLOWS 17

ENFORCEMENT 2382
ESTABLISHMENT 84
PATERNITY 40
LOCATION 143 15

SHOW CAUSES AND ORDER FOR ARREST ISSUED OUT OF COUNTY 45

SHOW CAUSES AND ORDER FOR ARREST SERVED OUT OF COUNTY 17

SHOW CAUSES SENT BY REGULAR MAIL OUT OF COUNTY 15

SHOW CAUSES AND ORDER FOR ARREST RETURNED NOT SERVED OUT OF COUNTY 9

GOOD LOCATES COMPLETED/SYSTEM AND MANUAL

ORDERS ESTABLISHED/MODIFIED

BY VOLUNTARY SUPPORT AGREEMENT/CIVIL
MODIFICATIONS 14
REVIEWS/NO MOD NEEDED 10 0

TOTAL

PATERNITY ESTABLISHED 22

GENETIC TEST COMPLETED (LAB CORP) 5

GENETIC TEST COMPLETED (Marisa/Monica) 3

ENFORCEMENT ACTIONS (OTHER)
WAGE WITHHOLDING ESTABLISHED 185 83

INTERSTATE ACTIVITY

INITIAL UIFSA ACTION MAILED 0
ORDERS SENT FOR REGISTRATION 1
GENERAL STATUS REQUEST MAILED/ELECTRONIC 245
ENFORCEMENT STATUS REQUEST MAILED 26
REQUEST FOR REVIEWS TO OTHER STATE 0
CHANGE OF PAYEE/REDIRECTION 0
ORDERS REGISTERED 1 1
ORDERS ESTABLISHED 0 0

TOTAL CLIENT SEEN IN IV D 17

TOTAL VISITORS IN IV D 0

SHOW CAUSES AND ORDERS FOR ARREST ISSUED IN COUNTY 50

000113



DuPin Soil & Water Monthly Report

000114

2021 NCACSP Beginning 07/01/2020	\$	78,708.00
2021 AgWrap Beginning 07/01/2020	\$	46,619.00
Federal EQIP/CSP Allocation	\$	1,147,713.00
Impaired/Impacted Stream Allocation	\$	15,236.00
FarmLand Preservation Easements	\$	30,650.00
Multi Species Initiative Grant	\$	2,750.00
NACD Temp Employee Grant	\$	50,000.00
ReAllocation 03/2020	\$	399,773.00
Stream Debris Cleanout HB2 Funding	\$	2,365,034.00
ReAllocation 03/2020	\$	1,169,690.00
TOTAL	\$	5,306,173.00

January-21

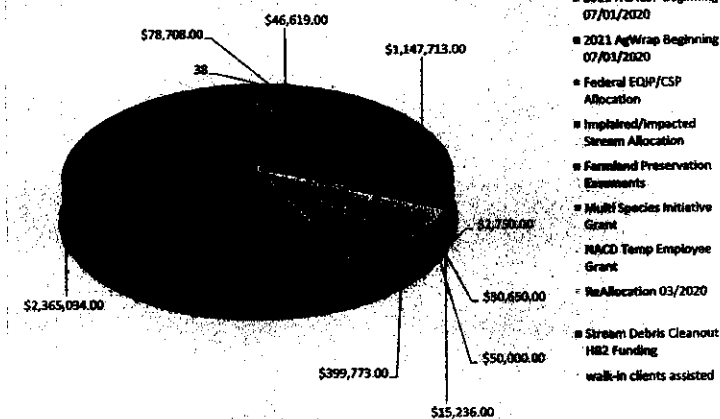
BMAP Monies collected		
BMAP Monies billed	\$	625.00
Beaver Dams Destroyed		4
New NCACSP/NCagwrap Apps/Disaster		4
tile/subsurface drain (feet)		10,245
acreage operations assisted (WUP)		26
telephone assistance		64
walk-in clients assisted		38
Acre of Maps for clients		1485
Creek miles inspected		3
River miles inspected		3

Travis M. Brown

signature

Sunday, January 31, 2021

Monies to Landowners



2006 Chevy	1G62T1P06321377	40,523
2007 Chevy	1GCEK19C97Z625098	123,096
2016 Ford F150	1FTEW1E66GFC68020	39,347

Social Services

Program Area	Dec-20	Jan-21	Feb-21
MONTHLY CASE COUNT			
Food and Nutrition Cases	4062	4123	
FNS Apps Taken	223	206	
Individual Served	8717	8877	
WorkFirst Cases	71	69	
WF Apps Taken	3	1	
Employment Cases	9	10	
Child Only Cases	62	59	
Crisis Heating/Cooling (CIP)	121	105	
Low Income Energy Assistance (LIEAP)	493	544	
Family & Childrens Applications	162	145	
Enrollment Count	13097	13239	
Adult Enrollment Count	3582	3753	
Medicaid Transportation	916	930	
Individuals Transported	294	295	
Child Support NCP Cases	2658	2622	
Program Integrity	19	14	
Child Day Care	332	320	
CPS - investigations Initiated	32	38	
CPS - Investigation Caseload	77	78	
CPS - Case Management	36	34	
CPS-Children in Custody	26	24	
Adult Protective Services	64	62	

000115

~~FEB~~ 3, 20

MONTHLY CATEGORY TOTALS

CATEGORY	DESCRIPTION	JULY '20	AUG '20	SEPT '20	OCT '20	NOV '20	DEC '20	JAN '21	FEB '21	MAR '21	APR '21	MAY '21	JUN '21	TOTALS
**	GARBAGE	4036 44	3651 65	3897 57	3716 10	3407 33	3531 93	3610 92	0 00	0 00	0 00	0 00	0 00	25851 94
6	SCRAP METAL	59 07	75 27	55 90	67 51	56 00	48 98	53 19	0 00	0 00	0 00	0 00	0 00	415 92
19	YARD WASTE	111 30	326 57	123 07	98 53	98 13	207 83	238 22	0 00	0 00	0 00	0 00	0 00	1203 65
20	BRICKS, ETC.	10 24	28 17	23 69	20 15	0 43	28 92	40 19	0 00	0 00	0 00	0 00	0 00	151 79
34	MIXED RECYCLABLES	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
36	TIRES	67 60	73 32	54 52	52 03	49 58	62 68	38 99	0 00	0 00	0 00	0 00	0 00	398 72
40	MIXED PAPER	14 26	15 34	10 91	16 01	13 61	16 04	14 44	0 00	0 00	0 00	0 00	0 00	100 61
42	GLASS	14 99	9 47	20 33	18 16	14 74	7 45	21 74	0 00	0 00	0 00	0 00	0 00	106 88
44	CARDBOARD	11 61	10 41	12 41	12 82	11 82	16 08	13 30	0 00	0 00	0 00	0 00	0 00	88 45
47	PLASTIC	5 06	4 59	5 06	4 41	3 89	3 76	3 27	0 00	0 00	0 00	0 00	0 00	30 04
48	CANS	2 23	1 52	3 06	1 61	2 23	2 00	2 43	0 00	0 00	0 00	0 00	0 00	15 08
109	ELECTRONICS	1 11	1 94	0 00	1 15	1 24	0 33	5 02	0 00	0 00	0 00	0 00	0 00	10 79
***	STORM GARBAGE	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
120	BLOCKS	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
19/124	YARD WASTE	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
TOTALS		4333 91	4198 25	4206 52	4008 48	3659 00	3926 00	4041 71	0 00	0 00	0 00	0 00	0 00	28373 87
TOTAL MSW		4036 44	3651 65	3897 57	3716 10	3407 33	3531 93	3610 92	0 00	0 00	0 00	0 00	0 00	25851 94

*** STORM GARGAGE Includes - Garbage, C&D, Shingles, Materials From

FEB 3, 20

Duplin County Water
Monthly Report

	Aug-20	20-Sep	20-Oct	20-Nov	20-Dec	21-Jan
Billed Customers	7475	7482	7517	7515	7514	7515
Gallons Water Sold	56,464,000	51,134,000	46,320,000	54,121,000	43,174,000	47,206,000
Monthly Billed	\$326,597.33	\$306,463.92	\$289,100.79	\$306,542.77	\$278,644.59	\$291,642.16
Bank Draft Payments	\$27,411.00	\$29,295.55	\$29,621.11	\$25,730.07	\$26,104.18	\$24,381.14
Credit Card Payments	\$93,145.77	\$101,088.66	\$97,578.11	\$87,619.15	\$83,692.11	\$105,502.08
New Customers	36	48	55	42	44	44
Discontinued	31	39	27	35	21	25
Repairs	7	9	9	7	4	3
Flushes	34	6	20	21	11	2
Locates	185	179	160	145	129	242
Reinstates	9	325	164	231	9	141
New Taps	11	13	9	13	8	5